THE UNIVERSITY OF NEW MEXICO - GALLUP



2025 ANNUAL SECURITY REPORT

Crime Statistics for Calendar Years 2024, 2023, 2022 and Policy Statements for the 2025-2026 Academic Year

Purpose of the Annual Security and Fire Safety Report (ASFSR):

In 1990, Congress passed the Crime Awareness and Campus Security Act (CACSA) amendments to the Higher Education Act of 1965 (HEA). Amendments to CACSA in 1998 renamed the law the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (the Clery Act) in memory of a student who was sexually assaulted and murdered in her dorm room. In 2013, Congress reauthorized the 1994 Violence Against Women Act (VAWA) and in doing so, made amendments to the Clery Act. Congress's 2024 Stop Campus Hazing Act further amended the Clery Act and renamed it the Jeanne Clery Campus Safety Act. University Administrative Policy (UAP) 2745: Clery Act Compliance dictates UNM's compliance functions related to Clery

In the spirit of the Clery Act's consumer protection intent, the Annual Security & Fire Safety Report (ASFSR) the Clery Act requires is intended to help current and prospective students and employees of the University make informed decisions about whether to work, live, or study at our institution. It does so by providing information on the policies and procedures we have in place to help keep our students, staff, faculty, guests, and campus safe and by painting a straightforward picture of what crime and life are like at UNM. In addition to disclosing policy statements in place for the current academic year, the ASFSR contains crime and fire statistics for the previous three calendar years.

HOW UNM-GALLUP PREPARES THE ASFSR

Each year the ASFSR for UNM-Gallup Campus is prepared by the UNM-Gallup Director of Student Affairs with assistance of the UNM-Gallup Police Department. Through the efforts of these individual entities, an annual review of the following is used to put together UNM-Gallup's ASFSR:

- A review of applicable policies that are required as part of the ASFSR;
- An inventory of crime prevention and educational programming efforts that are offered by UNM-Gallup for the campus community;
- A review of the reporting avenues for the UNM-Gallup Community to report crimes to at UNM-Gallup.
- A reconciliation and review of the Crime Statistics that are required to be entered as part of the ASFSR;

Additionally, the UNM Clery Act Compliance Officer will keep updated with any new initiatives or compliance information to be placed in the ASFSR, as stated by the U.S. Department of Education.

THE UNM-GALLUP POLICE DEPARTMENT AND SECURITY SERVICES

The University of New Mexico-Gallup Police Department (UNMGPD) is located at the main entrance of Gurley Hall and employs two full-time sworn officers and one full-time non-sworn campus security officer. All UNM-Gallup police officers are required to meet state certification standards mandated by the New Mexico Department of Public Safety. UNM-Gallup police officers are commissioned by the UNM Board of Regents (Section 29-5-2 NMSA 1978). They have full power of peace officers on campus, including the authority to enforce all applicable laws, ordinances and campus traffic regulations, and the authority to arrest.

The UNM-Gallup Police Department investigates complaints of on-campus criminal activity, working closely with the McKinley County Judicial District Attorney's Office to ensure effective prosecution. By statute, New Mexico State Police already has jurisdiction to enforce state laws within the entire state of New Mexico, which includes the UNM-Gallup.

In an emergency, you may dial 911 or 505-722-2002 (McKinley County Metro Dispatch). The 911 system automatically traces the location of all calls, which enables officers to respond even when a caller cannot provide the location. If you dial 911 from a campus telephone, the call will be received by McKinley County Dispatch Authority. Outside of the Gallup area, you will reach the appropriate law enforcement agency where the 911 system is utilized.

UNM-Gallup Police Department encourages faculty, staff and students who are the victim of, or witness to, any crime on the UNM-Gallup Campus to accurately and promptly report the incident to the UNM-Gallup Police by calling 505-863-7620. Crimes committed off the UNM –Gallup Campus should be reported to the appropriate police agency (Gallup Police Department, McKinley County Sheriff's Department, etc.). Under New Mexico law, the original entry police report must be made available for review upon request by a member of the public. Supplemental police reports detailing the investigation, and including the names of the accused, and witnesses are not available for public inspection during the course of the police investigation. Following the closure of the police investigation, the UNM-Gallup PD maintains confidentiality of the information in the supplemental police reports to the extent allowed by law.

The UNMGPD Campus Security Officer is in constant contact with the public, providing escort services for visitors, students, staff, and faculty as needed along with other public assistance, such as lockout services, jump-starts, and information requests. Security personnel are also responsible for securing buildings and facilities, performing periodic checks of safety equipment, and patrolling on foot. They perform security surveys and monitor closed buildings for unauthorized persons or suspicious activities.

UNM Campus Security Officers are nonsworn and do not have the authority to arrest or conduct other police activities UNMGPD Police Officers are certified to carry out. Campus Security Officers rely on UNMPD's sworn staff to collaborate with external state and local police agencies with arrest authority and jurisdiction.

SECURITY OF AND ACCESS TO CAMPUS FACILITIES

We strive to make our campus safe by limiting access to buildings after hours. Classroom and other buildings on campus are generally open during regular business hours, when class is in session and for special events. Access to these buildings after hours is only granted to those with key or card access to the respective building. Persons authorized to remain in the building after closing time are required to have proper identification at all times. Police personnel conduct frequent internal and external checks of all buildings on campus.

UNM-Gallup is concerned about the safety/security considerations and maintenance of their campus facilities. Each semester UNM-Gallup performs a Safety Walk, where students, faculty and staff members evaluate the lighting and other safety hazards around the campus. Results of this walk are submitted to the appropriate offices on our campus, so that these offices can work to rectify the issue.

Key UNM personnel, including UNM-Gallup PD are represented on committees that plan new buildings and the security utilized in the planning of those buildings. The UNM-Gallup Physical Plant will respond to safety and security hazards, such as broken windows or lighting concerns. The UNM-Gallup Physical Plant provides building maintenance with work orders being submitted through an online process.



Crime Reporting

HOW TO REPORT CRIMINAL ACTIVITY

UNM-Gallup encourages accurate and prompt reporting of all crimes to the UNM-Gallup Police Department and other local, state, and tribal police agencies when the victim of a crime elects to, or is unable to, make such a report.

The following is a list of offices and individuals to whom students and employees should report crimes for the purposes of timely warning reports, daily crime log entries, and UNM's annual statistical disclosure.

CAMPUS SECURITY AUTHORITIES (CSAs) AT UNM-GALLUP

As required by the Clery Act, UNM designates certain employees as "<u>Campus Security Authorities</u>", or "CSAs". CSAs have an obligation to report any crimes that are reported directly to them or that they personally witness to the UNM-GALLUP Police Department (UNMGPD) and/or the Clery Coordinator in a manner that is accurate and prompt.

Timely CSA reports help the University meet our obligations under the Clery Act as well as other areas of compliance, such as Title IX.

The CSA's role is never to investigate crimes, detain subjects, or substantiate a report of a crime. CSAs fall into one or more of four categories:

- 1. Members of UNMGPD and Security
- 2. Individuals responsible for security functions on campus but that are not members of UNMGPD or Security.
- 3. Individuals and organizations specified in this section as places to which students and employees should report crimes.
- 4. University officials who have significant responsibility for student and campus activities including, but not limited to, student discipline, and campus judicial proceedings.

As a general rule, faculty members are not considered CSAs. However, CEEO does designate faculty members who lead student trips or sponsor student groups as CSAs.

Individuals acting in a pastoral and clinical counselor capacity are exempt from CSA designation. Currently, UNM does not have any specific procedures in place to encourage pastoral counselors and professional counselors, if and when they deem it appropriate, to inform the persons they are counseling of any procedures to report crimes on a voluntary, confidential basis for inclusion in the annual disclosure of crime statistics. However, these individuals receive information on how to provide victims of sexual assault, domestic

violence, dating violence, and stalking with <u>A Survivor's Guide to Rights & Options at UNM</u> which outlines various options for reporting.

OFFICIALLY DESIGNATED REPORTING SITES

The following offices have been officially designated by the University as preferred places students and employees can and should report crimes or obtain assistance in reporting to law enforcement.

Office of Compliance, Ethics & Equal Opportunity (CEEO)

505-277-5251 | ceeo@unm.edu 609 Buena Vista Rd NE (Bldg 42) Albuquerque, NM 87131

Director of Student Affairs (Dean of Students Delegate, Deputy Title IX Coordinator)

505-863-7522 | gallupstudentaffairs@unm.edu Student Services and Technology Center (SSTC) 705 Gurley Avenue, Rm 276 Gallup, NM 87301

Human Resources (HR)

505-863-7605 or 505-863-7557 | Gurley Hall (GH) 705 Gurley Avenue, Rm 1215 Gallup, NM 87301

Chancellor or Dean of Instruction Office

505-863-7501 | Gurley Hall (GH) 705 Gurley Avenue, Rm 1216 Gallup, NM 87301

CONFIDENTIAL SUPPORT SITES

UNM-Gallup recognizes that some individuals may want to speak with someone anonymously about their reporting options and access to support before deciding whether to report the incident. As outlined in Section 3 of <u>UAP 2740: Sex Discrimination Including Sexual Misconduct</u>, the UNM Title IX Coordinator addresses this need by designating certain employees on campus as confidential and exempt from mandatory Title IX reporting obligations.

Confidential employees are the sites listed below provide safe and welcoming environments on campus and are trained to help you decide how to move forward in a direction of your choosing.

Information you provide to a confidential employee does not generate a formal report, however, they can help you make a report to law enforcement and/or the UNM-Gallup administration should you wish to report to either one. UNM and UNM-Gallup encourages students to consult with a confidential support center on campus who can guide you through your options.

Confidential employees are, however, designated CSAs and must promptly report the nature, date, and general location of any Clery-related incident to the Clery Coordinator for timely warning and statistical reporting purposes. Additionally, while staff members at these sites are not required to provide any identifying information of an individual who utilizes their services to the Title IX Coordinator, they are not prohibited from doing so and do not need a victim's permission to do so when making a CSA report to the Clery Coordinator.

Confidential Support Sites:

LoboRESPECT Advocacy Center (LRAC)

University Advisement & Enrichment Center (Bldg 85) 400 Cornell Dr NE, Rm 262 Albuquerque, NM 87131 505-277-2911 | loborespect@unm.edu *Undergraduate Students

Women's Resource Center (WRC)

Mesa Vista Hall (Bldg 56) 301 Cornell Dr NW, Rm 1160 Albuquerque, NM 87106 505-277-3716 | women@unm.edu *Undergraduate Students

LGBTO Resource Center

919 Las Lomas NE (Bldg 168) Albuquerque, NM 87131 505-277-5428 | lgbtqrc@unm.edu *Undergraduate Students *Employees

Ombuds Services

1800 Las Lomas Blvd NE (Bldg 29) Albuquerque, NM 87106 (SE corner of Buena Vista Rd NE & Las Lomas Blvd NE, visits by appointment only) 505-277-2993 | ombuds@unm.edu *Employees

Counseling, Assistance & Referral Services (CARS)

1800 Mesa Vista Rd NE (Bldg 184) Albuquerque, NM 87106 505-277-3136 | cars@unm.edu *Employees

REPORTING CRIMES ON A VOLUNTARY, CONFIDENTIAL BASIS

The following list contains information about where someone can make a report of a crime or other misconduct voluntarily, confidentially, and anonymously. When information about a crime is received through these avenues, the Clery Coordinator is made aware and uses the information the reporter provides to determine whether it meets the criteria for including it as a Clery Act-reportable crime in UNM-Gallup's annual statistics or in a timely warning.

UNM-Gallup PD

• 505-863-7620 (Individuals can make anonymous calls to this phone number, to report any type of crime).

EthicsPoint Online Report Form

- Online Report Form
- 24/7 Compliance hotline: 1-888-899-6092

Clery Act Crimes

CLERY ACT-REPORTABLE CRIMES

Not all reports of crime that are made to the University or local law enforcement are reflected in the annual disclosure of crime statistics. The Clery Coordinator and UNMPD evaluate each report of crime to determine whether it meets three criteria. Only reports that

- 1. meet the definition of a Clery Act offense,
- 2. occurred within UNM's Clery Act geography, and
- 3. were reported to a CSA

get classified as Clery Act crime statistics and are included in the ASFSR.

CLERY ACT OFFENSES

Clery Act offenses are organized into five main categories: "primary" offenses, hate crimes, Violence Against Women Act (VAWA) offenses, arrests and referrals for disciplinary action, and unfounded crimes. The definitions of Clery Act crimes are derived from federal sources and do not always align with New Mexico state law. Attempts for all crimes listed are included, and one incident may involve multiple offenses.

"PRIMARY OFFENSES"

The definitions of primary offenses come from the FBI's <u>Uniform Crime Reporting (UCR)</u> <u>Program Summary Reporting System (SRS) User Manual</u>.

- Murder/Non-negligent Manslaughter. The willful (non-negligent) killing of one human being by another.
- Manslaughter by Negligence. The killing of another person through gross negligence.
- Rape. The penetration, no matter how slight, of the vagina or anus, with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim.
- **Fondling.** The touching of the private body parts of another person for the purpose of sexual gratification, without the consent of the victim, including instances where the victim is incapable of giving consent because of his/her age or because of his/her temporary or permanent mental incapacity.
- **Incest.** Sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law
- **Statutory Rape.** Sexual intercourse with a person who is under the statutory age of consent.*
- **Robbery.** The taking or attempting to take anything of value from the care, custody, or control of a person or persons by force or threat of force or violence and/or by putting the victim in fear.
- **Aggravated Assault.** An unlawful attack by one person upon another for the purpose of inflicting severe or aggravated bodily injury. This type of assault usually is accompanied by the use of a weapon or by means likely to produce death or great bodily harm.
- **Burglary.** The unlawful entry of a structure to commit a felony or a theft.
- Motor Vehicle Theft. The theft or attempted theft of a motor vehicle. For the purposes of this definition, a "motor vehicle" is any self-propelled vehicle that runs on land surface and not on rails, for example, sport utility vehicles, automobiles, trucks, buses, motorcycles, mopeds, electric scooters, electric bikes, all-terrain vehicles, and snowmobiles. This category does not include farm equipment, bulldozers, airplanes, construction equipment, or water craft (motorboats, sailboats, houseboats, or jet skis).
- **Arson.** Any willful or malicious burning or attempt to burn, with or without intent to defraud, a dwelling house, public building, motor vehicle or aircraft, personal property of another, etc.

HATE CRIMES

The definitions of hate crimes come from the FBI's <u>Uniform Crime Reporting (UCR) Program</u> Hate Crime Data Collection Guidelines and Training Manual.

• Any of the offenses listed in the primary offenses category above when driven by bias.

- Larceny-theft. The unlawful taking, carrying, leading, or riding away of property from the possession or constructive possession of another. Constructive possession is the condition in which a person does not have physical custody or possession, but is in a position to exercise dominion or control over a thing.
- **Intimidation.** To unlawfully place another person in reasonable fear of bodily harm through the use of threatening words and/or other conduct, but without displaying a weapon or subjecting the victim to actual physical attack.
- **Simple Assault.** An unlawful physical attack by one person upon another where neither the offender displays a weapon, nor the victim suffers obvious severe or aggravated bodily injury involving apparent broken bones, loss of teeth, possible internal injury, severe laceration, or loss of consciousness.
- **Destruction/Damage/Vandalism to Property.** To willfully or maliciously destroy, damage, deface, or otherwise injure real or personal property without the consent of the owner or the person having custody or control of it.

HATE CRIME BIAS CATEGORIES

For an offense to be one of the Clery Act-reportable hate crimes listed above, the report must include evidence that the victim was intentionally selected because of the perpetrator's bias against the victim's real or perceived race, religion, gender, gender identity, sexual orientation, ethnicity, national origin, and disability.

- Race. A preformed negative attitude toward a group of persons who possess common physical characteristics, such as color of skin, eyes, and/or hair; facial features, etc., genetically transmitted by descent and heredity which distinguish them as a distinct division of humankind (for example: Asians, blacks or African Americans, whites).
- **Gender.** A preformed negative opinion or attitude toward a person or group of persons based on their actual or perceived gender (for example: male or female).
- Gender Identity. A performed negative opinion or attitude towards a person or group of persons based on their actual or perceive gender identity, e.g., bias against transgender or gender non-conforming individuals. Gender non-conforming describes a person who does not conform to the gender-based expectations of society (for example: a woman dressed in traditionally male clothing or a man wearing makeup). A gender non-conforming person may or may not be a lesbian, gay bisexual, or transgender person but may be perceived as such.
- **Religion.** A performed negative opinion or attitude towards a person or group of persons who share the same religious beliefs regarding the origin and purpose of the universe and the existence or nonexistence of a supreme being (for example: Catholics, Jews, Protestants, atheists).
- **Sexual Orientation.** A performed negative opinion or attitude towards a person or group of persons based on their actual or perceived sexual orientation. Sexual orientation is the term for a person's physical, romantic, and/or emotional attraction to members of the same

and/or opposite sex, including lesbian, gay, bisexual, and heterosexual (straight) individuals.

- Ethnicity. A performed negative opinion or attitude towards a person or group of people whose members identify with each other through a common heritage, often consisting of a common language, common culture (often including a shared religion), and/ or ideology that stresses common ancestry. The concept of ethnicity differs from the closely related term "race" in that "race" refers to a grouping based mostly upon biological criteria, while "ethnicity" also encompasses additional cultural factors.
- National Origin. A performed negative opinion or attitude towards a person or group of people based on their actual or perceived country of birth. This bias may be against people that have a name or accent associated with a national origin group, participate in certain customs associated with a national origin group, or because they are married to or associate with people of a certain national origin.
- **Disability.** A performed negative opinion or attitude towards a person or group of persons based on their physical or mental impairments, whether such disability is temporary or permanent, congenital or acquired by heredity, accident, injury, advanced age, or illness.

VIOLENCE AGAINST WOMEN ACT (VAWA) OFFENSES

The definitions of VAWA offenses come from the <u>Violence Against Women Act (VAWA) of</u> 1994.

- **Domestic Violence**. A felony or misdemeanor crime of violence committed
 - o By a current or former spouse or intimate partner of the victim;
 - o By a person with whom the victim shares a child in common;
 - O By a person who is cohabitating with, or has cohabitated with, the victim as a spouse or intimate partner;
 - O By a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred;
 - O By any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.
- **Dating Violence.** Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim. The existence of such a relationship shall be determined based on the reporting party's statement and with consideration of the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship.
- **Stalking.** Engaging in a course of conduct directed at a specific person that would cause a reasonable person to
 - o Fear for the person's safety or the safety of others; or
 - o Suffer substantial emotional distress.

For the purposes of this definition—

"Course of conduct" means two or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property.

- o "Reasonable person" means a reasonable person under similar circumstances and with similar identities to the victim.
- "Substantial emotional distress" means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

ARRESTS & REFERRALS FOR DISCIPLINARY ACTION

The definitions of arrests and referrals come from the FBI's <u>Uniform Crime Reporting (UCR)</u> Program Summary Reporting System (SRS) User Manual.

An "arrest" for Clery Act purposes is defined as persons processed by arrest, citation, or summons for violating any of the weapons, drugs, or liquor laws defined below.

A "referral for disciplinary action" is defined for Clery Act purposes as the referral of any person to any official who initiates a disciplinary action of which a record is established and which may result in the imposition of a sanction as a result of violating any of the weapons, drugs, or liquor laws defined below. A finding of responsibility is not required for a report to be classified as a referral for disciplinary action.

- Weapons Law Violation. The violation of laws or ordinances prohibiting the manufacture, sale, purchasing, transportation, possession, concealment, or use of firearms, or deadly weapons; cutting instruments, explosives, incendiary devices or other deadly weapons. This classification encompasses weapons offenses that are regulatory in nature. Included in this classification: manufacture, sale, or possession of deadly weapons; carrying deadly weapons, concealed or openly; using, manufacturing, etc., of silencers; furnishing deadly weapons to minors; aliens possessing deadly weapons; and attempts to commit any of the above.
- **Drug Abuse Violation.** The violation of laws prohibiting the production, distribution and/or use of certain controlled substances and the equipment or devices utilized in their preparation and or/use. The unlawful cultivation, manufacture, distribution, sale, purchase, use, possession, transportation or importation of any controlled drug or narcotic substance. Arrests for violations of State and local laws, specifically those relating to the unlawful possession, sale, use, growing, manufacturing, and making of narcotic drugs. The relevant substances include: opium or cocaine and their derivatives (morphine, heroin, codeine); marijuana; synthetic narcotics— manufactured narcotics which can cause true addiction (Demerol, methadone); and dangerous nonnarcotic drugs (barbiturates, Benzedrine).
- Liquor Law Violation. The violation of State or local laws or ordinances prohibiting: the manufacture, sale, purchase, transportation, possession or use of alcoholic beverages, not including driving under the influence and drunkenness. Include in this classification: the manufacture, sale, transporting, furnishing, possessing, etc., maintaining unlawful drinking places; bootlegging; operating a still; furnishing liquor to a minor or intemperate person;

underage possession; using a vehicle for illegal transportation of liquor; drinking on a train or public conveyance; and attempts to commit any of the above.

HAZING

The definitions of hazing and student organization come from the **Stop Campus Hazing Act**.

- Hazing. Any intentional, knowing, or reckless act committed by a person (whether individually or in concert with other persons) against another person or persons regardless of the willingness of such other person or persons to participate, that is committed in the course of an initiation into, an affiliation with, or the maintenance of membership in, a student organization; and causes or creates a risk, above the reasonable risk encountered in the course of participation in the institution of higher education or the organization (such as the physical preparation necessary for participation in an athletic team), of physical or psychological injury including
 - o whipping, beating, striking, electronic shocking, placing of a harmful substance on someone's body, or similar activity;
 - o causing, coercing, or otherwise inducing sleep deprivation, exposure to the elements, confinement in a small space, extreme calisthenics, or other similar activity;
 - o causing, coercing, or otherwise inducing another person to consume food, liquid, alcohol, drugs, or other substances;
 - o causing, coercing, or otherwise inducing another person to perform sexual acts;
 - o any activity that places another person in reasonable fear of bodily harm through the use of threatening words or conduct;
 - o any activity against another person that includes a criminal violation of local, State, Tribal, or Federal law; and
 - o any activity that induces, causes, or requires another person to perform a duty or task that involves a criminal violation of local, State, Tribal, or Federal law.
- Student Organization. An organization at an institution of higher education (such as a club, society, association, varsity or junior varsity athletic team, club sports team, fraternity, sorority, band, or student government) in which two or more of the members are students enrolled at the institution of higher education, whether or not the organization is established or recognized by the institution.

UNFOUNDED CRIMES

An unfounded crime is a reported crime that, upon investigation by law enforcement authorities, is found to be false or baseless. Only sworn or commissioned law enforcement personnel may unfound a crime. The recovery of stolen property, the low value of stolen property, the refusal of a victim to cooperate with the prosecution, and the failure to make an arrest do not "unfound" a crime report. Crime reports can be properly determined to be false only if the evidence from a complete and thorough investigation establishes that the crime reported was not, in fact, completed or attempted in any manner.

Clery Act Geography

On Campus Property - Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and Any building or property that is within or reasonably contiguous to the area identified in paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor). This would include most buildings on UNM-Gallup's Main and North campuses.

<u>Non-Campus Property</u> – Any building or property not part of the Gallup campus nor a separate campus and is: owned or controlled by the institution; used in support of or in relation to the institution's educational purposes; and frequently used by students.

<u>Public Property</u> - All public property, including thoroughfares, streets, sidewalks, and parking facilities, that are within the campus, or immediately adjacent to and accessible from the campus. Public property for UNM-Gallup is the surrounding streets like College and Boardman Drives, and the sidewalks right on the other side of these streets.

How UNM-Gallup Collects Clery Crime Statistics

UNM-GALLUP POLICE & SECURITY REPORTS

UNMGPD and Security provide reports they receive directly to the Director of Student Affairs on a rolling basis. This information is compiled for this report.

REPORTS FROM EXTERNAL LAW ENFORCEMENT AGENCIES

To gather information on incidents occurring on non-campus Clery geography properties within the City of Gallup and elsewhere, UNMG conducts direct outreach to the local law enforcement agencies that have jurisdiction over the locations where the University controls space by some sort of written agreement. The Director of Student Affairs, the Clery Coordinator, and UNMGPD make a good-faith effort to obtain the statistics by requesting them, in writing, from such law enforcement agencies. The Clery Committee relies on the information obtained from these sources but is not responsible if the information provided is inaccurate or omitted altogether.

The Clery Committee and UNMGPD also make a good-faith effort to gather information about crime reports made at properties within UNMG's public property Clery geography from the <u>Gallup Police Department (GPD)</u> The Clery Committee relies on the information obtained from GPD but is not responsible if the information provided is inaccurate or omitted altogether.

CAMPUS SECURITY AUTHORITY (CSA) REPORTS

UNM-Gallup's Campus Security Authorities (CSAs) are responsible for providing information to

the Clery Committee about Clery Act crimes reported to them or that they personally witness within a timely and accurate manner for the purposes of annual crime statistics disclosures, the daily crime log, and timely warnings. In addition to receiving their reports on a rolling basis, the Clery Committee surveys CSAs on an annual basis prior to finalizing the annual crime statistics to give them an additional opportunity to submit any Clery Act crimes that were reported to them during the previous calendar year. CSAs must complete and return the survey form as directed.

Timely Warning (LOBO Advisory)

When a Clery Act crime is committed within UNM-Gallup Clery geography, and the situation poses a serious or continuing threat, the Communications Director, via directive from the UNM-Gallup Police Sergeant (or designee) or the UNM-Gallup Chancellor (or designee) will issue a Timely Warning (Advisory) to promote safety and aid in the prevention of similar crimes on campus. The Timely Warning (Advisory) should include appropriate information as soon as it becomes available, such as location, crime, description of subject (if known), and any other pertinent details. Additional updates may be issued as the situation evolves.

To require a Clery Act Timely Warning (Advisory), an incident must:

- Involve a Clery Act crime;
- Occur within the Clery Geography, which includes:
 - o On-campus (buildings on the UNM –Gallup Campus);
 - o Non-campus (buildings not reasonably contiguous to the UNM-Gallup Campus, but are

leased or owned by UNM-Gallup); and,

- Public property (public property that is immediately adjacent to the UNM-Gallup Campus).
- Be reported to a campus security authority or local police authority; and,
- Represent a serious or continuing threat to the students and employees on campus. An example would be that the alleged suspect(s) of a Clery related a crime who may still be on campus and has not been apprehended, could potentially pose a serious and continued threat to UNM-Gallup.

Once it has been determined that requirements above have been met the UNM-Gallup Police Sergeant (or designee) will notify the Communications Director (or designee) to initiate the Timely Warning Process. Ideally, the determination of a "serious or continuing threat" and the content of the message is made between the Chancellor, Communications Director, Campus PD and Clery Act Compliance Officer. However, each has the ability to make that determination unilaterally so as not to cause additional delay.

The Timely Warning will be sent out via the UNM Lobo Alert system, which includes an initial text alert sent out to all members of campus, who have not opted out of receiving the text, followed up by an e-mail notification to all individuals who have a unm.edu e-mail address or members of the community who have signed up through the community site. The UNM-Gallup Communications Director will notify, as appropriate, the local news media and social media to inform the community outside of UNM-Gallup. A Timely Warning (Advisory) might not be issued if it could compromise the apprehension of a suspect.

UNM Gallup Campus – Clery Crime Statistics (2024, 2023 and 2022)					
Crime Classit	fication	On Campus Property	Non Campus	Public	Total
Murder/Non-Neglect Manslaughter	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Negligent Manslaughter	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Rape	2024	0	0	0	0
_	2023	0	0	0	0
	2022	0	0	0	0
Fondling	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Incest	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Statutory Rape	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Robbery	2024	0	0	0	0
-	2023	0	0	0	0
	2022	0	0	0	0
Aggravated	2024	1	0	0	1
Assault	2023	0	1	0	1
	2022	0	0	0	0
Motor Vehicle Theft	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Arson	2024	0	0	0	0

	2023	0	0	0	0
	2022	0	0	0	0
Burglary	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Liquor Arrest	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Drug Arrest	2024	0	0	0	0
•	2023	0	0	0	0
	2022	0	0	0	0
Illegal Weapons Arrest	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
VAWA Clery Crimes					
Dating Violence	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Domestic Violence	2024	3	0	0	3
	2023	1	0	0	1
	2022	0	0	0	0
Stalking	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Referrals for Disciplinary Action					
Liquor Law Referrals	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0
Drug Law Referrals	2024	0	0	0	0
	•	•	•		

	2023	0	0	0	0
	2022	0	0	0	0
Weapons Law Referrals	2024	0	0	0	0
	2023	0	0	0	0
	2022	0	0	0	0

Unfounded Crimes:

There were no unfounded crimes during the reporting period.

In the Event of an Emergency

IF YOU FACE AN EMERGENCY

If you find yourself in an emergency or other dangerous situation:

- 1. Make yourself safe.
- 2. Warn others of the situation.
- 3. Call for assistance. UNM-Gallup PD is responsible for ALL incidents on campus. NEVER hesitate to contact them.

HOW TO REPORT AN EMERGENCY

Individuals who encounter an emergency situation should take immediate steps to protect themselves, notify nearby endangered people, and contact 9-1-1, then the <u>University of New Mexico-Gallup Police Department (UNMGPD)</u>. To reach UNMGPD, dial 505-863-7620.

In the event of an emergency call 9-1-1, which will connect callers with McKinely County Emergency Communications and Dispatch, who will quickly contact UNMGPD if they learn the incident is occurring on UNM property. The 911 system automatically traces the location of all incoming calls, which enables officers to respond even when a caller cannot provide their location.

UNM-Gallup encourages all members of the campus community to store UNMGPD's and local agencies' phone numbers as contacts in their mobile phones to have them readily available in the event of an emergency. UNMGPD's direct phone number is also provided on the back of all LoboCards.

UNM-Gallup Police Department

505-863-7620 Gurley Hall Commons Area 705 Gurley Avenue Gallup, NM 87301

Emergency Notification Processes

If there is an immediate threat to the health or safety of students or employees occurring on campus, UNM-Gallup will follow its emergency notification procedures.

Emergency Notification (Lobo Alert)

UNM will immediately notify the campus community upon the confirmation of a significant emergency or dangerous situation involving an immediate threat to the health or safety of students or employees occurring on the campus.

An emergency or dangerous situation may or may not involve a crime. Examples include:

- Shots fired
- Extreme weather conditions
- Armed intruder
- Suspect with a deadly weapon on or near campus
- Fire
- Gas leak
- Terrorist incident
- Bomb threat
- Explosion
- Hazardous material spill
- Train derailment
- Health-related outbreak
- Broad and credible threat of violence

When UNM-Gallup receives information about an imminent threat or dangerous situation occurring on or near campus, it will, without delay, and taking into account the safety of the community, determine the content of the notification and initiate the notification system, unless issuing a notification will, in the professional judgment of responsible authorities, compromise efforts to assist a victim or to contain, respond to, or otherwise mitigate the emergency.

If the UNM-Gallup PD determines and verifies that a situation is an immediate threat to the health and safety of students or employees, it will notify the Chancellor (or designee) for the campus.

The UNM-Gallup Police Sergeant (or designee) and the Chancellor will without delay decide if an emergency notification is warranted and will craft a message that takes into the account the safety of the UNM-Gallup community and determines the content of the notification. This message will include safety precautions to take, where the problem exists and what areas to avoid keeping themselves safe.

UNM-Gallup PD, in collaboration with first responders, will make an assessment if only a segment of the campus needs to act and that information will be included within the e-mail notification sent out to all individuals with unm.edu e-mail address. Once the message is

determined, the UNM-Gallup Police Sergeant (or designee) and the Chancellor will inform the Communications Director to initiate the Lobo Alert System.

The UNM-Gallup Communications Director will notify, as appropriate, the local news media and inform the local community via UNM-Gallup's social media accounts.

UNM-Gallup provides Emergency Notifications (Alerts) to the campus community via text messaging, email and UNM-Gallup's website.

In instances where issuing a notification would compromise efforts to assist a victim, contain the emergency, respond to the emergency, or mitigate the emergency are not subject to the emergency notification requirement.

UNM is not required to issue a timely warning based on the same circumstances for which it follows its emergency notification procedures. However, the University will provide adequate follow-up information to the community as needed.

Enrollment for Lobo Alerts:

All faculty, staff and students are automatically opted into Lobo Alerts. If a student did not provide a cell phone number on their application or changes their cell phone number and would like to receive the text message alerts, they can update their information by going to https://loboalerts.unm.edu/

Community members can sign up for Lobo Alerts by going to https://www.getrave.com/login/unmcommunity

EVACUATION PROCEDURES

If an evacuation is ordered:

- 1. Stop what you are doing and immediately proceed to the nearest exit.
- 2. Use stairs to evacuate above and below ground level.
- 3. Close doors behind you as you leave. If there is a fire or chemical release, this will help contain it within the enclosed area.
- 4. Provide assistance to customers, clients, patients or others who may need it.
- 5. Proceed as quickly as possible to the designated evacuation location for your building or department. UNM recommends that this be at least 100-150 feet away from the evacuated building.
- 6. Do not return or re-enter the building until you are given official authorization to do so.

UNM Weapons Policy

With very few exceptions, employees, students and visitors are not permitted to use or possess weapons on any part of the campus. A weapon includes, but is not limited to: firearms; ammunition and other dangerous weapons, substances, or materials; and bombs, explosives, or incendiary devices.

Any person failing to comply will become subject to appropriate disciplinary and/or criminal action. The UNM Weapons Policy is printed in its entirety in The UNM Pathfinder, which is available on-line at http://pathfinder.unm.edu/.

There are certain items that are allowed on the UNM Campuses for self-defense purposes, which include:

Allowable Self-Defense Items

- Pepper Spray Members of the UNM campus community may carry pepper spray (A/K/A) oleoresin capsicum) in container no larger than 2.5 ounces, with a concentration of oleoresin capsicum of no more than ten (10) percent, for self-defense purposes
- Stun Guns with a maximum amperage of five (5) milliamps for self-defense purposes

Policy on Illegal Drugs and Alcohol

The Drug-Free Schools and Communities Act Amendments of 1989 (amends original law passed in 1986)

This law requires institutions receiving federal financial assistance to establish drug and alcohol abuse prevention programs for students and employees. This includes, at minimum, the following:

A. Create/have a campus alcohol and other drug policy that contains information on:

- Standards of conduct that clearly prohibit, at a minimum, the unlawful possession, use, or distribution of illicit drugs and alcohol by employees and students on its property or as part of its activities;
- A description of applicable legal sanctions under local, state, or federal law for the unlawful possession or distribution of illicit drugs and alcohol;
- A description of health risks associated with the use of illicit drugs and the abuse of alcohol;
- A description of available drug or alcohol counseling, treatment, or rehabilitation or re-entry programs
- A clear statement of the disciplinary sanctions that the institution will impose on employees and students and a description of termination of employment and referral for prosecution for the unlawful possession, use, or distribution of illicit drugs and alcohol. Disciplinary sanctions may also include completing an appropriate rehabilitation program.

B. The institution must distribute this policy to all students, faculty, and staff annually.

NOTE: Until recently, the U.S. Department of Education interpreted this to require the policy be sent via printed documents through campus mail. The use of electronic distribution is now considered appropriate given:

- The email is distributed to all students, staff, and faculty, and,
- The policy appears in the text of the email and not as an attachment
- C. The institution has adopted and implemented an alcohol and other drug prevention program
- D. The institution must conduct a biennial report review of the program's effectiveness, implement changes, if needed, and ensure that the disciplinary sanctions are consistently enforced.

The University of New Mexico Drug Free Campus Policy

This Policy on Illegal Drugs and Alcohol is adopted pursuant to federal laws and because of UNM's commitment to an environment for the pursuit of its educational mission free of drugs and the illegal use of alcohol. Drug and alcohol abuse on campus poses a serious threat to the health and welfare of faculty, staff and students; impairs work and academic performance; jeopardizes the safety and wellbeing of other employees, students and members of the general public; and conflicts with the responsibility of UNM to foster a healthy atmosphere for the pursuit of education, research and service. Federal and State laws relating to controlled substances and alcohol are enforced by UNMPD. The university enforces the state of New Mexico underage drinking laws and therefore does not permit the possession or consumption of alcoholic beverages by people under the age of twenty-one. Illegal uses of alcohol include, but are not limited to: serving, buying or drinking alcohol by a minor; assisting a minor or an intoxicated person to get alcohol; selling alcohol without a license and driving while under the influence.

This policy covers all property owned, used, leased or controlled by UNM, or any other site where official University business is being conducted. "Controlled substances" means those substances in Schedules I through V of section 202 of the Controlled Substances Act,21 U.S.C. 812, and implementing regulations, 21 CFR 1308.11-1308.15. Controlled substances include, but are not limited to, marijuana, cocaine (including "crack"), amphetamines, heroin, PCP, hallucinogens, and certain prescription drugs. Illegal uses of alcohol include, but are not limited to, serving, buying, or drinking alcohol by a minor; assisting a minor or an intoxicated person to get alcohol; selling alcohol without a license and driving while under the influence. This policy is not intended to supersede or negate any existing policies on substance abuse, student or employee discipline, or any additional requirements imposed on The University of New Mexico or its students, faculty or staff by federal or state law.

I. Policy Statement

The unlawful manufacture, distribution, dispensing, possession or use of controlled substances or alcohol on UNM property or as part of any of its activities by any member of the UNM community faculty, staff or students strictly prohibited.

As a condition of continued registration and enrollment, any student of UNM shall abide by this

policy. Violation of this policy shall result in disciplinary action, up to and including expulsion. Sanctions shall be set based upon numerous factors, including but not limited to the severity of the offense, the amount of harm created, the Student's prior disciplinary record, and sanctions imposed in recent years for similar offenses. In determining sanctions, the Student Conduct Officer should assess each case individually and use professional judgment when weighing facts as well as aggravating or mitigating factors that may exist to determine the most appropriate sanctioning for the development of the Student and the common good and safety of the University community.

Sanctions for Code of Conduct violations shall not be implemented until the conclusion of the President-level appeal. Sanctions for violations of University Administrative 2720 or University Administrative Policy 2740 shall not be implemented until the exhaustion of the appellate process, including the Regents-level discretionary appeal.

For more detailed information, students should refer to the Student Code of Conduct and related policies printed in *The UNM Pathfinder* (http://pathfinder.unm.edu) and/or contact the <u>Dean of Students</u> Office.

UNM's response to any violation of this policy may include, as a total or partial alternative to disciplinary action, a requirement that the employee or student participate satisfactorily in an approved substance abuse treatment or rehabilitation program as a condition of continued employment or registration/enrollment. Any employee engaged in the performance of work under a federal contract or grant is required, as a condition of employment, to notify his/her supervisor if he or she is convicted of a criminal drug statute violation occurring in the workplace within five days of such conviction. The supervisor shall notify the University Counsel's Office. Failure of the employee to notify the supervisor shall be grounds for disciplinary action.

In recognition of the dangers of substance abuse in the workplace, UNM shall maintain alcohol and drug free awareness programs to inform members of the University community about the issues and risks of substance abuse, and about counseling and treatment resources. The university shall assign responsibility for such awareness programs to specific administrative entities, which shall be provided sufficient resources to develop and maintain the programs. As a matter of policy, any referral, treatment, awareness or primary prevention programs established by the University shall play no role in enforcing or instituting possible disciplinary action.

II. Legal Sanctions for the Unlawful Possession or Distribution of Illicit Drugs and Alcohol

The penalties for even the most minor of violations of the Liquor Control Act can include fines of up to \$300, confiscation of property and imprisonment for up to seven months. More serious violations carry greater penalties, with larger fines and longer imprisonment.

Driving, using machinery after drinking, or using drugs creates the risk that the user may injure or kill someone. This can result in homicide charges. License revocation and vehicle impoundment are also possible results of driving while under the influence of liquor or drugs. The minimum blood alcohol levels at which drivers' licenses are revoked in New Mexico are

.02% for those under 21 and .08% for those 21 and over. All drivers in New Mexico are presumed to be intoxicated at the .08% level.

In drug-related cases, a court can permanently suspend eligibility for federal benefits, including financial aid. A criminal record can seriously hurt educational and career opportunities.

Penalties for illegal drug use can include significant fines and imprisonment. Penalties for the illegal sale of drugs are greater and may include property confiscation. Alternative penalties for illegal drug or alcohol use may also include mandatory community service. Violation of laws by a foreign national may result in deportation.

III. Health Risks Associated with Use of Illicit Drugs and the Abuse of Alcohol

Excessive alcohol consumption and abuse of illicit drugs can lead to certain types of cancer, pathological changes in the liver, brain, heart and muscle, which can lead to disability and death, addiction, birth defects, shortened life span, stomach ulcers phlebitis, varicose veins, and other health problems. Alcohol and drugs are also a major factor in homicides, assaults, rapes, suicide, family and date violence. Alcohol is significantly involved in all types of accidents - motor vehicle, home, industrial, and recreational. Unintended pregnancies and sexually transmitted diseases are often associated with alcohol or other drug abuse, as well as relationship, academic or work problems.

For more information on the possible effects and health risks associated with the use of illicit drugs and alcohol, contact the Student Health Center Health Education Program at (505) 277-1074 or the UNM Campus Office of Substance Abuse Prevention at (505) 277-2795. Information on the possible effects and health risks associated with the use of illicit drugs and controlled substances is also contained in the Policy on Illegal Drugs and Alcohol that appears on page 53 of the 2007-2008 UNM Pathfinder.

IV. Campus Resources for Faculty and Staff:

If you are concerned about your own, an employee's or a colleague's alcohol or drug use, contact the <u>CARS</u> program. The intent of <u>CARS</u> is not to intrude into the private lives of university employees, but rather to provide services for those who choose to request help with their problems. Your contact with <u>CARS</u> is confidential within the limits of applicable law and ethical guidelines. Individual assessments, short-term counseling, consultation and referrals are available. <u>CARS</u> also offers presentations on a variety of topics, voluntary mediation services, group crisis intervention, and team building.

C.A.R.S. (Counseling, Assistance & Referral Service) 505-272-6868.

University Hospital employees may contact C.A.R.S. directly (505-272-6868) or call Human Resources (272-0942) for information about available counseling & referral resources.

Campus Resources for Students:

If you are concerned about the alcohol or other drug use of yourself or another, please contact

the Student Health Center or the Campus Office of Substance Abuse Prevention. Confidential consultants, individual assessments and education are available.

Student Health and Counseling (Counseling Services) - 277-3136 Campus Office of Substance Abuse Prevention - 277-2795

Other Campus and Community Resources:

AGORA Crisis Center	505-277-3013		
NM Council on Alcoholism and Drug Dependence	505- 256-8300 (For intervention services and information on community treatment resources and recovery groups, e.g. AA, ACOA, Al-Anon, Rational Recovery, Women for Sobriety)		
Suicide Prevention Emergency Service (24 hours)	505-247-1121		
University Hospital Emergency Dept.	505-272-2411		
UNM Center on Alcoholism, Substance Abuse and Addictions (CASAA)	505-925-2300		
UNM Department of Psychology Clinic	505-277-5164		
UNM Employee Health Promotion Program	505-272-4460		
UNM Employee Occupational Health Services	505-272-2517		
UNM Mental Health Center, Crisis Unit	505-272-2800		
UNM Women's Resource Center	505-277-3716		

HAZING & UNM'S ANTI-HAZING POLICY

UNM seeks to create a safe environment that contributes to student success and promotes the acquisition of knowledge. The University takes the prevention, threat, and elimination of hazing seriously, and all forms of hazing are strictly prohibited by its Anti-Hazing Policy.

DEFINITIONS

For the purposes of UNM's Anti-Hazing Policy, "hazing" refers to an intentional, knowing, or reckless act committed by a person, whether individually or in concert with other persons against another person or persons, regardless of the willingness of such other person or persons to participate that:

- was committed in connection with, initiation into, an affiliation with, or the maintenance of membership in, a student organization; and
- causes or creates a risk, above the reasonable risk encountered in the course of participation at UNM or a student organization (such as the physical preparation necessary for

participation in an athletic team or military training exercise) of physical or psychological injury.

The following behaviors constitute examples of violation(s) of this policy, recognizing that similar behaviors not listed may also be prohibited:

- 1. Involvement in the planning, direction, or commissioning of hazing and/or being present during hazing.
- 2. Failing to intervene to prevent or stop hazing if the person has knowledge of a planned hazing activity.
- 3. Failing to assist or seek assistance if the person knows or reasonably should know that another is in danger from affects of hazing.
- 4. Whipping, beating, striking, electronic shocking, placing of a harmful substance on someone's body, or similar activity.
- 5. Causing, coercing, or otherwise inducing sleep deprivation, personal servitude or exploitative labor, restrictions on personal hygiene, exposure to the elements, confinement in a small space, extreme calisthenics, or other similar activity
- 6. Humiliating or degrading acts, whether physical, verbal, or psychological.
- 7. Causing, coercing, or otherwise inducing another person to consume food, liquid, alcohol, drugs, or other substances.
- 8. Causing, coercing, or otherwise inducing another person to perform sexual acts.
- 9. Any activity that places another person in reasonable fear of bodily harm through the use of threatening words or conduct.
- 10. Any activity against another person that includes a criminal violation of local, State, or Federal law.
- 11. Any activity that induces, causes, or requires another person to perform a duty or task that involves a criminal violation of local, State, or Federal law.

"Student organization" refers to any student group at UNM, including but not limited to a club, society, association, varsity or junior varsity athletic team, club sports team, fraternity, sorority, band, or student government—with two or more members enrolled at UNM, regardless of whether the organization is officially recognized by the University.



Currently, there are no applicable local, State, or Tribal laws on hazing in New Mexico.

PROGRAMS TO PREVENT HAZING

Prevention of hazing is a shared responsibility and all UNM community members are responsible for conducting themselves in a manner that supports an environment free from hazing.

UNM offers research-informed campus-wide prevention programs designed to reach students, staff, and faculty, which include information outlined in this policy as well as primary prevention strategies intended to stop hazing before hazing occurs, which may include skill building for bystander intervention, information about ethical leadership, and the promotion of strategies for building group cohesion without hazing.

HOW TO REPORT HAZING

UNM encourages all members of the UNM community to report suspected hazing, including through anonymous submissions. Reports should include as much specific and accurate information as possible to support a thorough review. While anonymous reports may limit the University's ability to respond, they can still help identify patterns or areas of concern.

The Dean of Students Office serves as the primary University office for receiving and addressing hazing reports. Reports may be submitted online at <u>Submit a Hazing Report</u>.

Reporting suspected hazing to a national organization or third-party entity does not replace the importance of reporting directly to UNM, and nothing in UNM's policy prevents any individual from reporting hazing or suspected hazing to a law enforcement agency.

HOW UNM RESPONDS TO REPORTS OF HAZING

The Dean of Students Office holds primary responsibility for addressing student disciplinary matters. Hazing allegations are handled in accordance with disciplinary procedures as outlined in the UNM Student Disciplinary Procedure ("SDP"). International or national fraternal organizations or law enforcement agencies may conduct concurrent investigations.

A sanction will be issued only in matters where an individual Student(s) and/or Student Organization(s) has been found responsible for violating the Anti-Hazing Policy or Student Code of Conduct ("SCOC") following the procedures outlined in the SDP. Sanctions may include any combination of administrative, educational, restrictive, or status sanctions as outlined in the SCOC or SDP.

CAMPUS HAZING TRANSPARENCY REPORT

Effective December 23, 2025, the University of New Mexico will publish a Campus Hazing Transparency Report ("CHTR") identifying incidents of hazing involving student organizations

that were reported, investigated and resulted in a finding of responsibility for conduct occurring on or after July 1, 2025. The CHTR, made publicly available on the <u>DOSO's Hazing Resources</u> & <u>Prevention</u> website, will disclose the name of the student organization, a general description of the violation(s), whether hazing involved the abuse or illegal use of alcohol or drugs, key dates (e.g., when hazing took place [if known], investigation start and end date, when a student organization was notified of the outcome, findings of responsibility, and assigned sanctions (if any).

Information provided in the CHTR does not include personally identifiable information about any individuals. Student organizations under active investigation do not appear in the report until an investigation concludes with a finding of responsibility. Unconfirmed allegations or cases without a finding of responsibility are not included. Additionally, the CHTR does not include incidents involving unrecognized or unaffiliated student organizations. UNM is required to update the CHRT semi-annually (at least twice a year) and maintain entries in the report for five (5) years.

For more information about hazing prevention, reporting, and institutional response at UNM, see UNM's <u>Anti-Hazing Policy</u> in the Student Code of Conduct or the <u>Hazing page</u> in Student Rights & Responsibilities.

Programs and Campaigns To Prevent Dating Violence, Domestic Violence, Sexual Assault and Stalking:

As part of its responsibilities under the VAWA amendments to the Clery Act and the State of <u>New Mexico House Bill 151</u>, UNM provides primary and ongoing prevention and awareness programs to prevent sexual assault, domestic violence, dating violence, and stalking for students and employees.

"Programs to prevent sexual assault, domestic violence, dating violence, and stalking" means comprehensive, intentional, and integrated programming, initiatives, strategies, and campaigns intended to end sexual assault, domestic violence, dating violence, and stalking that—are culturally relevant, inclusive of diverse communities and identities, sustainable, responsive to community needs, and informed by research or assessed for value, effectiveness, or outcome and consider environmental risk and protective factors as they occur on the individual, relationship, institutional, community, and societal levels.

Programs to prevent sexual assault, domestic violence, dating violence, and stalking include both primary prevention and awareness programs directed at incoming students and new employees and ongoing prevention and awareness campaigns directed at current students and employees. They include, at a minimum:

- A statement that UNM prohibits the crimes of sexual assault, domestic violence, dating violence, and stalking,
- The definitions of sexual assault, domestic violence, dating violence, stalking, and consent

in reference to sexual activity under New Mexico law and University policy,

- Safe and positive options for bystander intervention,
- Information on risk reduction, and
- Procedures UNM follows and the rights parties have through disciplinary proceedings when one of these crimes is reported.

Primary Prevention & Awareness Programs for Incoming Students & New Employees

The Grey Area Training

On October 17, 2016, the University of New Mexico entered into an agreement with the U.S. Department of Justice to refine UNM's policies regarding sexual harassment and misconduct on campus. As a part of this agreement, UNM provides a mandatory sexual misconduct prevention and bystander intervention training, The Grey Area, to all qualified UNM students. The Grey Area is a one-time, in-person or virtual session that is required of all UNM students currently enrolled in a degree-granting program with a regular presence on campus. This includes students that are enrolled at the Health Sciences Center and UNM Law School.

Prevention of Sexual Harassment and Discrimination: Gateway

This mandatory, online course prepares faculty and staff to cultivate and maintain a workplace culture resistant to discrimination, harassment, and retaliation. It equips employees with the information and skills that promote intervention, empathy, and allyship.

Ongoing Prevention & Awareness Campaigns for Students & Employees

Lobos Intervene

This 45-minute workshop offered by the <u>LoboRESPECT Advocacy Center (LRAC)</u> was developed as a follow-up bystander intervention workshop. The module, which is based in interactive role play, can be fitted for general use or tailored to fit scenarios specific to different student populations. Attendees identify various moments and modes for intervening in a variety of circumstances that could otherwise have negative consequences.

All-In: Action in Our Community

This one-hour LRAC workshop was developed in order to increase active bystander tendencies among peers. This interactive, fast-paced module can be fitted for general use or tailored to fit scenarios specific to different student populations. Attendees are challenged to consider common barriers to bystander intervention, helpful bystander strategies, as well as resources available at UNM.

Weekly Advocacy Events

The hosts events like Manicure Mondays and Crafternoons on a weekly basis, as well as support group meetings for students who have experienced eating disorders and interpersonal violence. WRC Advocates also facilitate anonymous live chat sessions for survivors of crime. For more information, contact the WRC directly at 505-277-3716, view their online WRC events calendar, or visit them in person on the southeast side of Mesa Vista Hall.

Finding the Line: Clear Boundaries. Healthy Relationships.

LRAC's one-hour workshop on healthy relationships aims to raise awareness about the frequency of intimate partner and relationship violence, while emphasizing skill-building. Attendees are given the opportunity to practice recognizing healthy, unhealthy, and abusive behaviors, to learn more about what healthy and unhealthy boundaries look like, and to identify strategies for supporting a friend who may be in an unhealthy partnership.

Prevention Workshops and Trainings

Throughout the year and each September during Campus Safety Week, various offices host workshops, trainings, social media posts, tabling, and movie screenings to promote the prevention of sex-based and interpersonal violence. Topics include dating violence prevention, safety planning, and personal safety. Various offices on campus such as the Office of Compliance, Ethics & Equal Opportunity (CEEO), UNM Women's Resource Center (WRC), the LGBTQ Resource Center, and LRAC can also customize unique training for different student groups on campus. Examples of topics include healthy relationships, consent, and active bystandership.

Safe Zone Training

This four-hour training offered by the LGBTQ Resource Center covers topics for students such as intersections of Identity, microaggressions, the coming out process, creating equitable spaces for transgender individuals, violence prevention, and more. Specialized trainings in the medical community and mental health providers are forthcoming.

Red Zone Awareness

Though UNM Newsroom, UNM students are encouraged to take a stand against The Red Zone and create a cultural shift against sexual misconduct on campus and in the community between the months of August and November when more than half of college sexual assaults occur.

"Can I talk to you?": Holding Tough Conversations

In this 1-hour workshop, we discuss major touchstones of skillful listening including how to ask open-ended questions, how to affirm strengths, and how to employ reflection. Attendees are given the opportunity to practice these skills as well as learning how and when to pass the conversation to a professional staff person.

Social Media Campaigns

Various offices and centers across campus promote awareness campaigns on their departmental social media accounts to enhance awareness about sexual assault, domestic violence, dating violence, and stalking among students, faculty, and staff. Some include:

January: Stalking Awareness April: Sexual Assault Awareness

September: National Campus Safety Awareness

October: Domestic Violence Awareness

Fundraisers and Awareness Events

Certain departments on campus such as the Women's Resource Center host fundraisers to raise awareness about issues like sexual violence, domestic violence, dating violence, and stalking. Funds go toward a victim or victim's family of the hosting department's choosing.

Digital Assets

Various departments spearheading awareness campaigns across campus make Zoom/Teams backgrounds, email signatures, and other digital graphics available to download and incorporate into employees' daily online communications.

Safe and Positive Options for Bystander Intervention

A bystander is a person who observes a conflict or unacceptable behavior. The observed behavior may be serious or minor, one-time, or repeated, but the bystander knows that the behavior is harmful or likely to make a situation worse. This person may be in a position to discourage, prevent, or interrupt unacceptable behavior.

The bystander has two options: to remain a passive bystander who observes but does not intervene in any way, or to become an active bystander. The LoboRESPECT Advocacy Center provides more information about active bystander intervention.

Information About Sex Offenders

The <u>Campus Sex Crimes Prevention Act of 2000 (CSPA)</u> requires institutions of higher education to inform the campus community where law enforcement information about registered sex offenders may be obtained. It also mandates that sex offenders who are required to register in a state must also give notice to each institution of higher education in that state at which the person is employed, carries on a vocation, or is a student. Additionally, the <u>New Mexico Sex Offender Registration and Notification Act</u> requires a convicted sex offender who is employed by, enrolled at, volunteering with, or carrying on a vocation at an institution of higher education to register with the university's law enforcement department, the university registrar, and the county sheriff for the county in which the higher education institution is located, in addition to registering with the county sheriff for the county in which the sex offender resides.

How to Access the Sex Offender Registry

Law enforcement agencies in New Mexico use OffenderWatch® to organize and publicize data about sex offenders throughout the state.

The NMDPS provides a statewide list of registered sex offenders at

https://sheriffalerts.com/cap office disclaimer.php?office=

55290&fwd=aHR0cDovL2NvbW11bml0eW5vd

GlmaWNhdGlvbi5jb20vY2FwX21haW4ucGhwP29m ZmljZT01NTI5MA=

Members of the public can also use the <u>OffenderWatch</u> app to find and receive alerts about sex offenders in their area or in the area of loved ones: <u>https://offenderwatch.com/offenderwatch-family-safety-app/.</u>

Information on Risk Reduction

Depending on the individual and their community, people in all walks of life can be at risk of interpersonal violence. Experiences or traits that may make it more likely for someone to experience sexual assault, domestic violence, dating violence, or stalking include an unstable family dynamic, prior abuse or being exposed to abusive behavior in the past, isolation or weak social connections, bullying, addiction, homelessness, mental health issues, and poverty. Reducing these risks can lessen someone's likelihood of becoming a victim of interpersonal violence and improve the health of a community overall. Some ways all community members can help effect positive change are to promote healthy approaches to relationships, intimacy, and problem solving and to interrupt cycles that perpetuate violence.

Healthy Relationships

In most healthy relationships, family, friends, and intimate partners communicate openly, listen with intent, develop trust, and encourage each other to grow. They establish boundaries and acknowledge the need for individual space—whether it be physical or emotional. When difficult situations arise or trauma surfaces (such as the death of a loved one), they help each other maintain healthy habits while coping with the stress or suffering.

Intimacy

Intimacy can be a strong component of a social or romantic relationship. The level to which every individual is comfortable showing varying degrees of intimacy is unique to every person. Creating and nurturing a culture of consent and understanding the parameters of how it is defined in one's community is one way individuals can help reduce interpersonal violence, especially in intimate situations where no third party is present to intervene.

Contemplate your needs when it comes to openness and intimacy. What are your physical boundaries? Just as importantly, what are your conversational boundaries? How do you get to a place of trusting someone else? What are some ways you can be trustworthy to others?

Be open about discussing consent and boundaries in your relationships. Talk about how consent plays a role in different scenarios that might arise in the future and revisit them as regularly as you and the other person are willing and comfortable.

Consent can broaden, or it can even push and pull across the course of a relationship. During an intimate encounter, it can be granted, withheld, or withdrawn at any point by either person. During intimacy, it is important for each person to set aside what they think they may know about what the other wants. If someone is expressing themselves, actively listen to what they are telling you—or what it sounds like they are trying to get across. Pay attention to their body language, too. Someone's nonverbal cues might be the main (or only) way for them to communicate their discomfort in an intimate situation.

Verbally acting out the giving, withholding, or withdrawing consent either in the mirror or with a

trusted friend develops confidence for future interactions. This type of practice also gives your mind and body a positive point of reference in real-life situations so that you are not expressing yourself for the first time in an intimate setting.

If someone else decides not to engage in intimate behavior with you, don't take it as an affront to you. Instead, try to put yourself in their shoes. Their decision not to consent—or their decision to withdraw consent at any time during an encounter—can be for any variety of reasons. It might even be in response to something completely unrelated or to a way in which they were treated by someone in the past.

Non-violent Problem Solving

Being in a healthy relationship does not mean never having a disagreement with a family member, friend, or intimate partner. On the contrary, resolving disagreements or misunderstandings in a healthy manner can help family, friends, and intimate partners develop mutual respect and growth, making it less likely that they try to solve disagreements using violence in the future.

Different people bring different experiences and perspectives to solving problems. Staying connected with caring adults at school, work, or a living or faith-based community can provide opportunities for someone facing obstacles to explore healthy options for overcoming them.

Resources such as counseling services on campus and in the community can help individuals learn practical, beneficial, deliberate, and non-violent coping skills unique to situations they might face. Such coping skills might also involve reducing one's reliance on drugs or alcohol or avoiding situations where one might be exposed to someone under the influence who is incapable of resolving conflict without violence.

In situations where conflicts resurface, it is helpful to step back and try to get at what the root cause might be. Finding ways to treat the problem as separate from the people involved can reduce their inclination to argue or use violence each time the problem arises.

Using "I" statements when having difficult conversations, rather than using language that places blame, can encourage open dialogue in which those involved feel respected and can take responsibility for their own emotions rather than resorting to blame or violence.

Interrupting Risk Cycles

Showing support for at-risk individuals, making them feel welcome, and helping them stay connected to the community by providing a safe environment in which they can express themselves and take advantage of resources is one way to interrupt risk cycles of violence in society. Embracing informal mentorship and formal mentorship programs between caring adults and at-risk individuals can help make them less vulnerable to violence. Incorporating services, events, and activities that engage entire families in a safe, supportive environment can also help service providers eradicate ongoing violence in the community.

Counseling, mental health, safety planning, and other support resources on campus and in the community offer safe places people can talk through past experiences that affect their current and future relationships.

Lean on support systems you have at school, work, and your personal life and be a support to others. If you are more introverted than others, stay connected with someone in your life who is more social than you are.

Seek agency and purpose in your school, work, and personal life and help others do the same.

Connect to a caring adult and check in with them regularly about your wellbeing. If you are a caring adult, set a positive example for others and mentor where you can.

A SURVIVOR'S GUIDE TO RIGHTS & OPTIONS AT UNM

This section provides information about the rights of students and employees after they have experienced an incident involving dating violence, domestic violence, sexual assault, or stalking. It is available to all students and employees in pdf and e-book formats on the Office of Compliance, Ethics & Equal Opportunity's webpage as <u>A Survivor's Guide to Rights & Options at UNM</u> and in print upon request. It is also disseminated to students and employees through a variety of campus programs designed to prevent these crimes.

Written Notification of Rights & Options

When a student or employee reports to a University official that they have been a victim of sexual assault, domestic violence, dating violence, or stalking, regardless of whether offense occurred on or off campus, UNM will provide the student or employee with the University's procedures for disciplinary action as well as a direct link to and/or a printed copy of <u>A Survivor's Guide to Rights</u> & <u>Options at UNM</u>. It includes procedures victims should follow if a crime of dating violence, domestic violence, sexual assault, or stalking has occurred, including written information about—

- The importance of preserving evidence that may assist in proving that the alleged criminal offense occurred or may be helpful in obtaining a protection order;
- How and to whom the alleged offense should be reported;
- Options about the involvement of law enforcement and campus authorities, including notification of the victim's option to
 - o Notify proper law enforcement authorities, including on-campus and local police;
 - Be assisted by campus authorities in notifying law enforcement authorities if the victim so chooses; and
 - Decline to notify such authorities; and
 - o The rights of victims and the University's responsibilities for orders of protection, "no-contact" orders, restraining orders, or similar lawful orders issued by a criminal, civil, or tribal court or by the institution;

- Information about how the University will protect the confidentiality of victims and other necessary parties, including how it will
 - o Complete publicly available recordkeeping, including Clery Act reporting and disclosures, without the inclusion of personally identifying information about the victim, as defined in section 40002(a)(20) of the Violence Against Women Act of 1994 (42 U.S.C. 13925(a)(20)); and
 - Maintain as confidential any accommodations or protective measures provided to the victim, to the extent that maintaining such confidentiality would not impair the ability of UNM to provide the accommodations or protective measures;
 - Existing counseling, health, mental health, victim advocacy, legal assistance, visa and immigration assistance, student financial aid, and other services available for victims, both within the institution and in the community;
 - Options for, available assistance in, and how to request changes to academic, living, transportation, and working situations or protective measures. UNM makes such accommodations and provide such protective measures if the victim requests them and if they are reasonably available, regardless of whether the victim chooses to report the crime to campus police or local law enforcement;
 - Each type of disciplinary proceeding used by the University; the steps, anticipated timelines, and decision-making process for each type of disciplinary proceeding;
 - o How to file a disciplinary complaint;
 - How UNM determines which type of proceeding to use based on the circumstances of an allegation of dating violence, domestic violence, sexual assault, or stalking;
 - A description of the standard of evidence that will be used during any institutional disciplinary proceeding arising from an allegation of dating violence, domestic violence, sexual assault, or stalking;
 - A list all of the possible sanctions that the University may impose following the results
 of any institutional disciplinary proceeding for an allegation of dating violence,
 domestic violence, sexual assault, or stalking;
 - A description of the range of protective measures that the institution may offer to the victim following an allegation of dating violence, domestic violence, sexual assault, or stalking;
 - o An explanation that the proceedings will—
 - Include a prompt, fair, and impartial process from the initial investigation to the final result:
 - Be conducted by officials who, at a minimum, receive annual training on the issues related to dating violence, domestic violence, sexual assault, and stalking and on how to conduct an investigation and hearing process that protects the safety of victims and promotes accountability;
 - Provide the accuser and the accused with the same opportunities to have others
 present during any institutional disciplinary proceeding, including the opportunity
 to be accompanied to any related meeting or proceeding by the support person* of
 their choice;
 - Not limit the choice of support person or presence for either the accuser or the accused in any meeting or institutional disciplinary proceeding; however, the institution may establish restrictions regarding the extent to which the support

- person may participate in the proceedings, as long as the restrictions apply equally to both parties; and
- Require simultaneous notification, in writing, to both the accuser and the accused,
 of—
- The result of any institutional disciplinary proceeding that arises from an allegation of dating violence, domestic violence, sexual assault, or stalking;
- The institution's procedures for the accused and the victim to appeal the result of the institutional disciplinary proceeding, if such procedures are available;
- Any change to the result; and
- When such results become final.

A prompt, fair, and impartial proceeding includes a proceeding that is—

- Completed within reasonably prompt timeframes designated by an institution's policy, including a process that allows for the extension of timeframes for good cause with written notice to the accuser and the accused of the delay and the reason for the delay;
- Conducted in a manner that
 - o Is consistent with the institution's policies and transparent to the accuser and accused;
 - Includes timely notice of meetings at which the accuser or accused, or both, may be present; and
 - Provides timely and equal access to the accuser, the accused, and appropriate officials to any information that will be used during informal and formal disciplinary meetings and hearings; and
 - o Conducted by officials who do not have a conflict of interest or bias for or against the accuser or the accused.

"Support Person" means any individual who provides the accuser or accused support, guidance, or advice. In the Clery Act, this role is referred to as an "Advisor".

"Proceeding" means all activities related to a non-criminal resolution of an institutional disciplinary complaint, including, but not limited to, factfinding investigations, formal or informal meetings, and hearings. Proceeding does not include communications and meetings between officials and victims concerning accommodations or protective measures to be provided to a victim.

"Result" means any initial, interim, and final decision by any official or entity authorized to resolve disciplinary matters within the institution. The result must include any sanctions imposed by the institution. Notwithstanding section 444 of the General Education Provisions Act (20 U.S.C. 1232g), commonly referred to as the Family Educational Rights and Privacy Act (FERPA), the result must also include the rationale for the result and the sanctions.

Disclosure of the Results of Disciplinary Proceedings

UNM will, upon written request, disclose to the alleged victim of a crime of violence or a non-forcible sex offense, the report on the results of any disciplinary proceeding conducted by the institution against a student who is the alleged perpetrator of such crime or offense. If the alleged

victim is deceased as a result of such crime or offense, the next of kin of such victim shall be treated as the alleged victim for purposes of this paragraph.

How UNM Protects Your Confidentiality

Whether or not you ask to remain anonymous when reporting an incident of alleged sexual assault, domestic violence, dating violence, or stalking to a University official, a victim's personal information is always held confidential and is never published or provided in any crime statistic, crime log, or campus notification. UNM maintains as confidential any accommodations or protective measures provided to the victim of an alleged VAWA offense, to the extent that maintaining such confidentiality would not impair the ability of the University to provide the accommodations or protective measures. UNM will complete publicly available recordkeeping, including Clery Act reporting and disclosures, without the inclusion of personally identifying information about the victim, as defined in section 40002(a)(20) of the Violence Against Women Act of 1994 (42 U.S.C. 13925(a)(20)). If information needs to be shared among UNM officials, it must be on a need-to-know basis and as authorized under University Administrative Policy and federal and state law.

First Steps to Consider Following an Act of Sexual Assault, Domestic Violence, Dating Violence, or Stalking

Medical Assistance

If you need medical assistance, don't wait. The following are medical centers in the Gallup area that are equipped to handle urgent and emergency medical needs.

Rehoboth McKinley Christian Health Care Services Emergency Room

1901 Redrock Dr Gallup, NM 87301 505-863-7141

Gallup Indian Medical Center

516 E Nizhoni Blvd Gallup, NM 87301 505-722-1000

24/7 Nurse Advice Line: 505-985-5206

Hiroshi "Hershey" Miyamura U.S. Department of Veterans Affairs (VA) Clinic

2075 S NM Hwy 602 Gallup, NM 87301 Mental Health Care: 505-265-1711, Ext. 2150

Sexual Assault Nurse Examiner (SANE) Services

Any healthcare professional can treat injuries and take necessary steps to address concerns of pregnancy and/or sexually transmitted infections. However, only specially trained Sexual Assault Nurse Examiners (SANE Nurses) can collect forensic evidence, which they may be able to do for up to five (5) days after an assault. This evidence, which may only be available immediately after an incident involving assault, can be saved aside regardless of whether you choose to report the incident immediately, at a later time, or never at all. The police can provide transportation, even if you do not want to file a police report.

Sexual Assault Services of Northwest New Mexico (SASNWNM-Gallup)

111 S 1st St Gallup, NM 87301 505-399-5940

24/7 Dispatch: 866-908-4700

UNMGPD can provide transportation, even if you do not want to file a police report!

Preserving Evidence

It is not uncommon for a survivor to forget details about an assault or other significant event, or to not want to report it. Even if you do not want to participate in a criminal or administrative process right away, you might change your mind later. Taking the time to document everything can help you reconstruct a timeline of events should you wish to describe what happened down the road. Preserving evidence within the hours and days following an incident may also assist in proving a criminal offense occurred or may be helpful in obtaining a protection order. It also helps University officials determine whether a policy violation occurred should you wish to participate in the administrative grievance process.

Here are some tips:

- Urinate as soon as possible in an airtight container instead of the toilet and keep it with you. Forensic examiners can test the urine at a time of your choosing for illicit substances such as Rohypnol.
- Ask a trusted friend or family member to help you take photos of any bruising, scratches, or other physical injuries you suffered from the incident.
- Write down the names of people who might have seen you immediately before or after the incident, people with whom you talked about the abuse, misconduct, or incident, or people who you believe could serve as a witness.
- Save all text messages, emails, videos, photos, call logs, social media posts (screenshots can be helpful), and any other relevant information that helps document the other person's behavior.
- Maintain a log of the time(s) you interacted with the perpetrator or they attempted to contact you.

• Take photos of any property damaged by the perpetrator.

Restraining Orders

A Restraining Order is a court order that restricts someone from threatening, harassing, contacting, or going near you or your family, or even from having a gun. They are put in place for those who are worried about their safety because someone stalked, threatened, abused, or assaulted them. You do not need a lawyer to obtain one.

A Domestic Violence Order of Protection is a type of Restraining Order the court issues for someone whose household member has abused or threatened to abuse them, regardless of whether they live together, or for someone has been stalked or sexually assaulted by someonewho is not a household member. A Civil Harassment Restraining Order (Temporary Restraining Order, or TRO) is another option for when you don't have any kind relationship with the other person. A court may grant a Restraining Order after it receives a statement in the form of a petition or affidavit from a petitioner (the person requesting it).

Who can help me Obtain a Restraining Order?

Every District Attorney's office in the State of New Mexico has a Victim Advocate who can help with forms and applications, accompany victims to court, and provide notices and reminders to the victim about hearing dates and an offender's custody status.

UNM's Responsibilities in Relation to Orders of Protection

UNM encourages anyone who petitions for (requests) an Order of Protection to provide a copy of their petition to the University of New Mexico Police Department. In addition to maintaining a hard copy for the petitioner so it is always accessible in the event of a technological failure, UNMGPD can help with:

- Obtaining an Order and, when the respondent lives on or near campus, assisting with serving the order to the respondent,
- Locating a Domestic Violence Advocate to assist you through the court hearing proceedings and driving you to your meetings with them, and
- Working external agencies to help you find officers to conduct extra patrols at your residence.

No Contact Directives

Unlike a Restraining Order which only a court can issue and enforce, a No Contact Directive (NCD) is an administrative, interim protective measure between two or more persons affiliated with UNM. The NCD is a means of preventing unwanted direct or directed contact and

communication and serves as a way to help prevent harassment or disruptions to the learning environment within the University setting.

No Contact Directives take effect 24 hours after delivery to a UNM email account or read by the party—whichever occurs first. Due to the nature of a No Contact Directive restricting contact and communication between parties, each party will know the identity of the other party.

The NCD is not an Order of Protection and the University has no authority to limit contact and communication with someone unaffiliated with the University.

How to Request an NCD

The <u>Dean of Students Office</u> of Student Rights and Responsibilities issues NCDs for current UNM students. The Dean of Students has designated university officials at UNM branch campuses, Health Science Center, Law School, or other specialty programs issue NCDs for students in those programs.

Human Resources and/or the Provost Office provide options to address communication concerns between a UNM student and UNM employee and manages issuance of NCDs limiting a UNM employee's contact with a student.

To request an NCD through a confidential resource, contact:

LoboRESPECT Advocacy Center

505-277-2911 | loborespect@unm.edu

LGBTO Resource Center

505-277-5428 | lgbtqrc@unm.edu

Women's Resource Center

505-277-3716 | women@unm.edu

Violations of an NCD

Alleged violations of an NCD should be reported to the Dean of Students Office, or designee, and should include supporting documentation and evidence. The reported behavior will be reviewed under the Student Code of Conduct, with any potential disciplinary action following

procedures outlined in the Student Disciplinary Procedures. In accordance with privacy regulations, the individual who reported the possible violation will not be informed of any findings or specific conduct outcomes, if any.

Reporting an Incident to Law Enforcement

If you or someone else is in immediate danger, dial 9-1-1.

UNM strongly encourages all members of the community (faculty, staff, students, and visitors) to report any crimes immediately to law enforcement. However, the University also recognizes that victims have a choice to make such a report and have the right to report anonymously or even decline police notification or involvement. Any information you provide is only shared among UNM officials on a need-to-know basis and as authorized under University Administrative Policy and applicable federal and state law. Public records such as UNM-G's daily crime log never include personally identifying information about victims.

How Do I Make a Police Report?

To report a crime to law enforcement, UNM recommends calling the non-emergency number of the agency that has primary jurisdiction where the incident occurred. If you are unsure of which one to call, contact UNMGPD (505-863-7620) and they can help you determine which agency to notify.

Does UNM Have Its Own Police Force?

Yes. The <u>University of New Mexico-Gallup Police Department (UNMGPD)</u> Officers meet all state certification standards mandated by the New Mexico Department of Public Safety and are commissioned by the UNM Board of Regents (Section 29-5-2 NMSA 1978). They have full power of peace officers on campus, which includes the authority to enforce all applicable laws and campus traffic regulations, as well as the authority to arrest. Officers investigate complaints of criminal activity on campus, partner with other police agencies to solve them, and work closely with their respective District Attorney's Office to ensure effective prosecution.

University of New Mexico-Gallup Police Department

505-863-7620 Gurley Hall Gallup, NM 87301

Local Law Enforcement

Gallup Police Department

505-863-9365 451 Boardman Dr Gallup, NM 87301

McKinley County Sheriff's Office

505-863-1410 300B Nizhoni Blvd Gallup, NM 87301

What Can I Expect After Reporting?

A patrol Officer is typically the first to respond and speak with the person reporting a crime, along with any other parties present. Depending on the situation, a detective may be the first to respond and, in some cases, a victim might have to repeat what happened to them more than once. In addition to the victim's statement, Officers will try to gather evidence and information from other parties, such as suspects or witnesses, to build a case that is strong enough for the District Attorney's Office to prosecute in court.

Can Someone Help Me Report to Police?

Yes. You have the right to be assisted by campus authorities in notifying law enforcement authorities at any time if that is what you choose to do. You also have the option to decline to notify such authorities. For help with connecting with law enforcement, contact the Office of Compliance, Ethics & Equal Opportunity at 505-277-5251.

Seeking Support From UNM

If a student or employee has experienced sexual assault, domestic violence, dating violence, stalking, or another type of discrimination, harassment, or misconduct, they are entitled to supportive measures regardless of which direction they would like to see a disclosure take or whether you decide to report to law enforcement.

Supportive measures are free, individualized services or adjustments (accommodations) intended to help you maintain your education with minimal interference, to the extent possible. Supportive measures, some of which are protective measures, are non-punitive and held confidential for all parties, so long as maintaining such confidentiality does not impair UNM's ability to provide the supportive measures.

Supportive Measures for Students

Examples of supportive measures available to students include:

- Referrals for mental health or medical services
- Maneuvering campus and law enforcement resources
- Modifications of work or class schedules
- Student financial aid
- Visa and immigration assistance
- Campus escort services
- Mutual restrictions on contact between the parties
- Temporary or permanent changes to a room assignment
- Extra patrols at a Complainant's on-campus residence
- Potentially imposing a temporary ban on the Respondent (offender)
- Creating a safety plan

Supportive Measures for Employees

Examples of supportive measures available to employees include:

- Maneuvering campus and law enforcement resources
- Referrals for mental health or medical services
- Visa and immigration assistance
- Transportation assistance to include campus escort services and parking adjustments
- Mutual restrictions on contact between parties
- Adjustments in the workplace or with scheduling
- Leaves of absence
- Increased security, such as extra patrols
- Potentially imposing a temporary ban on the offender (Respondent)
- Creating a safety plan

UNM's confidential support sites can assist students and employees with requesting, accessing, or requesting changes to supportive and/or protective measures, which are available following a disclosure—regardless of whether they decide to report to the University administration or law enforcement.

Confidential Support Sites

Carefully chosen employees within certain departments at UNM are designated as confidential employees by the Title IX Coordinator and are exempt from the reporting obligations described

in UAP 2740. Confidential employees are bound by confidentiality and will not share information with CEEO. This is to ensure that individuals who experience discrimination or misconduct can seek support and guidance at the University, even if they do not want to engage with CEEO or law enforcement. However, confidential employees at UNM still have reporting obligations as CSAs under the Clery Act. CSAs are required to report all crimes that are reported to them or that they personally witness as soon as it is practical to do so and may do so by using deidentified information about the parties involved.

Confidential Support Sites for Students

UNM encourages students to consult with a confidential employee on campus who can guide you through your options. The professionals at the sites tagged $\sqrt{Confidential}$ below provide safe and welcoming environments on campus and are trained to help you decide how to move forward in a direction of your choosing. Information you provide to a confidential employee does not generate a formal report, however, they can help you make a report to law enforcement and/or the UNM administration should you wish to report to either one. Sites tagged $\sqrt{Supportive}$ Measures can assist you with requesting, accessing, or requesting changes to supportive and/or protective measures, which are available following a disclosure, regardless of whether you decide to report to law enforcement. Sites with the $\sqrt{Advocacy}$ tag also offer free advocacy services and sites with an asterisk (*) are available to students at all UNM Branches.

LoboRESPECT Advocacy Center (LRAC)*

The LoboRESPECT Advocacy Center is a place of advocacy, safety, and support for all UNM students.

University Advisement & Enrichment Center (Bldg 85)

400 Cornell Dr NE, Rm 262, Albuquerque, NM 87131

505-277-2911 | loborespect@unm.edu

✓ Confidential ✓ Supportive Measures ✓ Advocacy

Women's Resource Center (WRC)*

The Women's Resource Center is a place of advocacy, safety, and support for all members of UNM and greater community.

Mesa Vista Hall (Bldg 56)

301 Cornell Dr NW, Rm 1160, Albuquerque, NM 87106

505-277-3716 | women@unm.edu

 \checkmark Confidential \checkmark Supportive Measures \checkmark Advocacy

LGBTO Resource Center*

The LGBTQ Resource Center offers services and support for LGBTQ+ individuals at UNM. 919 Las Lomas NE (Bldg 168), Albuquerque, NM 87131 505-277-5428 | lgbtqrc@unm.edu

Ombuds Services*

Ombuds Services is a no-barrier, first-stop for UNM graduate students seeking guidance, information, and insight from a trusted, skilled neutral in a setting that is confidential, independent, and informal.

1800 Las Lomas Blvd NE (Bldg 29), Albuquerque, NM 87106

(SE corner of Buena Vista Rd NE & Las Lomas Blvd NE.

Visits by appointment only.)

505-277-2993 | ombuds@unm.edu

√ Confidential

Confidential Support Sites for Employees

UNM encourages employees to consult with a confidential employee on campus who can guide you through your options. The professionals at the sites labeled \(\script Confidential \) below provide safe and welcoming environments on campus and are trained to help you decide how to move forward in a direction of your choosing. Information you provide to a confidential employee does not generate a formal report, however, they can help you make a report to law enforcement and/or the UNM administration should you wish to report to either one. Sites with an asterisk (*) are available to employees at all UNM Branches.

Counseling, Assistance & Referral Services (CARS)*

1800 Mesa Vista Rd NE (Bldg 184) Albuquerque, NM 87106 505-277-3136 | cars@unm.edu √Confidential

Ombuds Services*

1800 Las Lomas Blvd NE (Bldg 29)
Albuquerque, NM 87106
(SE corner of Buena Vista Rd NE & Las Lomas Blvd NE. Visits by appointment only.)
505-277-2993 | ombuds@unm.edu

√Confidential

Reporting Sexual Assault, Domestic Violence, Dating Violence, or Stalking to the University

A Complainant (victim) or any other party with information about a potential sexual assault, domestic violence, dating violence, or stalking may file a report or disciplinary complaint with CEEO by:

- Submitting a complaint via the UNM EthicsPoint Hotline using <u>online Complaint Form</u> or by calling 1-888-899-6092 (anonymous reporting option is available),
- Emailing CEEO at ceeo@unm.edu,
- Making an appointment with a CEEO employee,
- Calling 505-277-5251,
- Faxing CEEO at 505-277-1356,
- Mailing a written document to CEEO, or
- Stopping into CEEO's office in person during regular business hours.

CEEO's office is located west of Dane Smith Hall at:

609 Buena Vista Rd NE (Building 42)

MSC05 3150

Albuquerque, NM 87131

Individuals can also make a report or disciplinary complaint for alleged sexual assault, domestic violence, dating violence, or stalking directly to UNM's Title IX Coordinator, located within CEEO:

Beck Rivera, J.D. Title IX Coordinator 505-277-5251 barivera@unm.edu

While CEEO is not a confidential resource, its staff are also available to assist students and employees with supportive and/or protective measures—regardless of which type of complaint they decide to pursue or whether they decide to report to law enforcement.

How UNM Responds to Reports of Sexual Assault, Domestic Violence, Dating Violence & Stalking

Through UNM's grievance process, a student or employee accused of sexual assault, domestic violence, dating violence, or stalking in a report to the University has the potential to be found responsible for violating one or more of the following University Administrative Policies (UAPs) at UNM:

UAP 2720: Prohibited Discrimination and Equal Opportunity

UAP 2745: Clery Act Compliance

UAP 2740: Sexual Harassment Including Sexual Assault

<u>CEEO</u> is the independent, impartial, and neutral campus office designated to respond administratively to allegations of any of these Violence Against Women Act (VAWA) crimes under one or more of these policies—regardless of where the incident occurred.

When CEEO receives a report, a CEEO staff member contacts the Complainant with campus and community resources (to include <u>A Survivor's Guide to Rights & Options at UNM</u>), offers the Complainant an opportunity to meet and request supportive measures, advises the Complainant of the different options available to them should they choose to move forward with the complaint, and provides information regarding the full CEEO Grievance Procedure.

UNM's <u>CEEO Grievance Procedure (CGP)</u>, available in full in Appendix A, dictates the process by which UNM responds to a report or disciplinary complaint of sexual assault, domestic violence, dating violence, or stalking, regardless of which policy is invoked. The grievance process is the same for UNM students, faculty, and staff.

Jurisdiction

Regardless of where the alleged conduct occurred, a VAWA crime is considered jurisdictional to CEEO if at least one of the parties involved is affiliated with the University through enrollment, employment, or contact and at least one of the following conditions is met:

- The conduct occurred in the context of a UNM employment or educational program or activity.
- The conduct has continuing effects on campus or in an off-campus sponsored program or activity.

Reports and disciplinary complaints involving VAWA allegations that meet the following criteria may specifically invoke UAP 2740 pursuant to Title IX of the Education Amendments of 1972.

- The conduct is based on the Complainant's perceived or actual sex, sex stereotypes, sex characteristics, gender identity, sexual orientation, or pregnancy or related conditions.
- The conduct took place on campus or on property owned or controlled by UNM, at a UNM-sponsored event, or in a building owned or controlled by UNM or a UNM-recognized student organization.
- The Complainant must be participating or attempting to participate in UNM's educational programs or activities at the time alleged conduct occurred.
- The Respondent is a member of the UNM community.
- The conduct occurred within the United States.

Even in cases where the report is considered non-jurisdictional because the Respondent is unaffiliated with the University, a current or prospective student or employee is entitled to supportive and protective measures after filing a report. If a report falls within CEEO's jurisdictional scope, two options a Complainant has are to resolve the report or concern through

an alternative resolution or investigation.

Alternative Resolution

An alternative resolution (AR) is a path designed to eliminate the alleged discriminatory or harassing conduct, prevent its recurrence, and remedy its effects in a manner that ensures compliance, along with the safety and welfare of the campus community. This is an informal process where an investigation is not conducted and the allegations in the report are neither corroborated nor contested. An AR is not disciplinary in nature.

Investigation

During an investigation, CEEO acts as a neutral finder of facts. A Compliance Specialist assigned to the case conducts interviews with the Complainant, Respondent, and any witnesses, gathers evidence, and compiles an Investigative Report. After both parties have had a chance to review it and add any new information they may have, CEEO concludes the investigation, finalizes the Investigative Report, and provides it simultaneously to both parties and the UNM Hearing Office.

Live Hearing

The UNM Hearing Officer (HO) presides over a live hearing to adjudicate the complaint and determine whether the Respondent's behavior violated UNM policy. The HO reaches a determination by examining the evidence in CEEO's Investigative Report, listening to statements, and applying a preponderance of the evidence standard. If the HO finds someone responsible by applying this standard, it means they determined it was more likely than not the person was engaged in the behavior for which they were accused. The Hearing Office notifies both parties in writing about the HO's Final Determination simultaneously. UNM's HO Procedures are available in full in Appendix B.

Dismissals & Withdrawals

If, at any point, the available evidence shows that the matter does not meet jurisdictional requirements or if the Respondent is no longer affiliated with UNM, CEEO may dismiss the complaint against them. A Complainant may also elect to withdraw their complaint at any time.

Right to Appeal

Either party may appeal a dismissal, final determination, and/or sanction in writing to the Office of the President within seven business days of the date the applicable decision was issued if they believe a conflict of interest, bias, or procedural irregularity affected the outcome. A party may

also petition the Board of Regents for an appeal of the President's decision within 10 days after the President's decision is issued.

General Timelines

CEEO attempts to resolve all reports in a timely manner, and generally concluding investigations within sixty (60) calendar days. However, some investigations may take additional time depending upon the complexity of the claim(s) and other extenuating circumstances (e.g., numerous witnesses, newly discovered evidence, new allegations, good cause extension). In investigations where there is also a criminal investigation being conducted by law enforcement, the CEEO process will run concurrently with such an investigation. CEEO may grant temporary delays reasonably requested by law enforcement for evidence gathering and preservation. Alternative resolutions are typically resolved in a matter of months and no more than a year, depending on the circumstances and monitoring period the parties agree upon.

Remedies

Upon a Respondent's finding of responsibility under UAP 2740, the Complainant may also be provided with remedies to maintain their equal access to the working and learning environment in addition to supportive measures they may have in place. Remedies can take the form of disciplinary measures for the Respondent.

Sanctions

If the HO determines the Respondent has violated UNM policy, they will coordinate with the most appropriate University office to provide sanctions that are appropriate and consistent with the findings. The sanctioning authority must discuss the disciplinary action with the Title IX Coordinator prior to finalizing the disciplinary action. Someone who is found responsible for a violation of policy involving sexual assault, domestic violence, dating violence, or stalking is subject to any of the following sanctions.

Possible Sanctions for Students, Faculty and Staff Found in Violation of UAP 2720 and/or UAP 2740 Regarding a VAWA Offense

		Students, Non-unionized Faculty and Staff & Visitors				Unionized Faculty & Staff				
		Students	Non-unionized faculty	Non-unionized staff	Visitors	Faculty represented by United Academics-UNM Unit 1 or 2	Staff represented by United Staff – UNM	Staff represented by Communications Workers of America	Staff represented by the UNM Police Officer's Association Albuquerque	Staff represented by United Electrical, Radio and Machine Workers of America (United Graduate Workers of UNM)
	Verbal warning	✓	✓		✓					
	Written warning	✓	✓	✓	✓		✓	✓	✓	✓
	Assessments	✓								
	Civic services	✓								
	Community engagement	✓								
	Educational assignment	✓								
	Meetings	✓								
	Workshops	✓								
	Contact restrictions	✓								
	Censure		✓			✓				
	Probation				✓					
ion	Letter of improvement			✓			✓	✓	✓	
anction	Disciplinary probation	✓	✓							
Sa	Suspension	✓		✓			✓	✓		
	Suspension without pay		✓			✓			✓	✓
	Expulsion	✓								
	Dismissal	✓	✓							
	Discharge			✓			✓	✓	✓	✓
	Termination					✓				
	Removal from campus				✓					
	Residential restrictions	✓								
	Barred from campus	✓			✓					
	Denial of admission, readmission, or employment by the University				✓					

The following list defines each of the potential sanctions listed in the above table.

- Assessments means substance use or other health evaluations.
- **Barred from campus** means being barred from all or designated portions of the University property or activities.
- Censure for faculty means a written reprimand, which shall include an explanation of the nature of the misconduct, specific action(s) to be taken by the faculty member and/or department chair to correct the problem, and a statement that further disciplinary action, up to and including dismissal, could occur should the problem persist.
- Civic service means community service.
- Community engagement means an assignment to attend an event or engage in the life of the University community.
- **Contact restrictions** means or communication or contact limitations or prohibition with other member(s) of the University community.
- **Discharge** for non-unionized staff means permanent involuntary separation of employment from the University.
- **Discharge** for staff represented by United Staff UNM, Communications Workers of America, or the UNM Police Officer's Association Albuquerque is a permanent, involuntary separation from employment from the University.
- **Discharge** for staff represented by the United Electrical, Radio and Machine Workers of America (United Graduate Workers of UNM) means separation of a bargaining unit member from an active assistantship during the current term based on issues unrelated to a bargaining unit member's academic performance.
- **Disciplinary probation** for students means the establishment of a time period during which further acts of misconduct may or will result in more severe disciplinary sanctions depending on the conditions of the probation.
- **Disciplinary probation** for faculty involves specific disciplinary action taken for a designated period of time designed to assist the faculty member in correcting misconduct. Examples of disciplinary actions that may be part of the disciplinary probation include, but are not limited to:
 - o Denial of merit-based salary increase
 - o Reassignment within UNM
 - Fines or restitution
 - Mandatory counseling
- **Dismissal** for students means termination of student employment, either for a stated time period or indefinitely.
- **Educational assignment** means a research project, reflection prompt, readings, or other assigned learning experience.
- **Expulsion** means losing student status for an indefinite period of time. Readmission may not be sought before the expiration of two years from the date of expulsion, and it is not guaranteed even after that time.
- Letter of improvement means a letter that documents issues of concern brought forward by a staff member's supervisor and their supervisors' expectations for improvement, with the expectation that such discussions will result in improved performance. A letter for improvement is not documented in an employee's official personnel file. However, the supervisor shall maintain a record of any letter for improvement in the departmental file and the document may be referred to in any further disciplinary action.

- Meetings means scheduled meetings or conversations.
- **Probation** for staff means the establishment of a time period during which further acts of misconduct may or will result in more severe sanctions depending on the conditions of the probation.
- **Removal from campus means** being physically escorted or forcibly removed to a location off property owned or controlled by UNM, by UNM police officers or other UNM agents.
- Residential restrictions means restrictions, relocation, or removal from University housing.
- **Restitution** means financial payment to the University for amounts assessed or incurred because of a Student Code of Conduct violation. Restitution payments between parties are not sanctioned, mandated, or administered under this procedure.
- **Suspension** for students means losing student status for a period of time specified in the terms of the suspension. A suspension may commence immediately upon a finding of a violation or it may be deferred to a later time.
- **Suspension** for non-unionized staff means losing employment for normally one (1) to five (5) business days, which may be longer depending on the severity of the issue.
- Suspension/Suspension without pay for employees means temporary, involuntary removal from employment, without pay, for a stated period of time.
- **Termination** means dismissal of employment initiated by UNM.
- Verbal warning for students means an oral reprimand.
- Verbal warning for faculty means an oral reprimand that is not documented in the personnel file.
- Workshops means assignment to an educational program or participation in a workshop, to include costs at the student's expense.
- Written warning for students means a written reprimand.
- Written warning for non-unionized staff means a written reprimand that is documented in the employee's official personnel file.
- Written warning/reprimand for unionized staff means a written reprimand that provides the employee with a written explanation of the events leading to the warning, an explanation of any applicable rules, and any subsequent information that can help the employee improve the identified problem. A written warning is documented in the employee's personnel file.

Know Your Rights

Upon filing a formal complaint with the University, you have the right to:

- Proceedings that:
 - o Include a prompt, fair, and impartial process from the initial investigation to the final result.^
 - Are completed within reasonably prompt timeframes as designated by UNM policy, including a process that allows for the extension of timeframes for good cause, with written notice to the accuser and the accused of the delay and the reason for the delay.^
 - Are conducted in a manner that is consistent with UNM's policies, transparent to the accuser and accused, and by campus officials who receive, at a minimum, annual training on the issues related to sexual assault, domestic violence, dating violence, and stalking, as well as how to conduct an investigation and hearing process that protects

the safety of victims and promotes accountability and do not have a conflict of interest or bias for or against the Complainant or Respondent.^

- Timely and equal access to any information that will be used during informal and formal disciplinary meetings and hearings.^
- Receive timely notice of meetings at which the accuser or accused, or both, may be present.^
- Examine and respond to all evidence gathered by CEEO.^
- Be accompanied by others during any institutional disciplinary proceeding, including the opportunity to be accompanied to any related meeting or proceeding by a support person of your choice during the CEEO process—including during the investigatory stage—to help you navigate UNM's administrative processes.*
- Supportive measures throughout the administrative resolution process.^
- Simultaneous, written notification of:
 - o the results of any disciplinary proceeding,^
 - o the right to appeal a decision and the procedures for doing so,^
 - o any change to the results, and
 - o once the results become final.^
- Appeal a dismissal, a Hearing Office Final Determination, or accompanying sanction to the Office of the President within seven business days of the date the Hearing Office issues its Final Determination, and to receive notice in writing of the final outcome after the appeal is resolved.^
- * A support person's role is to assist the party in navigating UNM's administrative processes. Support person roles may vary based upon the specific needs of the party. In the event a CEEO investigation advances to a hearing, the parties are required to have an Advisor of their choice. The Advisor assists the party during the hearing stage, including conducting cross-examination of the opposing party and witnesses.
- ^ Available to both Complainants and Respondents

On-Campus Resources

Key

Available to UNM students: S Available to UNM employees: E

Health & Medical Assistance

UNM Telehealth - S

Partners with services across the University of New Mexico Health System to support and facilitate best practices for clinicians that provide direct patient care. 505-272-iUNM (4866) | UNMTelehealth@salud.unm.edu

Counseling & Mental Health

Counseling, Assistance & Referral Services (CARS) - E

CARS is the Employee Assistance Program for faculty, staff, and retirees of UNM Main and Branch campuses and UNM Health Sciences. CARS provides free and confidential counseling, consultation and referral services to assist with both personal and professional concerns. CARS services are provided in person or via telehealth.

1800 Mesa Vista Rd NE (Bldg 184)

Albuquerque, NM 87106

505-277-3136 | cars@unm.edu

UNM Student Health & Counseling (SHAC) - S

SHAC provides quality counseling services to all UNM students to foster student success.

300 Cornell Dr NE (Bldg 73)

Albuquerque, NM 87131

Opposite the southeast corner of the SUB.

505-277-3136 | shac@unm.edu

24/7 Crisis Line: 505-277-3136, Option 3

TimelyCare Telehealth - S

Free, 24/7 access to virtual care services, self-care, and well-being tools for UNM students. No insurance needed.

Download at the App Store or Google Play.

833-4-TIMELY (833-484-6359)

UNM Behavioral Health Clinic - S E

Help for substance use and mental health conditions. 2600 College Blvd NE Rio Rancho, NM 87144 505-994-5050

Manzanita Counseling Training Clinic - S E

Free counseling open to all UNM community members. Manzanita Hall (Bldg 70)

600 E University Blvd NE

Albuquerque, NM 87106

505-277-7311 | manzanita@unm.edu

Mental Health Collaborative (MHC) - S

The Mental Health Collaborative (MHC) is a counseling internship program focused on serving UNM students at various student resource centers.

mhccounseling@unm.edu

Women's Resource Center

505-277-3716 | wrccounseling@unm.edu

El Centro de la Raza

505-277-5020 | elcentrocounseling@unm.edu

Ombuds Services - S E

Though not a counseling center, Ombuds is a no-barrier, first-stop for UNM graduate students and employees seeking guidance, information, and insight from a trusted, skilled neutral in a setting that is confidential, independent, and informal.

1800 Las Lomas Blvd NE (Bldg 29)

Albuquerque, NM 87106

SE corner of Buena Vista Rd NE & Las Lomas Blvd NE.

Visits by appointment only.

505-277-2993 | ombuds@unm.edu

Human Resources - E

HR provides a wide range of resources for employees, to include short-term job/personal counseling and referrals to outside resources through the Employee Assistance Program (EAP). John and June Perovich Business Center (Bldg 183)

1700 Lomas Blvd NE Albuquerque, NM 87131

505-277-6947 | hrfiles@unm.edu

Victim/Survivor Advocacy

LoboRESPECT Advocacy Center (LRAC) - S

A place of confidential advocacy, safety, and support. University Advisement & Enrichment Center (Bldg 85) 400 Cornell Dr NE, Rm 262 Albuquerque, NM 87131 505-277-2911 | loborespect@unm.edu

Women's Resource Center (WRC) - S

A place of confidential advocacy, safety, and support. Mesa Vista Hall (Bldg 56) 301 Cornell Dr NW, Rm 1160 Albuquerque, NM 87106 505-277-3716 | women@unm.edu

LGBTQ Resource Center - S E

The LGBTQ Resource Center offers services and support for LGBTQ+ individuals at UNM. 919 Las Lomas NE (Bldg 168)
Albuquerque, NM 87131
505-277-5428 | ltqrc@unm.edu

Crisis Hotlines

LoboRESPECT Advocacy Center (LRAC) Hotline - S

On-call service regarding UNM students only. 505-277-2911

Student Health and Counseling (SHAC) - S

Licensed counselors available 24/7. 24/7 Crisis Line: 505-277-3136, Option 3

TimelyCare Telehealth - S

Free, 24/7 access to virtual care services, self-care, and well-being tools for UNM students. No insurance needed.

Download at the App Store or Google Play.

833-4-TIMELY (833-484-6359)

Emergency Food & Housing

LoboRESPECT Advocacy Center (LRAC Housing/Shelter Services) - S E

Assistance with homeless and low-income student resources, rental assistance, homeowner assistance fund programs, and off-campus housing.

University Advisement & Enrichment Center (Bldg 85)

400 Cornell Dr NE, Rm 262

Albuquerque, NM 87131

505-277-2911 | loborespect@unm.edu

Legal Assistance

LoboRESPECT Advocacy Center (LRAC) Legal Aid Assistance - S

Legal service referrals for UNM students.
University Advisement & Enrichment Center (Bldg 85)
400 Cornell Dr NE, Rm 262
Albuquerque, NM 87131
505-277-2911 | loborespect@unm.edu

UNM Law Clinic - S E

Direct legal representation to address a broad range of legal issues including housing, domestic violence, immigration status, Kinship Guardianship, Adult Guardianship, custody, and alleged juvenile delinquency provided by UNM School of Law students.

UNM School of Law - Bratton Hall (Bldg 218) 1117 Stanford Dr NE Albuquerque, NM 87106 505-277-2146

Visa & Immigration Assistance

LoboRESPECT Advocacy Center (LRAC) Immigration Services - S

VISA and immigration assistance referrals for UNM students. University Advisement & Enrichment Center (Bldg 85) 400 Cornell Dr NE, Rm 262 Albuquerque, NM 87131 505-277-2911 | loborespect@unm.edu

UNM Law Clinic - S E

Direct legal representation to address a broad range of issues including housing, domestic violence, immigration status, Kinship Guardianship, custody, and alleged juvenile delinquency provided by UNM School of Law students.

UNM School of Law - Bratton Hall (Bldg 218) 1117 Stanford Dr NE Albuquerque, NM 87106 505-277-2146

Student Financial Aid

UNM-Gallup Student Financial Aid Office - S

Student Services and Technology Center (SSTC) 705 Gurley Ave Gallup, NM 87301 505-863-7601 | gallupfinancialaid@unm.edu

Transportation Assistance & Services

UNM-Gallup Police Department - S E

Offers safety escort services to and from locations on campus and bicycle registration for UNM community members.

Gurley Hall Gallup, NM 87301 505-863-7620

Assistance for People with Disabilities

UNM-Gallup Accessibility Resource Center (ARC) - S

Services, accommodations, and support for students with disabilities in the UNM-G community. 505-863-7527 | sarviso2@unm.edu

UNM Office of Compliance, Ethics & Equal Opportunity (CEEO) - E

Assistance for employees with religious accommodations, Title IX accommodations (pregnancy, lactation) and accommodations for a disability or medical condition under the Americans with Disabilities Act of 1990 (ADA) and the ADA Amendments Act of 2008 (ADAAA).

609 Buena Vista Rd NE (Bldg 42)

Albuquerque, NM 87131

505-277-5251 | ceeo@unm.edu

Housing Assistance

None.

Support for Veterans

UNM-Gallup Veteran & Military Resource Center - S

Services and support for student veterans at UNM-G. Located in the Student Resource Center. 505-863-7527 | sarviso2@unm.edu

Support for International Students & Employees

UNM International Student and Scholar Services - S E

Assistance with navigating life for international students and employees studying and working at UNM.

Global Education Office (GEO) Mesa Vista Hall (Bldg 56) 301 Cornell Dr NW, Rm 2120 Albuquerque, NM 87131 505-277-4032 | geo@unm.edu

Auxiliary Safety Services

None.

Community Resources

Health & Medical Services

Rehoboth McKinley Christian Health Care Services Emergency Room

1901 Redrock Dr Gallup, NM 87301 505-863-7141

Gallup Indian Medical Center

516 E Nizhoni Blvd Gallup, NM 87301 505-722-1000

24/7 Nurse Advice Line: 505-985-5206

Hiroshi "Hershey" Miyamura U.S. Department of Veterans Affairs (VA) Clinic

2075 S NM Hwy 602 Gallup, NM 87301 505-722-7234

Mental Health Care: 505-265-1711, Ext. 2150

NM Health Care Authority of McKinley County (Gallup Area)

3006 E. Hwy 66 Gallup, NM 87301 800-283-4465

Sexual Assault Services

New Coalition of Sexual Assault Programs, Inc (NMCSAP)

Sexual Assault Nurse Examiner (SANE) Programs provide medical/forensic exams for individuals who have been sexually assaulted. SANE services include a physical assessment, emergency contraception, injury documentation, forensic photography, and evidence collection up to five days after the sexual assault. SANE Programs offer medication for sexually transmitted infections (STI) up to 30 days after the assault. Services are available 24 hours a day, provided at no cost, and are confidential. No ID is required. Individuals can receive a SANE exam without filing a police report. Each SANE Program has a designated Coordinator who is active with their community co-responders and are available to assist with problems, questions, or presentations.

Gallup: Sexual Assault Services of Northwest New Mexico (SASNWNM-Gallup)

111 S 1st St Gallup, NM 87301 505-399-5940

24/7 Dispatch: 866-908-4700

UNMGPD can provide transportation, even if you do not want to file a police report!

Counseling & Mental Health

Albuquerque Vet Center

Confidential help for Veterans, service members, and their families at no cost in a non-medical setting. Services include counseling for needs such as depression, post traumatic stress disorder (PTSD), and the psychological effects of military sexual trauma (MST).

2001 Mountain Rd NW Albuquerque, NM 87104 505-346-6562

24/7 Call Center: 877-927-8387

Gallup Family Counseling

A wide range of therapy and treatment options for mental health and substance use disorders, medication evaluation and management, and crisis intervention offered by Presbyterian Medical Services (PMS).

2025 E Aztec Ave Gallup, NM 87301 505-863-3828

City of Gallup Behavioral Health

Outpatient Therapy services provided by Certified Substance Abuse Counselors as well as a High-

Risk Unit designed to provide medically complex patient triage. 230 S 2nd St Gallup, NM 87301 505-863-1220

Victim/Survivor Advocacy

Haven House Crisis Line

Assistance with temporary restraining orders (TROs), emergency shelter, information about navigating the court system, accompanying Survivors to court hearings, and referrals to legal assistance; i.e., Legal Aid, Attorneys, etc. 505-896-4869

NM Coalition Against Domestic Violence

Support and assistance with domestic violence programs across the state. 2425 Alamo Ave SE Albuquerque, NM 87106 505-246-9240 or 800-799-7233

Transgender Resource Center of NM

Supports transgender, nonbinary, and gender nonconforming communities through direct services, education, and advocacy.

5600 Domingo Rd NE Albuquerque, NM 87108 505-200-9086 | tgrcnm@tgrcnm.org

Battered Families Services, Inc.

Provides emergency shelter for families who are in immediate threat and in need of safety and safety planning for families in need of safety guidelines.

207 S Strong Dr Gallup, NM 87301

24/7 Crisis Line: 505-722-7483

Crisis Hotlines

Agora Crisis Center Hotline

Immediate assistance and referrals for those in crisis. 505-277-3013

National Suicide & Crisis Lifeline

A centralized, single telephone number to get immediate assistance and resources for mental health and substance use issues.

988 or 1-855-NMCrisis (855-662-7474) or 855-227-5485 (TTY)

Valencia Shelter Services

Assists individuals seeking immediate support in accessing shelter and other resources they may need.

505-864-1383

Healthcare Worker & First Responder Support Line

Support line specifically for healthcare workers and first responders. 1-855-507-5509

Albuquerque Vet Center

Confidential help for Veterans, service members, and their families at no cost in a non-medical setting. Services include counseling for needs such as depression, post traumatic stress disorder (PTSD), and the psychological effects of military sexual trauma (MST). 877-927-8387

Peer to Peer Warmline

If you or a loved one wants to talk to someone that has been there, professional peers support workers are here to talk with you.

1-855-4NM-7100 (1-855-466-7100)

National Domestic Violence Hotline

24/7/365 compassionate support, crisis intervention information, educational services, and referral services in more than 200 languages.

1-800-799-SAFE (7233)

National Human Trafficking Hotline

Social and legal services for victims and survivors of human trafficking. 1-888-373-7888

RAINN: National Sexual Assault Hotline

The RAINN (Rape, Abuse & Incest National Network) anti-sexual violence organization operates the DoD Safe Helpline for the Department of Defense and carries out initiatives to prevent sexual violence, help survivors, and ensure that perpetrators are brought to justice.

1-888-656-HOPE (4673)

Veteran Crisis Line

For veterans having thoughts of self-harm, or of harming others. 1-800-273-8255, Option 1 or Text 838255

Lifeline for Vets

Crisis management and support for veterans in need, provided by the National Veterans Foundation.

888-777-4443

Homelessness Hotline

Crisis line for veterans who are currently experiencing homelessness or at risk of homelessness.

Family members and supports of veterans at risk can also call. 877-424-3838

Trans Lifeline

Provides direct, peer emotional and financial support to trans people in crisis. Available 7am-1am PST daily. 877-565-8860

Emergency Food & Housing

Roadrunner Food Bank of New Mexico

Provides food distribution services throughout New Mexico through food pantries, soup kitchens, group homes, shelters, schools, senior centers, low-income senior housing locations, and health care partners.

505-349-5340 or 505-523-4390

Statewide Homeless Resource Helpline

Provides resources and referrals related to homelessness. 505-768-HELP (4357) or text 505-600-2835 | 768-help@nmceh.org

Gallup Community Pantry

Free produce, emergency food boxes, food for kids, mobile pantry deliveries, and resources for seniors.

1130 Hasler Valley Rd Gallup, NM 87301 505-726-8068 | coo@thecommunitypantry.org

Hozho Center for Personal Enhancement

Drop-in center and postal services for the unhoused. 216 W Maloney Ave Gallup, NM 87301 505-870-1483 | hozhocenter@gmail.com

Legal Assistance

New Mexico Legal Aid

Free services to eligible low-income New Mexico residents with civil (non-criminal) matters.

505 Marquette Ave NE Albuquerque, NM 87102

Business phone: 1-866-416-1992

For legal help: 1-833-LGL-HELP (1-833-545-4357) Domestic Violence Legal Aid Helpline: 1-877-974-3400

Volunteer Attorney Program: Family Law Clinic

Attorneys provide free legal information on family law issues, including Divorce, Child Support, Custody, Visitation, Mediation, Paternity, Kinship/Guardianship, Domestic Violence, Adoption,

and Settlement Facilitation. The Clinic takes place the third Wednesday of each month from 10am until 1pm in the third-floor conference room of the 2nd Judicial District Court. Pre-registration is required and attendance is limited.

400 Lomas Blvd NW Albuquerque, NM 87102 1-877-266-9861

Visa & Immigration Assistance

New Mexico Immigrant Law Center

High-quality legal representation accessible to low-income immigrant communities. 625 Silver Ave SW, Ste 410 Albuquerque, NM 87102 505-247-1023

Catholic Charities VAVW Immigration Project

Provides educational and career programs for the many refugee families that have resettled in Albuquerque after the 90-day government resettlement program.

2010 Bridge Blvd SW Albuquerque, NM 87105 505-724-4670 | info@ccasfnm.org

Student Financial Aid

U.S. Department of Education Federal Student Aid

Assistance with forms and processes related to federal student aid, credit counseling, and loan repayments and forgiveness.

1-800-433-3243

Transportation Assistance & Services

Gallup Express

Provides local transportation in Gallup and Zuni, as well as intercity service between the two towns.

505-243-RIDE (7433)

Assistance for People with Disabilities

Aging and Disability Resource Center (ADRC) of New Mexico

Assistance for elders, persons with disabilities, and caregivers to find services and resources to help them live well and independently.

2550 Cerrillos Rd Santa Fe, NM 87505 1-800-432-2080

Human Services Department of New Mexico

Cash assistance to dependent, needy children and disabled adults who are not eligible for other cash assistance programs.

McKinley County Field Office 3006 E Hwy 66 Gallup, NM 87301 1-800-283-4465

Housing Assistance

Gallup Housing Authority

Provides quality housing for those with limited incomes. 203 Debra Dr Gallup, NM 87301 505-722-4388

U.S. Department of Housing and Urban Development (HUD)

Provides assistance with homeownership and homebuying, rent, avoiding foreclosure, and homelessness.

Albuquerque Field Office Dennis Chavez Federal Building 500 Gold Ave SW, 7th Floor, Ste 7301 Albuquerque, NM 87103 505-346-6463

Habitat for Humanity

Assists individuals and families with finding affordable housing. Greater Albuquerque HFH 505-265-0057 | info@habitatabq.org

Support for Veterans

Military OneSource

Defense Department-funded program providing 24/7 connection to information, answers, and support to help with tax services, spouse employment, webinars and online training, relocation and deployment tools, and much more.

800-342-9647

Support for International Students

None.

Auxiliary Safety Services

None.

Important Definitions

This section provides a list of definitions for sexual assault, domestic violence, dating violence, stalking, and consent as set forth by the:

- 2013 Reauthorization of the Violence Against Women Act (VAWA) amendments to the Clery Act, which UNM uses in its campus disciplinary process pursuant to University Administrative Policies (UAPs) 2720, 2740, and 2745 and the
- State of New Mexico, which law enforcement uses to pursue charges against an offender in the criminal justice system. Knowing the State of New Mexico laws pertaining to VAWA crimes is useful for helping you understand your options should you choose to pursue charges through the criminal justice system.

UNM encourages anyone who experiences sexual assault, domestic violence, dating violence, stalking, or related misconduct or stalking and wishes to pursue criminal charges to discuss their full list of options with a law enforcement official and/or an attorney.

Sexual Assault

Violence Against Women Act Definitions of Sexual Assault

Sexual assault.

An offense that meets the definition of rape, fondling, incest, or statutory rape as used in the FBI's Uniform Crime Reporting (UCR) program.

Rape.

The penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim.

Fondling.

The touching of the private body parts of another person for the purpose of sexual gratification, without the consent of the victim, including instances where the victim is incapable of giving consent because of his/her age or because of his/her temporary or permanent mental incapacity.

Incest.

Sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.

Statutory rape.

Sexual intercourse with a person who is under the statutory age of consent.

State of New Mexico Definitions of Sexual Assault

Sexual Assault.

Criminal Sexual Penetration (NMSA 1978, § 30-9-11), Criminal Sexual Contact (NMSA 1978, § 30-9-12), and Criminal Sexual Contact with a Minor (NMSA 1978, § 30-9-13)

The state of New Mexico does not have a separate definition for "sexual assault."

"Criminal sexual penetration" is the unlawful and intentional causing of a person to engage in sexual intercourse, cunnilingus, fellatio, or anal intercourse or the causing of penetration, to any extent and with any object, or the genital or anal openings of another, whether or not there is any emission.

Criminal sexual penetration is a felony crime; the degree of the felony (first degree through fourth degree) depends on the age of the victim and the force or coercion used by the perpetrator.

"Force or coercion" is defined in NMSA 1978, § 30-9-10(A) and means:

- the use of physical force or physical violence;
- the use of threats to use physical force or violence against the victim or another;
- the use of threats, including threats of physical punishment, kidnapping, extortion, or retaliation directed against the victim or another; or
- committing a criminal sexual penetration or criminal sexual contact when the perpetrator knows or has reason to know that the victim is unconscious, asleep, or otherwise physically helpless or suffers from a mental condition that renders the victim incapable of understanding the nature or consequences of the act.

"Criminal sexual contact" is the unlawful and intentional touching of or application of force, without consent, to the unclothed intimate parts of another who has reached his eighteenth birthday, or intentionally causing another who has reached his eighteenth birthday to touch one's intimate parts. "Intimate parts" means the primary genital area, groin, buttocks, anus, or breast.

Criminal sexual contact is a felony crime if perpetrated by the use of force or coercion that results in personal injury to the victim, or if the perpetrator is aided or abetted by others, or when the perpetrator is armed with a deadly weapon. Criminal sexual contact is a misdemeanor crime when perpetrated with the use of force or coercion.

"Criminal sexual contact with a minor" is the unlawful and intentional touching of or application of force to the intimate parts of a minor or the unlawful and intentional causing of a minor to touch one's intimate parts. "Intimate parts" means the primary genital area, groin, buttocks, anus, or breast. A "minor" is a person eighteen years of age or younger.

Domestic Violence

University of New Mexico Definition of Domestic Violence

Domestic Violence.

A felony or misdemeanor crime of violence committed—

- By a current or former spouse or intimate partner of the victim
- By a person with whom the victim shares a child in common;
- By a person who is cohabitating with, or has cohabitated with, the victim as a spouse or intimate partner;
- By a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred, or
- By any other person against an adult or youth victim who is protected from that person's

acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.

State of New Mexico Definition of Domestic Violence

Domestic Violence – NMSA 1978, § 30-3-10, et seq., "Crimes Against Household Members Act" (includes dating violence).

"Domestic violence" is defined as felony and misdemeanor crimes under the New Mexico Crimes Against Household Members Act. Crimes included under the New Mexico Crimes Against Household Members Act are assault, aggravated assault, assault with intent to commit a violent felony, battery, and aggravated battery. A "household member" is a spouse, former spouse, parent, present or former stepparent, present or former parent-in-law, grandparent, grandparent-in-law, a co-parent of a child, or person with whom someone has had a continuing personal relationship. Cohabitation is not necessary to be deemed a household member. In addition, under the New Mexico Family Violence Protection Act, violation of a court-issued order of protection granted to protect an individual who has experienced sexual violence or domestic abuse is a misdemeanor crime.

"Domestic abuse" is defined as "an incident of stalking or sexual assault whether committed by a household member or not" resulting in physical harm, severe emotional distress, bodily injury or assault, a threat causing imminent fear or bodily injury by any household member, criminal trespass, criminal damage to property, repeatedly driving by a residence or work place, telephone harassment, harassment, or harm or threatened harm to children.

"Household members" include a spouse, former spouse, parent, present or former stepparent, present or former parent in-law, grandparent, grandparent-in-law, child, stepchild, grandchild, coparent of a child, or a person with whom the petitioner has had a continuing personal relationship. Cohabitation is not necessary to be deemed a household member under the Act. Violation of any provision of an order of protection issued under the Family Violence Protection Act is a misdemeanor crime and constitutes contempt of court and may result in a fine or imprisonment or both.

"Assault against a household member" means:

- An attempt to commit a battery against a household member; or
- Any unlawful act, threat or menacing conduct that causes a household member to reasonably believe they are in danger of receiving an immediate battery.

"Aggravated assault against a household member" means: Unlawfully assaulting or striking a household member with a deadly weapon; or Willfully and intentionally assaulting a household member with intent to commit any felony.

"Assault against a household member with intent to commit a violent felony" means any person assaulting a household member with intent to kill or commit any murder, mayhem, criminal sexual penetration in the first, second, or third degree, robbery, kidnapping, false imprisonment, or burglary.

- "Battery against a household member" consists of the unlawful, intentional touching or application of force against a household member when done in a rude, insolent, or angry manner.
- "Aggravated battery against a household member" consists of the unlawful touching or application of force against a household member with intent to injure that person or another.

Dating Violence

University of New Mexico Definition of Dating Violence

Dating Violence.

Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim. The existence of such a relationship shall be determined based on the reporting party's statement and with consideration of the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship. For the purposes of this definition—

Dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse. Dating violence does not include acts covered under the definition of domestic violence.

State of New Mexico Definition of Dating Violence

Under New Mexico's Crimes Against Household Members Act, someone with whom a person has a dating or intimate relationship is considered to be a household member. Any of the felony and misdemeanor crimes enumerated as domestic violence in the Crimes Against Household Members Act are also crimes when committed against someone with whom the offender has a dating or intimate relationship.

Stalking

University of New Mexico Definition of Stalking

Stalking.

Engaging in a course of conduct directed at a specific person that would cause a reasonable person to fear for the person's safety or the safety of others or suffer substantial emotional distress.

- "Course of conduct" means two or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property.
- "Reasonable person" means a reasonable person under similar circumstances and with similar identities to the victim.
- "Substantial emotional distress" means significant mental suffering or anguish that may but does not necessarily, require medical or other professional treatment or counseling.

State of New Mexico Definition of Stalking

Stalking – NMSA 1978, § 30-3A-1 et seq., "Harassment and Stalking Act".

"Stalking" is defined as knowingly pursuing a pattern of conduct, without lawful authority, directed at a specific individual when the person intends that the pattern of conduct would place the individual in reasonable apprehension of death, bodily harm, sexual assault, or restraint of the individual or another individual.

"Aggravated stalking" consists of stalking perpetrated by a person who knowingly violates a court order, including an order of protection, or when the person possesses a deadly weapon or when the victim is under sixteen years of age.

"Harassment" means knowingly pursuing a pattern of conduct that is intended to annoy, seriously alarm or terrorize another person and that serves no lawful purpose. The conduct must be such that it would cause a reasonable person to suffer substantial emotional distress.

Consent

University of New Mexico Definition of Consent

Consent.

Consent is an affirmative, informed, and conscious decision to willingly engage in mutually acceptable sexual activity. Consent requires a clear affirmative act or statement by each participant to each sexual act in a sexual interaction. Consent demonstrates that the conduct in question is welcome or wanted. Relying solely on non-verbal communication can lead to miscommunication about one's intent. Confusion or ambiguity may arise at any time during a sexual interaction. Therefore, it is essential that each participant makes clear their willingness to continue at each progression of the sexual interaction. Proof of consent or non-consent is not a burden placed on either party involved in an incident. Instead, the burden remains on UNM to determine if its policy has been violated. For information about incapacitation as it relates to the meaning of consent, visit UAP 2740.

State of New Mexico Definition of Consent

Consent: HB 151: Affirmative Consent.

"Affirmative consent" is the affirmative, conscious and voluntary agreement to engage in sexual activity.



OFFICE OF COMPLIANCE, ETHICS & EQUAL OPPORTUNITY

CEEO GRIEVANCE PROCEDURE

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ceeo.unm.edu

CEEO DISCRIMINATION GRIEVANCE PROCEDURE

The University of New Mexico ("UNM") is committed to creating and maintaining a community that is free from all forms of discrimination, including harassment, differential treatment, failure to accommodate, and retaliation for participation in civil rights protected activity. UNM has policies that prohibit discrimination and retaliation, specifically prohibiting all forms of sexual harassment, a form of gender discrimination that is prohibited by state and federal law.

UNM is committed to providing equal access to educational and employment opportunities for all individuals. UNM considers the following as protected statuses:¹

- Age
- Ancestry
- Color
- Disability
- Ethnicity

- Gender
- Gender identity
- Genetic information
- Immigration status
- Medical condition
- Military status
- National origin
- Pregnancy
- Race
- Religion

- Sex
- Sexual orientation
- Spousal affiliation
- Veteran status

The Office of Compliance, Ethics and Equal Opportunity (CEEO) is the independent, impartial, and neutral campus entity designated to ensure compliance with all UNM policies that apply to civil rights, including investigations of any allegations of civil rights violations. When investigating an allegation of civil rights violations, CEEO acts as the finder of fact and will prepare a report at the conclusion of its investigation, as described herein. CEEO has no decision making or sanctioning authority. Once CEEO finalizes a report after an investigation into civil rights violations, CEEO refers the matter to the appropriate UNM office, which will ultimately determine whether a University policy has been violated or otherwise resolve the issue. CEEO reports directly to the UNM President's Office to maintain optimal independence and impartiality.

CEEO staff treats all parties with respect and approaches each case impartially and equitably. In fulfilling its dual tasks of educating and providing public service, UNM shall demonstrate leadership in remedying discrimination and providing equal opportunities in employment and education. CEEO, acting under the authority of University

¹ Title VII of the Civil Rights Act of 1964 ("Title VII") prohibits discrimination on the basis of race, religion, sex (gender), color, or national origin. The Pregnancy Discrimination Act (PDA) is an amendment to Title VII. Discrimination on the basis of pregnancy, childbirth, or related medical conditions constitutes unlawful sex discrimination under Title VII. Title IX of the Education Amendments of 1972 ("Title IX") prohibits discrimination on the basis of sex (gender) in any educational program or activity receiving federal financial assistance. Both the Rehabilitation Act of 1973 at Sections 503 and 504 and the Americans with Disabilities Act of 1990 prohibit discrimination against qualified individuals with disabilities. Title IV of the Civil Rights Act of 1964 prohibits discrimination on the basis of sex in public schools and colleges. The New Mexico Human Rights Act of 1978 and its amendments prohibit discrimination in employment and education on the basis of race, age, religion, national origin, sexual orientation, gender identity, spousal affiliation, ancestry, sex, physical or mental disability, and serious medical condition. The Age Discrimination in Employment Act of 1974 (as amended in 1986) abolished mandatory retirement based on age and prohibits discrimination in employment against individuals age 40 and over. Title VI of the Civil Rights Act of 1964 prohibits discrimination in student programs on the basis of race, color, and national origin. The Equal Pay Act of 1983 prohibits discrimination in salary and wages on the basis of sex (gender). The Uniformed Services Employment and Reemployment Rights Act of 1994 ("USERRA") is a federal law that establishes rights and responsibilities for uniformed service members and their civilian employers. Vietnam Era Veterans' Readjustment Assistance Act, as amended ("VEVRAA") prohibits federal contractors and subcontractors from discriminating in employment against protected veterans, and requires employers to take affirmative action to recruit, hire, pr

Policies <u>2720</u>, <u>2740</u>, <u>2750</u>, <u>2310</u>, <u>2215</u>, <u>3110</u>, <u>3210</u>, <u>3790</u>, and Board of Regents <u>Policy 2.3</u>, may take necessary action to prevent, correct, and educate in relation to behavior that violates UNM policies or impacts the academic or work environment. Leadership in CEEO includes the Chief Compliance Officer, the Compliance Coordinator, Director of Equal Opportunity, and the Title IX Coordinator.

CEEO's grievance procedures do not restrict rights guaranteed under the First and Fourth Amendments to the U.S. Constitution nor the Due Process Clause of the Fifth and Fourteenth Amendments to the U.S. Constitution. CEEO shall comply with the Family Educational Rights and Privacy Act ("FERPA").

This CEEO Discrimination Grievance Procedure ("CGP") outlines the method CEEO uses when processing complaints alleging violations of UNM policy. Some of the complaints brought under this procedure may also contain allegations that qualify as violations of criminal statutes. As stated above, CEEO only processes alleged policy violations; it does not process alleged crimes. All persons who believe they have been the victim of a crime may report such crime to law enforcement at any time. CEEO does NOT independently report alleged crimes to law enforcement except in limited circumstances, such as harm to a minor.

CGP DEFINITIONS

CGP DEFINITIONS	
Actual Knowledge	Notice of sexual harassment or allegations received by CEEO or the Title IX
	Coordinator regarding discrimination, including sexual harassment.
Advisor	A person chosen by a party or provided by UNM who acts as an advocate for the party
	during a UNM administrative hearing, if applicable. An Advisor acts as a party's
	representative during a hearing for the purpose of conducting cross-examination of
	witnesses and the opposing party.
COA	Confirmation of Allegations. A Complainant's written statement of the allegations
	they are making against a Respondent or Respondents.
Complainant	The person or persons filing a complaint with CEEO.
COR	Confirmation of Response. A Respondent's written statement in response to a
	Complainant's COA.
Differential	Occurs when a protected class of people – whether an individual or a group – are
Treatment	treated differently than similarly situated individuals who are not in the protected
	class, due to their membership in the protected class.
Discrimination	Conduct based on protected class that excludes a person(s) from participation in,
	denial of benefits of, treats the person(s) differently than similarly situated individuals
	who are not in the protected class, or otherwise adversely affects the terms of
	condition of the person(s)'s employment, education, living environment, or
	participation in a UNM program or activity. Harassment (including hostile
	environment and quid pro quo) and differential treatment are forms of
	discrimination.
Education Program	Locations, events, or circumstances where UNM exercises substantial control over
and Activity	both the Respondent and the context in which harassment or discrimination occurs,
	and also includes any building owned or controlled by a student organization that is
	officially recognized by UNM.
Evidence	Evidence consists of, but is not limited to: eyewitness documents, records,
	statements, photos, video, security footage, audio recordings, social media, emails,
	text messages, cellular records, police reports, and any other information that would
	assist the investigator in finalizing a report.
	assist the investigator in finalizing a report.

Exculpatory	Evidence which tends to show that a Respondent is not responsible for an alleged
Evidence	policy violation.
Failure to Report	Responsible employees under <u>University Policy 2740</u> are required to report
	allegations of known or suspected violations of <u>University Policy 2740</u> or of gender
	discrimination to CEEO and/or the Title IX Coordinator with 24 hours or as soon as
	reasonably practicable. Per <u>University Policy 2720</u> , supervisors are required to report
	allegations of known or suspected violations of UNM's civil rights policies to CEEO.
	Failure to report to CEEO within a reasonable timeframe could be considered a failure
	to report and a violation of University policy.
Final	The Hearing Officer's conclusion by a preponderance of the evidence deciding
Determination	whether alleged conduct occurred and whether that conduct violates UNM policy.
Formal Complaint	A document filed by a Complainant or signed by the Title IX Coordinator or CEEO
•	alleging discrimination, including sexual harassment against a Respondent pursuant to
	University Policy 2740, and requesting that CEEO investigate the allegations of
	discrimination or harassment.
Hearing	The adjudicatory process that takes place after CEEO has concluded its investigation
ricaring	of an alleged civil rights policy violation.
Hearing	The individual responsible for coordinating a hearing among the parties, Advisors,
Coordinator	witnesses, and other hearing participants.
Hearing Officer	The individual responsible for evaluating the evidence presented by the parties at a
	hearing and issuing a subsequent determination as to whether a civil rights policy
	violation occurred.
Hostile	Conduct sufficiently serious (severe/pervasive) and objectively offensive so as to deny
Environment	or limit a person's ability to participate in or benefit from UNM's programs, services,
	opportunities, or activities, or conduct that has the purpose or effect of unreasonably
	interfering with a person's employment or education.
Inculpatory	Evidence which tends to show that a Respondent is responsible for an alleged policy
Evidence	violation.
Informal Resolution	An informal process where CEEO does not conduct an investigation, and the
	allegations in the complaint are therefore neither corroborated nor contested. An
	informal resolution is not disciplinary in nature. In cases where a violation of Policy
	2740 is alleged to have occurred, two conditions must exist: 1) both parties must
	agree to an informal resolution; and 2) the alleged conduct must not have been
	committed by a UNM employee against a student.
Investigative	The final report issued at the conclusion of a formal investigation, which includes:
Report	Complainant's statement(s), Respondent's statement(s), witness statements,
	documentary evidence, and threshold record to be used in an evidentiary hearing.
Jurisdiction	Occurs when the circumstances of a complaint are such that CEEO is authorized by
Janisaiction	UNM Policy to investigate or remedy those circumstances or allegations.
Post-Closure Action	Informal action that may be taken after the closure of an investigation that does not
1 031 Closule Action	proceed to a live hearing which is designed to stop alleged conduct and prevent its
Dronondororos	recurrence. The relevant evidence gethered during an administrative investigation that
Preponderance of	The relevant evidence gathered during an administrative investigation that
the Evidence	demonstrates it is more likely than not that a policy violation occurred.
Protected Class or	A trait or association recognized by law or policy as protected against unlawful
Status	discrimination. UNM recognizes the following as protected statuses: age, ancestry,
	color, ethnicity, gender identity, gender, sex, genetic information, medical condition,

	national origin, physical or mental disability, pregnancy, race, religion, sexual orientation, spousal affiliation, and veteran status.
Remedies	To be provided to a Complainant when a Respondent is found to have violated UNM
Refficules	· · · · · · · · · · · · · · · · · · ·
	policy. Remedies are designed to maintain the Complainant's equal access to
	education and may include the same individualized services described as supportive
	measures herein. Remedies for a Complainant are not, however, required to be non-
	disciplinary or non-punitive for the Respondent and need not avoid burdening the
	Respondent.
Respondent	The person or persons responding to a complaint filed with CEEO.
Retaliation	Any action taken in order to seek an adverse academic or employment result against
	any individual or group of individuals opposing discrimination, filing a complaint,
	reporting alleged discrimination, participating in a civil rights investigation, or filing an
	external civil rights complaint.
Support Person	A support person is any person of a Complainant or Respondent's choosing who
	attends CEEO interviews and helps to guide the party through the CEEO process. A
	support person cannot participate in CEEO interviews; rather, they act as a second set
	of ears for the Complainant and Respondent. A support person CANNOT be a
	potential witness in the CEEO investigation that the Complainant or Respondent is
	involved in, nor can they be someone in the Respondent's supervisory chain. A
	support person cannot participate in an evidentiary hearing, if applicable.
Supportive	Non-punitive individualized services offered as appropriate, as reasonably
Measures	available, and without fee or charge to the complainant or the respondent before or
IVICUSUICS	after the filing of a formal complaint or where no formal complaint has been filed.
	Such measures are designed to restore or preserve equal access to education
	programs or activities without unreasonably burdening the other party, including
	measures designed to protect the safety of all parties or UNM's educational
	environment, or deter harassment.
	Supportive measures may include counseling, extensions of deadlines or other
	course-related adjustments, modifications of work or class schedules, campus escort
	services, mutual restrictions on contact between the parties, changes in work or
	housing locations, leaves of absence, increased security and monitoring of certain
	areas of the campus, and other similar measures.
	UNM will maintain as confidential any supportive measures provided to the
	Complainant or Respondent, to the extent that maintaining such confidentiality does
	not impair UNM's ability to provide the supportive measures.
	The Title IX Coordinator and/or Director of Equal Opportunity is responsible for
	coordinating the effective implementation of supportive measures, as appropriate.
Third-Party Report	A report filed by someone other than the party affected by civil rights violations,
	including a responsible employee, witness, or other individuals with knowledge or
	information regarding potential civil rights violations.
Title IX	Title IX of the Education Amendments of 1972 prohibits discrimination on the basis of
	sex or gender in any educational program or activity receiving federal financial
	assistance. Title IX violations include: sex/gender discrimination, sexual harassment,
	stalking, dating violence, domestic violence, and intimate partner violence, or any
	other form of sexual violence.
Unaffiliated	When a party is referred to as "unaffiliated," it means that they do not have a direct
	relationship to UNM as a student, faculty, staff member, or contractor.
L	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1

Union	A union representative is a person from a party's employment/labor union who may
Representative	attend CEEO interviews and help guide the party through the CEEO process. A union representative cannot participate in CEEO interviews; rather, they act as ann observer for the party.
Witness	Any person who may have knowledge of the evidence in an investigation or complaint.

I. NOTIFICATION AND CORRESPONDENCE WITH CEEO

CEEO generally sends official notifications and other documentation to individuals via email to official UNM email addresses; if the individual does not have a UNM email address, it is sent to an email address provided by the individual. Individuals may request that CEEO use an alternative email address or a different method of contact, but unless and until this request is made, CEEO will send all correspondence using this method. Requests that CEEO send communications to an email address other than a UNM email address must be made in writing via email to ceeo@unm.edu.

If an individual prefers to receive correspondence by mail, that preference and the individual's preferred mailing address must be specified in writing and delivered either by email to ceeo@unm.edu, by mail to CEEO's mailing address at 1 University of New Mexico, MSC05 3150, Albuquerque, NM 87131-0001, or by hand delivery to CEEO during CEEO's regular business hours at 609 Buena Vista Dr. NE, Albuquerque, NM 87106.

All CEEO correspondence sent to an individual by email is deemed received on the date the email is sent. All correspondence sent from CEEO to an individual by mail is deemed received by the recipient **three (3) business days** after the postage date.

II. RETALIATION

It is the policy of UNM to foster an environment where faculty, staff, and students may raise civil rights claims without fear of retaliation or reprisal. All members of the UNM community have a right to redress for perceived violations of their civil rights. It is contrary to UNM policies (under 2720(13) and 2740(4)) to retaliate against any person for asserting his or her civil rights or for reporting civil rights related misconduct, including sexual misconduct. These rights include, but are not limited to: notifying UNM (faculty, staff) of civil rights concerns; filing a claim of discrimination; participating as a witness in an investigation; declining to participate in an investigation; or responding to allegations of civil rights violations. Retaliation or reprisal against any participant in an investigation will not be tolerated by UNM. Retaliation against a person who seeks assistance from CEEO is grounds for a subsequent civil rights claim. is grounds for a subsequent civil rights claim.

III. TIME FRAME

Individuals who believe their civil rights have been violated at UNM or in a UNM program should file a complaint within **180 calendar days** from the most recent alleged discriminatory incident. This time frame may be extended due to the severity and/or pervasiveness of the allegations (such as sexual violence), allegations of a continuing pattern of conduct, or as determined by CEEO.

IV. REPORTING CONCERNS; TYPES OF COMPLAINTS

Any person may report discrimination, including those below, to contact CEEO if they observe, experience, become aware of, or encounter conduct they believe may be related to civil rights violations:

- UNM Students
- UNM Staff
- UNM Applicants
- Former UNM Employees or Students
- UNM Student Employees
- UNM Faculty
- Visitors to UNM
- Parents and Guardians of Applicants or Students

Reports of potential civil rights violations can be made through any of the following means:

- Complete and submit an online CEEO complaint form;
- Email (ceeo@unm.edu), fax (505-277-1356), or mail a written document describing the concerns;
- Complete the online **UNM EthicsPoint Hotline Complaint Form**;
- Make an appointment with an CEEO employee;
- Walk-in at CEEO's office during regular business hours; or
- Call 505-277-5251.

Inquiries may be made outside of UNM to:

Office for Civil Rights (OCR) U.S. Department of Education 400 Maryland Avenue SW Washington, D.C. 20202-1100

Customor Comico Hotlino #1 (200) 43

Customer Service Hotline #: (800) 421-3481

Facsimile: (202) 453-6012 TDD#: (877) 521-2172 Email: OCR@ed.gov

Web: http://www.ed.gov/ocr

There are different types of complaints that may be made to CEEO under this CGP, as outlined below.

A. Informal Complaint from Complainant

A Complainant may file an <u>informal complaint</u> of discrimination or harassment in order to seek supportive measures and as a means of obtaining information regarding their rights and the CEEO formal grievance procedure, as described in Section VI. Once informed, the Complainant may decide to initiate the formal grievance process. An informal complaint by itself, however, will not activate the formal grievance process. A report from a third party reporter is considered an informal complaint.

The informal complaint should include:

- 1. The Complainant's name and preferred contact information, as well as their affiliation with UNM (student, staff, faculty, applicant, or visitor to UNM);
- 2. The Respondent's name and contact information, and whether the Respondent is a student, staff, faculty, or UNM visitor;
- 3. The Complainant's protected status under which the alleged discrimination or harassment has occurred;
- 4. The civil rights category to which the Complainant believes the allegations belongs (i.e., sexual harassment or misconduct, discrimination, harassment, failure to accommodate, retaliation, etc.); and
- 5. A description of the alleged discriminatory conduct and the Complainant's protected status (as defined on page 1).

Upon receipt of the informal complaint, the following will occur:

- 1. CEEO will reach out to the Complainant with resources to address their concerns. These resources are specific to the Complainant's role at UNM (student, staff, or faculty) and outline access to groups on and off campus to assist the Complainant with the impacts that alleged discrimination has had on them;
- 2. CEEO will offer the Complainant the opportunity to request supportive measures;
- 3. CEEO will advise the Complainant of their right to file a formal complaint and information regarding the formal grievance procedure.

Complainants may provide the required information orally or in writing. CEEO may contact persons who submit complaints in order to discuss the details of the concerns and obtain additional factual information.

B. Formal Complaint from Complainant

A Complainant may file a <u>formal complaint</u> of discrimination and/or sexual harassment that triggers the formal grievance procedure set forth in Section VI. See Section VI(A) for more information regarding formal complaints.

The formal complaint shall:

- 1. Be filed utilizing the CEEO <u>formal complaint form</u> (if the complaint is received verbally or via a third-party report, the Complainant electing a formal complaint process shall utilize the CEEO form);
- 2. Be signed and dated by the Complainant;
- 3. Include Respondent's name and contact information (if known), and whether the Respondent is a student, staff, faculty, or UNM visitor;
- 4. Include Complainant's protected status, which they claim as the basis for the alleged discrimination or harassment;
- 5. Include the civil rights category to which the Complainant believes the allegations belong (i.e., sexual harassment, violence, or misconduct, discrimination, harassment, failure to accommodate, retaliation, etc.);
- 6. Include a description of the alleged discriminatory conduct and the Complainant's protected status (as defined on page 1 herein);
- 7. Identify the UNM program, activity, or location where the alleged conduct occurred; and
- 8. Indicate whether the alleged conduct occurred in the United States.

A Complainant who files a formal complaint with CEEO will have the opportunity to seek supportive measures.

C. Third-Party Reports and Reports from Responsible Employees; Failure to Report

CEEO receives reports from third-party reporters, responsible employees, or others who have information regarding potential civil rights violations. In these cases, the third-party report may lack the detail present in a Complainant's direct report. In such cases, CEEO may reach out to the individual(s) the third-party reporter identifies as having experienced the civil rights violation or other witnesses the third-party reporter identifies for more information. CEEO will evaluate and accept third-party reports to determine if the report is sufficiently detailed to accept jurisdiction and constitute actual knowledge of a complaint. In all cases where reports are received from third parties, CEEO will reach out to the alleged Complainant to discuss the report, determine the alleged Complainant's need for supportive measures, and how they wish to proceed.

1. Failure to Report

When CEEO receives information that a UNM employee with reporting responsibilities, pursuant to University Policies <u>2720</u> and <u>2740</u>, has failed to report allegations of known or suspected policy violations in a timely fashion, CEEO will initiate the following process.

Depending upon the nature of the FTR, CEEO may issue a memorandum or proceed with additional fact-finding processes. If an FTR is a singular occurrence, an FTR memorandum will be sent to the Respondent (the person who failed to report to CEEO under UNM Policies) and their supervisor. This memorandum serves as a reminder of the responsible employee's duties as outlined in University Policies <u>2720</u> and <u>2740</u>, and is not disciplinary or punitive. No CEEO investigation is conducted and no hearing is held.

In cases where there are multiple instances of FTR or when an FTR could result in significant harm to the campus or member(s) of the campus community, CEEO will notify the Respondent (the person who failed to report to CEEO under UNM Policies) of the information that CEEO has related to the FTR in writing, and will provide Respondent **five (5) business days** to respond to the FTR allegations, by either providing a verbal or written statement. *See* Section VI(C)(3)(b).

CEEO will review all relevant documents and interview any witnesses, if available and necessary, to determine if Respondent failed to report in relation to their duties, position, and responsibility under UNM policy. This information will be gathered into a Draft Investigative Report and provided to Respondent for review. Respondent will have **five (5) business days** from the date of the Draft Investigative Report to provide additional, factual, relevant information. CEEO will then prepare a memorandum stating whether the information gathered demonstrates a violation of policy (POLICY VIOLATION or NO POLICY VIOLATION) and will provide the memorandum to Respondent. **There is no live hearing associated with an FTR investigation**; the determination of a policy violation is made by the CEEO investigator. Respondent will have the same opportunity to appeal per the process outlined in Section X herein. After the appeal window closes, CEEO will provide the FTR memorandum to Respondent's supervisor and the appropriate sanctioning authority on campus.

D. Anonymous Reports

Individuals who report to CEEO may choose to do so through anonymous means, such as through UNM's anonymous compliance hotline. CEEO's ability to conduct a full investigation into the alleged discrimination may be limited in this circumstance. Similarly, an individual who reports discrimination anonymously may have only limited protection from retaliation. Additionally, complete anonymity can never be guaranteed, as the specific allegations in a complaint may make the anonymous reporter identifiable. *See* Section XI.

E. Report Filed by the Title IX Coordinator or CEEO

Based on information CEEO and/or the Title IX Coordinator receives, the Title IX Coordinator or CEEO may exercise their authority to initiate a formal complaint and investigation on behalf of UNM, regardless of the cooperation or involvement of a Complainant or affected party.

F. False Reports

In the event CEEO receives clear and credible information demonstrating that a Complainant or other filing party has submitted a false report, CEEO will investigate as outlined herein against the party alleged to have filed a

false report. A person who is determined to have filed a false report is subject to the sanctions and discipline outlined in Section VIII.

V. JURISDICTION

A. Jurisdiction Generally

CEEO reviews discrimination complaints to determine whether it is authorized to address them. CEEO's jurisdiction is generally limited to conduct that occurs on UNM property or within UNM education activities, programs, sponsored events, or functions. In some cases, however, CEEO may assert jurisdiction when the complaints are alleged to impact the campus environment or a staff, faculty, or student's work or academic environment.

CEEO will take no action on any complaint filed with CEEO unless it has jurisdiction over one of the parties involved and the subject matter as described in greater detail below:

- 1. The parties involved. CEEO investigates policy violations. Therefore, the policy alleged to have been violated must apply to at least one of the persons involved in the report.
- 2. The subject matter of the allegations underlying the complaint. CEEO has jurisdiction to investigate alleged policy violations regarding civil rights. For CEEO to have jurisdiction to investigate a complaint, the allegations must state facts that, taken in the light most favorable to the Complainant, qualify as an alleged violation of one or more of UNM's policies. If the allegations in the complaint do not allege sufficient facts demonstrating that one of UNM's civil rights policies have been violated, then CEEO may not be able to accept jurisdiction and/or may dismiss the matter as outlined IN Section VI(C)(3)(b). As noted above, CEEO may take informal action related to allegations that do not, as alleged, violate UNM policy; therefore, UNM and CEEO encourage anyone who has any concerns related to civil rights at UNM to bring their concerns to CEEO.

To make a jurisdictional determination, CEEO may also consider:

- 1. Previous and contemporaneous reports or violations against Respondent;
- 2. Pattern of behavior;
- 3. Severity of the allegations;
- 4. Use of weapons, drugs, or coercion;
- 5. Physical threats or violence;
- 6. The power dynamic between the parties involved;
- 7. Where the incident(s) took place;
- 8. The impact on the parties;
- 9. Whether multiple parties were impacted.

B. Jurisdiction of Complaints Subject to University Policy 2740

In order to accept jurisdiction in cases of alleged sexual harassment as defined in <u>University Policy 2740</u>, the alleged incident must have occurred in UNM's education program or activity, which includes physical locations and events over which UNM exercises substantial control, over both the Respondent and the context in which the sexual harassment occurs. This includes any building owned or controlled by a student organization that is officially recognized by UNM. Additionally, at the time of the alleged conduct, the Complainant must be participating or attempting to participate in UNM's educational programs or activities. Complaints filed under <u>University Policy 2740</u> must have occurred in the United States.

VI. FORMAL GRIEVANCE PROCEDURE

A. Formal Complaint

A Complainant may file a <u>formal complaint</u> of discrimination and/or sexual harassment to initiate the formal grievance procedure outlined in this section.

The Complainant shall file a formal complaint by either signing and dating the CEEO <u>formal complaint form</u> or by signing a Confirmation of Allegations after providing a verbal statement of their complaint to an investigator. A Complainant who files a formal complaint will have the opportunity to seek supportive measures.

B. Notice

Upon receipt of a formal complaint of discrimination as described in Section VI(A), CEEO will notify the parties of the following:

- 1. A formal complaint has been received;
- 2. The ability to informally resolve the complaint. The parties must agree to an informal resolution in cases alleging violation of University Policy 2740. Informal resolutions are unavailable in cases where a UNM employee is alleged to have sexually harassed a student;
- 3. A notice of the allegations, including the identities of relevant participants;
- 4. The specific policy or policies that Respondent is alleged to have violated;
- 5. The date and location of the incident, if known;
- 6. A statement that the Respondent is presumed not responsible for the alleged conduct and that a determination regarding responsibility is made at the conclusion of the grievance process;
- 7. Inform the parties that they may have an Advisor of their choice during any subsequent hearing, including an attorney at their own expense, if they choose;
- 8. Advise the parties of UNM policies prohibiting false statements. *See* <u>UNM Student Code of</u> Conduct, Section 2.3; University Policy 2720, Section 12; University Policy 2740.
- 9. Inform the parties about retaliation as described in University Policies 2720(13) and 2740(4);
- 10. Provide notice of any additional allegations that arise after the initial notice to the parties; and
- 11. State the purpose of all investigative interviews with a party, with enough time for the party to prepare for the interview.

C. Process Options

After a Complainant files a formal complaint as outlined in Section IV(B) and the parties are provided with notice, the following process options are available:

1. Withdraw the Complaint

A Complainant may elect to withdraw their complaint at any time after bringing concerns to CEEO. If Complainant chooses to withdraw the complaint, Complainant will be required to sign a form verifying this decision; a written request by the Complainant will meet this requirement. A Complainant is still allowed to seek supportive measures as described in the Definitions section of this CGP.

Even if a Complainant withdraws their complaint, CEEO reserves the right to continue its inquiry into the concerns in order to ensure compliance with UNM policy and related state and federal regulations. Within **five** (5) **business days** of either receiving Complainant's notice of withdrawal or CEEO's final attempt at communication with Complainant, CEEO will notify Complainant if any further action will be taken regarding their complaint. CEEO may act to ensure a working and learning environment free from harassment and discrimination.

If, after a withdrawal by Complainant, CEEO determines it will not proceed with the formal process and investigation, it will dismiss the complaint and notify the parties of the dismissal and justification. See Section VI(C)(3)(b).

2. Informal Resolution

An informal resolution is a path designed to eliminate the alleged discriminatory or harassing conduct, prevent its recurrence, and remedy its effects in a manner that ensures compliance, along with the safety and welfare of the campus community. The purpose of an informal resolution is to inform Respondents that allegations have been made against them and to make them aware of UNM policies and behavioral expectations. This is an informal process where an investigation is not conducted and the allegations in the complaint are therefore neither corroborated nor contested. An informal resolution is NOT disciplinary in nature. An informal resolution will not be offered to facilitate a resolution for allegations that a staff or faculty member sexually harassed a student.

A Complainant may elect to proceed with an informal resolution after filing a formal complaint in all cases. In order for an informal resolution to proceed in cases involving allegations of <u>Policy 2740</u> violations, the parties must both agree with this option. If the parties do not agree, CEEO will afford the Complainant an opportunity to either withdraw the complaint or proceed to a formal investigation as outlined in Section VI(C)(3). As outlined in Section VI(C)(1), however, CEEO reserves the right to continue the investigation in order to ensure compliance with UNM policy and related state and federal regulations.

An informal resolution does not follow a pre-determined process. Rather, CEEO consults with the parties and then proceeds with a solution that prevents recurrence of the alleged conduct. Examples of informal resolutions include, but are not limited to:

- Meet with Respondent to discuss the allegations and UNM policies;
- Meet with Respondent and Respondent's supervisor separately if the allegations regard a work environment;
- Meet with Respondent and supervisor or other authority figure together;
- Provide training or engage in other collaborative processes as deemed appropriate by CEEO;
- Initiate the ADA reasonable accommodation process in cases where a failure to accommodate has been alleged;
- Provide information and discuss reasonable accommodations for pregnancy/lactation;
- Dialogue about the issues to improve the environment or remedy the effects of the alleged conduct; or
- Refer the parties back to a supervisor or other appropriate party for remedial action and monitoring of the work and/or academic environment. The supervisor will notify CEEO of the actions taken to address the work and/or academic environment.

After an informal resolution occurs, CEEO sends follow-up correspondence to Respondent and/or to Respondent's supervisor or other authority figure (if applicable), documenting what action was taken by CEEO.

CEEO notifies Complainant of the action taken and, when appropriate, follows up with the parties regarding Complainant's initial concerns for **sixty (60) days**, to ensure the conduct at issue has stopped. At the conclusion of the sixty (60) day period, CEEO will close the case. CEEO may modify monitoring periods on a case by case basis.

Informal resolutions may not be appropriate for all forms of conduct prohibited by UNM policies. **Informal resolutions may not be an available procedural option when CEEO determines that an informal process is not appropriate based on the severity or pervasiveness of allegations.** In those instances, CEEO may proceed with a formal investigation, which can lead to an evidentiary hearing before a Hearing Officer. *See* Section VI(C)(3)(f).

3. Formal Investigation

The investigation is a neutral process during which CEEO gathers evidence directly related to the allegations in the formal complaint from the parties, any witnesses they identify, any witnesses CEEO identifies, and from any other source. The parties are encouraged to provide any and all evidence and witnesses they deem relevant to their case and should consider submitting evidence that is described in the Definitions section of this document. As described in greater detail in the subsections below, each party will have the following opportunities during a full CEEO investigation:

- Be interviewed by the investigator;
- Review their own statements prior to the statement being included in an Investigative Report and distributed to the other party.
- Provide evidence to the investigator;
- Suggest witnesses to be interviewed by the investigator; and
- Propose questions to be asked of witnesses and parties.

If additional allegations are identified during the investigation, the investigator shall provide additional notice to the Respondent pursuant to Section VI(B) above. The Respondent will have the opportunity to provide additional information in response to any new allegations.

a. Initial Assessment

Following receipt of a formal complaint, CEEO will engage in an initial assessment, which is meant to determine sufficiency of the complaint. During the initial assessment, the CEEO investigator will meet with the reporter to outline the CEEO formal grievance process and gather relevant information to make a jurisdiction determination. If, during the initial assessment, the available evidence shows that the matter is not within the scope of CEEO, the formal complaint will be dismissed. The parties will receive a dismissal letter outlining the justification and appeals information as outlined in the following section. A dismissal can happen at any point throughout the investigative process. If the matter falls within the scope of CEEO, notice will be sent to both parties as described in Section VI(B) and the complaint will proceed with the grievance process outlined herein.

b. Dismissal Letter

If at any point in the investigative process the evidence gathered indicates that no discriminatory or harassing behavior took place, the conduct described is not civil rights related, or does not fall within the jurisdiction of CEEO, CEEO will issue a Dismissal Letter to the parties, summarizing the evidence and its justification for dismissing the complaint. A Dismissal Letter can be issued at the beginning of the formal complaint being filed if the alleged conduct does not fall within CEEO's jurisdiction (e.g., academic dishonesty, bullying).

CEEO will issue a dismissal in cases where the complaint is not jurisdictional to CEEO. CEEO will issue a Dismissal Letter, as required by Title IX of the Education Amendments of 1972, in cases that allege violation of University Policy 2740 when:

- Alleged conduct does not meet the definition of sexual harassment under <u>University Policy 2740</u>;
- The alleged conduct did not occur within UNM's education program or activity; or
- The alleged conduct occurred outside of the United States.

If CEEO issues a Mandatory Dismissal for an alleged violation of University Policy 2740, it may still proceed with a formal investigation under other applicable University policies.

CEEO will consider a Discretionary Dismissal in all cases as follows:

- Where a Complainant notifies CEEO or the Title IX Coordinator in writing that they want to withdraw the formal complaint or any allegations therein;
- Where the Respondent is no longer enrolled in or employed by UNM;
- Where specific circumstances prevent CEEO from gathering evidence sufficient for a decision-maker to reach a determination (e.g., alleged misconduct is not targeted at a specific individual; there is no nexus between alleged misconduct and a protected status).

Parties may appeal an CEEO dismissal pursuant to Section X herein.

Even when it issues a Dismissal Letter, CEEO may determine that the academic and/or work environment requires some informal action, such as training or education for the parties or a department. In cases where post-closure action is deemed appropriate, parties who need to know will be notified. This informal action may include:

- Referring the issue to a department, division, or unit to address climate issues (CEEO will require the
 department to provide CEEO with information outlining the remedial action that was taken);
- An educational or other training opportunity conducted by CEEO or other entity;
- Referral to another department on campus with authority to address the concerns including the UNM Police Department, Dean of Students, Academic Affairs, or Human Resources.

c. Complainant Meeting

Typically, as part of the formal investigation, CEEO engages in an interview process with Complainant to determine the specific allegations Complainant requests CEEO to investigate. This interview process may consist of multiple meetings, if needed. For example, the Initial Assessment meeting described in Section VI(C)(3)(a) may yield sufficient information to proceed, but that is not always the case.

During the interview, CEEO will describe the investigative process, the rights and responsibilities of the parties, and UNM's policy prohibiting retaliation. Complainant will provide a statement to the investigator – whether verbal or written – outlining the specific allegations they are making against Respondent(s). If Complainant provides a verbal statement, CEEO will draft a written Confirmation of Allegations (COA) and provide it to Complainant within **two (2) business days** of the initial Complainant meeting in which they disclose the allegations. Complainant will have **two (2) business days** after receiving the COA to confirm its accuracy and then sign and return to CEEO. When the interview process is complete, Complainant has returned the COA, and the specific allegations of policy violation(s) have been identified for investigation, CEEO will simultaneously notify Complainant and Respondent within **two (2) business days** which allegations have been accepted for

investigation, which type of discrimination the Complainant has identified, and which University policies the Respondent is alleged to have violated.

d. Respondent Meeting

If a formal investigation is elected, CEEO schedules a meeting with Respondent. Prior to meeting with Respondent, CEEO will provide Respondent with written notice and information that constitutes the complaint, including the protected status, the type of discrimination, and a summary of allegations raised by the Complainant. During this meeting, CEEO describes the investigation process, the rights and responsibilities of all parties, and UNM's policy prohibiting retaliation.

At the initial meeting, CEEO notifies Respondent of the nature and scope of Complainant's allegations and the UNM policy(ies) Complainant alleges Respondent violated. During the meeting, Respondent may provide a statement to the investigator – whether verbal or written – in which Respondent addresses the allegations raised by Complainant and answers questions posed by the investigator. If Respondent provides a verbal statement, CEEO will draft a written Confirmation of Response (COR) and provide it to Respondent within **two** (2) business days of the initial Respondent meeting. Respondent will have **two** (2) business days after receiving the COR to confirm its accuracy and then sign and return to CEEO.

e. Evidence Gathering

As part of its investigation, CEEO gathers information it deems relevant to the allegations and any defenses. The parties may submit any documentation they believe should be considered, as well as identify any witnesses they believe should be interviewed. Witnesses are given the opportunity to review a draft of their statement before the statement is included in the Investigative Report. The parties should submit any evidentiary items that are listed in the Definitions section herein, including electronic evidence such as social media posts, audio, text, and phone records. The parties should notify CEEO as soon as possible of any electronic evidence, such as recordings and social media, in order for CEEO to request and preserve the evidence.

CEEO shall also identify evidence independently of the parties if CEEO determines that such evidence may be relevant to its investigation. CEEO may also receive information from other UNM offices as appropriate or review public sources, such as social media or public records.

CEEO has sole discretion to determine which allegations it will investigate and the way it will conduct an investigation. As such, CEEO has sole discretion to determine the relevance of information to the investigation. Any evidence that CEEO finds irrelevant to the investigation will be documented in the Investigative Report for purposes of final review by the Hearing Officer.

CEEO does not have subpoena power or other authority to compel document production or witness participation. Document production and witness participation is therefore voluntary; however, UNM strongly encourages all members of the UNM community to participate in CEEO investigations and provide information when CEEO requests it pursuant to its role as investigator.

f. Inspection and Review Process

The parties will be provided an equal opportunity to inspect and review any evidence obtained as part of the investigation that is directly related to the allegations raised in a formal complaint, including inculpatory

or exculpatory evidence whether obtained from a party or other source, so that each party can meaningfully respond to the evidence prior to conclusion of the investigation.

Prior to issuing a final Investigative Report, CEEO will send to each party and the party's support person, if any, the evidence subject to inspection and review in an electronic format. The parties will have ten (10) business days to submit a written response, including any new, factual information which was not reasonably available to the parties prior to issuing the Investigative Report, which the investigator will consider prior to completion. See Section VI(C)(3)(h) herein.

g. Post-Investigation Steps

At the conclusion of an investigation, CEEO will either dismiss the formal complaint pursuant to Section (VI)(C)(3)(b) or continue the investigatory process for further action if a dismissal is not warranted.

h. Investigative Report

If dismissal of a formal complaint is not warranted, CEEO will create an Investigative Report. The Investigative Report will offer no opinion as to whether a policy violation occurred.

The Investigative Report will include: a citation to the policies alleged to have been violated; Complainant's statement; Respondent's statement; witness statements; all relevant evidence gathered in the investigation; a citation of evidence gathered that the investigator finds irrelevant; the investigative steps taken; and a statement outlining the reason for any delay in an investigation.

The Investigative Report shall:

- Objectively state all relevant evidence, including both inculpatory and exculpatory evidence;
- Start from a premise that the Respondent did not engage in the discriminatory conduct until a determination of responsibility is made at the conclusion of the grievance process by a preponderance of the evidence;
- Outline disputed and undisputed facts uncovered during the investigation, including any evidentiary anomalies or discrepancies.

At the request of a party or witness, or at its discretion, CEEO will exclude and redact from the report content falling into one of the following categories:

- Prior Sexual History. Generally, an individual may exclude evidence of their own prior sexual history with anyone other than a party;
- Mental Health Treatment and/or Diagnosis. Generally, an individual may exclude evidence of their own mental health diagnosis and/or treatment;
- Sensitive Personal Identifying Information and Medical Records. Sensitive personal identifying information, such as Social Security numbers and irrelevant information contained in medical records, will be excluded;

The investigator will also exclude and redact content that is impermissible under applicable law. Exclusions and redactions will be noted and thereby become part of the investigative record.

To protect the integrity of the CEEO investigation, no documents or records are released to the Complainant or Respondent until the Investigative Report is issued. The Investigative Report contains a description of the

documents and records CEEO gathers during its investigation and which the Hearing Officer may use to determine whether UNM policy was violated.

After the Investigative Report is issued, the parties will have **ten (10) business days** to submit any new, relevant, factual information to be included in the final Investigative Report. The response may include corrections or clarifications to the party's own statement, questions for the other party or witnesses, along with any additional evidence or witnesses **not reasonably available to the parties prior to issuing the Investigative Report**. This new information will be included as part of the record in the final Investigative Report, which will be issued to the parties within **five (5) business days** of having received the parties' responses to the Investigative Report.

The final Investigative Report will then be compiled and forwarded to the UNM Hearing Coordinator to begin the grievance hearing process. Thereafter, CEEO will close the investigative file and provide notice to the parties of the same.

i. Grievance Hearing Process

A live hearing presided over by a Hearing Officer will be held to adjudicate the formal complaint and CEEO investigation. Upon the request of either party, the hearing may be conducted by videoconference with the parties in separate rooms, pursuant to the <u>University Hearing Procedure</u>. At all times, however, all participants – including the parties, Advisors, witnesses, and decision-maker – must be able to see and hear each other.

The Hearing Officer has extensive training in Title IX procedures as well as all applicable evidentiary requirements, standards of proof, and relevant state and local laws. The Hearing Officer is tasked with reaching a determination by applying a preponderance of the evidence standard, which is the standard utilized in all UNM hearing processes.

The <u>hearing process</u> is determined by the Hearing Officer.

VII. OTHER MATTERS RELEVANT TO THE FORMAL GRIEVANCE PROCESS

A. General Statement of Investigation Timelines

CEEO attempts to complete investigations in a timely manner, generally concluding within **sixty (60) calendar days** of accepting a formal investigation; however, some investigations may take additional time depending upon the complexity of the claim(s) and other extenuating circumstances (e.g., numerous witnesses, newly discovered evidence, new allegations). When there are factors that extend the normal time frame, the parties are notified of the extension and CEEO documents the reasons for the extension. Similarly, when circumstances dictate that any of the timelines specifically identified herein be extended, CEEO notifies the parties and documents the reasons for the extension.

If a party requires an extension of any time limits applying to them as stated herein, they should submit a request to the investigator as soon as they learn of the need for an extension and state the reason for the request. If good cause for an extension is provided, CEEO may grant the request. If a request is granted, CEEO will notify the parties of the length of the extension and the reason provided for the extension.

In investigations where there is also a criminal investigation by a law enforcement agency, the CEEO process will run concurrently with such an investigation. CEEO may grant temporary delays reasonably requested by law enforcement for evidence gathering and preservation.

B. Responsibilities of the Parties

All persons involved in a CEEO investigation are encouraged, and all UNM employees are required, to preserve all information and tangible material relating to alleged discriminatory or harassing conduct. Examples of evidence include, but are not limited to: electronic communications, photographs, video and/or audio recordings, clothing, and medical information.

At all stages of an CEEO investigative and hearing process, UNM students and employees are expected to provide truthful information to investigators, hearing coordinators, and hearing officers. Making false representations to the University is prohibited under policy and applies to all UNM community members. *See* UNM Student Code of Conduct, Section 2.3; University Policy 2720; University Policy 2740.

All UNM students, staff, and faculty who participate in a CEEO investigation and any subsequent hearing are encouraged to keep investigation and hearing information confidential during the investigation and hearing in order to maintain the integrity of the process.

C. Modification of the Formal Investigation Process

CEEO may elect to deviate from standard process when facts or situations require it. Any deviation from standard reports will be described in detail in the Investigative Report and will include the reasoning for this deviation. Examples include, but are not limited to:

- 1. Unaffiliated Respondents. If the Respondent is unaffiliated with UNM or becomes unaffiliated with UNM, CEEO may continue the formal investigation and issue an Investigative Report.
- 2. Hiring or Policy Challenges. CEEO may accept a hiring or policy challenge based on protected status, where the complaint demonstrates a potentially disparate impact on Complainant. In these situations, CEEO may elect to name a department, division, unit, or office as Respondent and review the hiring process, procedure, candidate pool, or hiring outcome. In these situations, CEEO may not name a specific Respondent and will issue only a Final Report, similar to a climate investigation described herein. See Section IX.
- 3. Failure to Report. See Section IV(C)(1).

D. Advisors, Support Persons, and Union Representation

Complainants and Respondents are encouraged to bring a support person of their choice to provide guidance during the CEEO process, including the investigatory stage. A support person may be any person who is not a party or potential witness in the case or a supervisor of one of the parties; their role is to assist the party in navigating UNM's administrative processes. Support person roles may vary based upon the specific needs of the party.

In the event a CEEO investigation advances to a hearing, the parties are required to have an Advisor of their choice. The Advisor assists the party during the hearing stage, including conducting cross-examination of the opposing party and witnesses.

If the party has union representation, the party has a right to request that a union representative be present at any meeting with CEEO. If the party feels it is necessary to have a union representative during the interview, the

party may ask to stop the interview at any time. The party will be given **three (3) business days** to obtain a union representative and reschedule the interview.

The parties may be accompanied by their respective support person to any CEEO meeting or interview. The support person is allowed to ask questions regarding CEEO's process but is **not** allowed to answer questions posed to the parties, to present arguments or evidence, or otherwise participate directly in the investigation. A support person shall make themselves reasonably available for all aspects of the CEEO grievance process. CEEO will review and grant good cause extensions as it deems necessary and on a case-by-case basis; scheduling conflicts alone generally do not constitute good cause. Parties are encouraged to utilize UNM's on-campus resources.

CEEO will communicate directly with the parties unless the parties indicate otherwise in writing. If a party wishes CEEO to communicate directly with a support person, the party must sign a release and describe the parameters of CEEO's communication with the support person. If the party is a student, the student must sign a FERPA waiver provided by CEEO.

Advisors and/or support persons shall not share <u>FERPA</u>-protected or other confidential information learned through the CEEO process. Advisors and/or support persons shall not engage in harassment or retaliation of any person or party. Support persons will be asked to sign a Confidentiality Agreement to protect the integrity of the CEEO grievance process and investigation.

E. Conflict of Interest and Bias

When a party alleges that there is a conflict of interest or bias that would prevent CEEO from conducting a fair, impartial, and neutral investigation, CEEO will conduct an assessment to determine whether an actual conflict or bias exists. A party's perception of a conflict or bias do not create an actual conflict or bias. An actual conflict exists where it is demonstrated that:

- The investigator has or has had a personal relationship with a party; or
- The named parties include in good faith the President of the University or the President's Chief of Staff, as CEEO reports directly to these individuals.

Each CEEO staff member is trained to disclose to CEEO leadership an instance in which they have a conflict or potential bias in a complaint assigned to them. An investigator is not presumed to have a particular bias based on any of their own protected statuses, prior affiliations, or prior investigation outcomes.

The Title IX Coordinator's or CEEO's direction to proceed with a formal complaint does not create an actual conflict of interest or bias for or against a party involved in the complaint. Such a direction does not endorse or accept as true the allegations in a complaint; rather, the direction is made to ensure UNM meets its obligations under the law and/or University policy.

If a party believes that a conflict of interest or bias affected the outcome of a CEEO investigation, they may appeal any CEEO or Hearing Officer determination on that basis. *See* Section X.

VIII. SANCTIONS AND REMEDIES

CEEO does not have sanctioning or disciplinary authority. If the Hearing Officer's final determination is that a policy violation has occurred, the Hearing Coordinator will send a copy of the Investigative Report and the

Hearing Officer's Final Determination to the parties, their Advisors, and CEEO as outlined in the hearing procedure.

Investigative Reports and Final Determinations may also be shared with others who have a legitimate business reason to be advised of the determination, including but not limited to: National Institutes of Health (NIH), National Science Foundation (NSF), UNMPD, Student Conduct, Residence Life, the Clery Compliance Officer, Greek Life, and Athletics. CEEO will determine what information and what officials must be advised of the Hearing Officer's findings in order to remedy the effects of discriminatory conduct and to prevent its recurrence.

The Title IX Coordinator or Student Conduct Officer may submit a written statement regarding aggravating and mitigating factors to the sanctioning authority, including whether the Respondent was previously found to have violated University policy.

Sanctions can range from a verbal warning to expulsion or termination of employment.

If the Respondent is determined to have violated <u>University Policy 2740</u>, the Title IX Coordinator may provide the Complainant with remedies that are separate from the Respondent's sanction. Remedies are designed to maintain the Complainant's equal access to the academic and/or work environment. Remedies may include extending supportive measures as defined in this CGP. Remedies are not required to be non-disciplinary or non-punitive for the Respondent and need not avoid burdening the Respondent. Remedies are confidential and are not shared with the Respondent except to ensure the remedy is carried out.

IX. DEPARTMENTAL INVESTIGATIONS; CONSOLIDATED COMPLAINTS; HIRING CHALLENGES

A. Consolidated Complaints; Cross-Claims

Where there are several complaints against a Respondent by more than one Complainant, CEEO may consolidate those complaints into one investigation when possible. In order to consolidate multiple complaints into one investigation, the complaints must arise out of the same set of facts or circumstances.

In instances where parties to an investigation each have a claim against the other – a cross-claim – that is jurisdictional to CEEO, the investigator assigned to the first report will also investigate the second report simultaneously. The cross-claims will be consolidated into one investigation. This is done to ensure a timely investigation, as well as save time for parties and witnesses to the investigation. In addition, this allows for the ultimate live hearing following an investigation to address both parties' claims, preventing the need for an additional hearing.

B. <u>Departmental Investigation</u>

A departmental investigation is one in which CEEO or the Title IX Coordinator stand in as the Complainant in a formal investigation in which there are multiple complaints against one Respondent.

Unlike the consolidated complaint process outlined in Section IX(B), a departmental investigation is held where there are multiple complaints against one Respondent, but the complaints **do not** arise from the same set of facts or circumstances. In this type of case, the occurrence of multiple complaints may be sufficient to indicate a pattern of acts that, in the aggregate, could violate UNM policy. Under such circumstances, CEEO may determine that a departmental investigation is warranted even though the individual complaints giving rise to the departmental investigation are not accepted as jurisdictional.

When CEEO determines that a departmental investigation is the appropriate procedural option, it will follow the investigative process as outlined in Section VI(C)(3), as applicable.

C. Hearings with Multiple Complaints against One Respondent

In instances where there are multiple complaints involving the same Respondent but which CEEO cannot consolidate the complaints, the cases may be adjudicated in one hearing at the discretion of the Hearing Officer.

D. Hiring Challenges

If an applicant for a University employment position or a current University employee alleges they were not interviewed, hired, or promoted as a result of discrimination due to a protected status, CEEO will accept the complaint as a hiring challenge. If the applicant or employee identifies a specific Respondent(s), CEEO will follow the grievance procedure set forth in this CGP.

If the applicant or employee does not name a specific Respondent(s), CEEO will review documentation from the specific Human Resource or Faculty Contract area and ask that Human Resources, the Office of Academic Personnel, and/or the hiring official provide a response regarding the hiring challenge. Relevant documentation may include, but is not limited to: position posting, recruitment efforts, ranking documents, resumes, applicant pool information, interview notes, interview committee composition, and hiring justification.

If the response and applicable documents do not appear to constitute a violation of UNM policy, CEEO will dismiss the challenge and notify the parties. Parties may appeal the dismissal as outlined herein.

If there is evidence that UNM process and policy were not followed during the hiring or promotion process, CEEO will continue its investigation and grievance procedure set forth in this CGP.

X. APPEALS

Parties may appeal a CEEO dismissal, the Hearing Officer's determination, and accompanying sanction for policy violations as follows:

A. Appeals to the President/Health Sciences Center (HSC) Executive Vice President (EVP)

A party may appeal CEEO's dismissal, the Hearing Officer's Final Determination, and accompanying sanction to the President of the University of New Mexico within **seven (7) business days** of the date of the Final Determination's issuance.

UNM HSC students may appeal to the EVP with a discretionary appeal to the President of the University of New Mexico. The President Appeal Form should be used for appeals sent to the President of the University of New Mexico.

The President and EVP will consider an appeal only if it alleges one or more of the following extraordinary circumstances:

1. Procedural irregularity that affected the outcome

- 2. New evidence that was not reasonably available when the determination of responsibility was made that could affect the outcome; or
- 3. The Title IX Coordinator, investigator, or decision-maker had a general or specific conflict of interest or bias against the Complainant or Respondent that affected the outcome.

The appeal must clearly state which one (or more) of these grounds for appeal is alleged. No other grounds for appeal will be considered.

Appeals must be received by the Office of the President within **seven (7) business days** of the date the Final Determination was issued. Exceptions to this time limit will only be considered on a case-by-case basis with sufficient justification provided by the individual seeking the exception. Appeals that are not received by the stated deadline will be denied unless an exception to the appeal time limit is granted by the President or EVP.

Appeals may be submitted electronically through an <u>online form</u> or via email to <u>presidentstokes@unm.edu</u>; by mail to MSC05 3300, Scholes Hall, Suite 144, 1 University of New Mexico, Albuquerque, NM 87131; or by hand-delivery to the Office of the President at Scholes Hall, Suite 144. Emailed appeals will be considered received by the Office of the President on the date and time they are sent. Appeals sent by mail will be considered received by the Office of the President as of the date of postmark on the document. Hand-delivered appeals will be considered received by the Office of the President when a representative of the Office physically accepts delivery of the document and notes the date and time of receipt thereon. Appeals that are not submitted as outlined in this paragraph may be denied without consideration.

If an individual files an appeal of a Final Determination, CEEO and the Hearing Officer will be informed of the appeal. The Hearing Coordinator will notify the other interested parties that an appeal has been filed. The party who is not filing an appeal will be given **five (5) business days** to submit an impact statement to the Hearing Coordinator for consideration. If an appeal alleges that CEEO or the Hearing Officer have not followed proper procedures, those parties will be given the opportunity to respond to the allegation.

Best efforts shall be made to issue a decision with **twenty (20) business days** after the appeal is received. If the appeal is considered, the President may decide to uphold the Final Determination in its entirety or may remand all or part of the appealed determination to the Hearing Officer or CEEO for additional proceedings or investigation. Written notice of the President decision will be provided to the appealing party, the Hearing Officer, and CEEO. All other parties will be simultaneously notified of the decision by the Hearing Coordinator.

If the President denies an appeal of the Final Determination or upholds that Final Determination without change, the Hearing Officer and Coordinator may take whatever further action they deem is warranted on the matter, including but not limited to forwarding its Final Determination to appropriate disciplinary bodies or administratively closing the matter.

B. Appeals to the Board of Regents

Under Regents Policy Manual, Section 1.5: Appeals to the Board of Regents, the Board of Regents has the discretion to review the President's decision on an appeal of the Final Determination. An appeal may be submitted to the Board of Regents ONLY AFTER the President's review and decision are completed. The Board of Regents has sole discretion to determine whether the appeal will be considered. Additional information on appeals to the Board of Regents can be found at https://policy.unm.edu/regents-policies/section-1/1-5.html.

XI. CONFIDENTIALITY

UNM strives to respect individuals' privacy and requests for confidentiality to the extent permitted by state and federal laws. However, UNM has an obligation to investigate and resolve civil rights claims. To meet this obligation, UNM may be required to share information with persons who have a legitimate need to know that information. For example, those who are responsible for determining whether UNM policies have been violated or those sanctioning individuals found to have violated UNM policy have a legitimate need to know the factual findings made by CEEO. Those who manage the processes for appeals of CEEO factual findings have a legitimate need to know the details of CEEO's investigation and/or need to review the evidence underlying a finding.

While UNM strives to respect the confidentiality and privacy of all parties involved in the process, UNM cannot under any circumstances guarantee confidentiality unless required to do so by law. Examples of situations in which confidentiality cannot be maintained include, but are not limited to:

- 1. Parties (Complainant and Respondent) have a right to examine and respond to all the evidence gathered by CEEO. While CEEO maintains information protected by FERPA, parties may be able to view FERPA-protected information;
- 2. When UNM is required by law to disclose information (such as in response to a legal process or a request for information under the New Mexico Inspection of Public Records Act);
- 3. When disclosure of information such as the identity of witnesses, sources of information is necessary for conducting an effective and fair investigation, such as allowing for cross examination questions or providing facts and documentation to parties;
- 4. Communicating with managers, supervisors, deans, chairs, and HR agents on a need to know basis, in order for them to effectively manage the work and/or academic environment;
- 5. For the purpose of implementing supportive measures pursuant to Title IX; or
- 6. When confidentiality concerns are outweighed by UNM's obligation to protect the safety or rights of others.

CEEO cannot grant anonymity to a person pursuing a formal investigation, as these cases may result in a live hearing before a Hearing Officer to determine if policy has been violated. For more information about the rights of individuals participating in processes related to alleged gender discrimination, please refer to University Policy 2740.

CEEO cannot access, consider, disclose, or otherwise use a party's records that are made or maintained by a physician, psychiatrist, psychologist, or other recognized professional or paraprofessional acting in that capacity, or assisting in that capacity, and which are made and maintained in connection with the provision of treatment to the party, unless the party provides voluntary, written consent to do so as part of this grievance process.



ADMINISTRATIVE HEARING PROCEDURES: ALLEGATIONS OF VIOLATIONS OF CIVIL RIGHTS POLICIES

ARTICLE 1. INTRODUCTION AND GENERAL PROVISIONS

1.1 Introduction. This document provides a standard operating procedure for the Grievance Hearing Process referenced in Section VI(C)(3)(i) of the Discrimination Grievance Procedure ("DGP") issued by the Office of Compliance, Ethics, & Equal Opportunity ("CEEO") and performed by the UNM Hearing Office for alleged violations of the University's Discrimination Policies (University Administrative Policies ("UAP") 2310, 2720, 2740, and/or 3110) and/or applicable/related Student Codes of Conduct. This procedure may be incorporated in another procedure by reference.

For the process governing hearings that <u>do not</u> involve allegations of violations of the University's Non-Discrimination Policies, see https://oeo.unm.edu/forms/pdf/oeo-dgp.pdf.

1.2 General Provisions

- 1.2.1 Calculation of Time, Extension of Time Limits and Good Cause. Unless otherwise specified herein, the term "days" refers to regularly recognized University business days, and does not include weekends, holidays, or other University closures. The Hearing Officer shall extend any time limit set forth in these rules for good cause upon request from the Parties. Good cause may include the following: that a time limit includes finals week, periods such as vacations, holidays, or intersessions, the absence of one or both Parties, or the absence of Hearing Office staff from the institution. Any time extension shall be communicated in writing to all Parties along with a new written schedule.
- **1.2.2 Decisionmaker Training.** The Hearing Officer shall be required to complete specific training prior to conducting a hearing. Such training will be prescribed by the CEEO, the Title IX Coordinator, University policy, procedure, or by order of the Board of Regents or the President. If so prescribed or ordered, the Hearing Coordinator will work with the appropriate University Department to obtain and provide the required training to the Hearing Officer prior to the hearing.



- 1.2.3 Sanctioner Training. In matters alleging sexual harassment in violation of UAP 2740, Sanctioners, including, but not limited to supervisors, chairs, directors, and department equivalents, shall be required to complete training specific to their affiliation or department prior to the sanctioning phase. Such training will be prescribed by CEEO, the Title IX Coordinator, University policy, procedure, or by order of the Board of Regents or the President.
- **1.2.3.1 Withdrawing a Complaint.** Once the Hearing Office has received the investigative file from CEEO, Complainant must follow the withdrawal process set forth in the DGP. In the event CEEO permits withdrawal of the Formal Complaint, CEEO still has the discretion to carry out another process option and move forward without the Complainant.

1.3 Definitions.

ADA Coordinator: The individual designated to coordinate University Compliance with the Americans with Disabilities Act and related laws. <u>See</u> UAP 2310 and 3110.

Administrative Hearing Determination: Written decision of the Hearing Officer following a hearing.

Administrative Hearing Notice: The document provided to all Parties and their Advisors indicating the date and time of the hearing together with information as required by Article 3.4 herein.

Advisor: A person selected by the Complainant or Respondent to perform cross-examination at the hearing. An Advisor also may assist Complaint/Respondent as permitted by Articles 2.1, 2.2 and 2.3 below There are three types of Advisors:

- 1) Private Advisor: any individual Complainant and Respondent personally select to serve as their Advisor;
- 2) UNM Advisor: Upon the request of Complainant or Respondent, an individual designated by UNM to serve as their Advisor.
- 3) Attorney Advisor: an attorney engaged by Complainant or Respondent to serve as the Party's Advisor.

CEEO: The UNM Office of Compliance, Ethics and Equal Opportunity. CEEO investigates claims of discrimination based on a protected status, sexual harassment, and sexual misconduct. It provides oversight of the University's compliance with federal and state equal opportunity and affirmative action statutes and regulations, including Title VII, Title IX, the Clery Act, and the Americans with Disabilities Act ("ADA"). Refer to https://ceeo.unm.edu for more information regarding CEEO.



Complainant: The person or persons filing a Formal Complaint pursuant to UAP 2720, 2740, and/or 3110 in accordance with the DGP.

Cross-Examination: Questions asked of an opposing Party's Witness at the hearing directly, orally, and in real time by the Party's Advisor to challenge or flesh out statements already given by the Witness.

Departmental Investigation: An investigation in which there are multiple complaints asserted by multiple individuals against one Respondent that do not arise from the same factual circumstances.

Direct Examination: Questions a Party asks of their own Witness during the hearing to clarify or extend statements made prior to the hearing.

Discrimination Grievance Procedure ("DGP"): The procedures followed by the CEEO when processing complaints alleging violations of certain UNM policies. The DGP may be found at: https://oeo.unm.edu/forms/pdf/oeo-dgp.pdf.

Formal Complaint: A complaint of discrimination and/or sexual harassment that triggers the formal grievance procedure set forth in the DGP. See the DGP for more information regarding Formal Complaints.

Hearing Coordinator: The office or individual assigned to facilitate the hearing by providing administrative assistance to the Hearing Officer. The Hearing Coordinator has no substantive decision-making authority regarding the issues to be decided at the hearing or the outcome thereof.

Hearing Officer: The individual responsible for evaluating the evidence presented by the Parties at a hearing and issuing a subsequent determination as to whether a Respondent violated a UNM civil rights policy.

Investigative Report: The final report issued at the conclusion of a formal investigation conducted by CEEO, which includes: Complainant's statement(s), Respondent's statement(s), witness statements, and relevant evidence. This report serves as the threshold record to be used in an evidentiary hearing.

Impact Statement: A written statement by the Complainant or Respondent, presented to the Hearing Officer at the close of the hearing for review by the Sanctioner to help the Sanctioner determine the appropriate sanction, if any. In their statements, the Complainant and Respondent may address their experience and the incident in question, as well as respond to how the investigation and hearing was conducted and any areas of agreement or/disagreement with the investigation or hearing. The Impact Statement may not seek to introduce new evidence.



New Evidence: New, previously undiscoverable or undisclosed evidence not available to CEEO, or not in existence at the time of the CEEO investigation, presented to the Hearing Officer for consideration. With respect to an appeal of an Administrative Hearing Determination, the definition of new evidence in the CEEO Discrimination Grievance Procedures is applicable.

Party/Parties: The Complainant and Respondent are each referred to as a Party to the hearing. Collectively, the Complainant and Respondent are referred to as the Parties.

Pre-Hearing Conference: The meeting set by the Hearing Officer to confer with the Parties and their respective Advisors, prior to the hearing.

Preponderance of the Evidence: The burden of proof standard that means when satisfied, that based on the evidence determined to be relevant and considered by the Hearing Officer, it is more likely than not that a policy violation occurred.

Remedies: As provided by federal law in cases of sex discrimination, remedies are designed to maintain the Complainant's equal access to the programs and services the University provides, including education, work opportunities, and other activities. This may include the same individualized services described as supportive measures in the DGP. Remedies are confidential and only are offered to Complainant when Respondent is found to have violated UNM policy based on a Preponderance of the Evidence considered at the hearing. Remedies need not be non-disciplinary or non-punitive and need not avoid burdening the Respondent.

Respondent: The individual who is alleged to have engaged in discriminatory conduct in violation of UAP 2310, 2720, 2740, or 3110; or is alleged to have violated any other UNM policy based on the same facts and circumstances.

Sanctioner: The individual or entity with sanctioning authority who is responsible for deciding the appropriate sanction when there is a determination that University policy has been violated. Sanctioning authority is determined by the policy alleged to have been violated and/or the University entity that has sanctioning authority over the Respondent. Sanctioning authorities may include one or more of the following:

For Staff: The staff member's supervisor, chair, dean, director or department equivalent. In cases of sex discrimination, consultation with the Title IX Coordinator or designee also is required.

For Students: The UNM Dean of Students. In cases of sex discrimination, consultation with the Title IX Coordinator or designee is also required.

For Faculty: University personnel identified pursuant to the UNM Faculty Handbook or applicable collective bargaining agreement. In cases of sex discrimination, consultation with the Title IX Coordinator or designee is also required.



Support Persons: If determined appropriate pursuant to the accommodation set forth in Section 3.1.1, individuals designated by a Party may attend the Pre-Hearing Conference and hearing to provide emotional or physical support to a Party. A support person <u>cannot</u> be a potential Witness in the hearing an Advisor nor can they be someone in a Party's supervisory chain if the Party is a University employee.

Witness: Any person who may have knowledge of the evidence in an investigation or complaint, including but not limited to the Complainant and Respondent.

ARTICLE 2: ROLES AND RESPONSIBILITIES

2.1 Advisors, Generally. The role of *any* Advisor is to conduct Cross-Examination of the other Party and Other Party's Witnesses. Cross-Examination may include questions that challenge the credibility of the Witness.

An Advisor may appear and conduct Cross-Examination even when the Party whom they are advising does not attend the hearing. An Advisor may be excused from a hearing if the Party does not attend the hearing and the Party did not consult with the Advisor regarding Cross-Examination questions.

Advisors shall not answer any question directed at the Parties or their Witnesses.

An Advisor may also assist a Party throughout the entire grievance process and assist a Party in preparing for and participating in the pre-hearing process as agreed upon by the Advisor and the Party.

- **2.2 Advisors, Limitations.** Hearings are not legal proceedings. Advisors shall not treat the prehearing or hearing process as a legal proceeding regardless of whether the Advisor is also a licensed attorney. Advisors may not negotiate a settlement or stipulation on behalf of an advisee, confer with another Party's Advisor, object during hearings, offer motions, engage in discovery such as contacting or deposing witnesses, request additional documentary evidence from the other Party, present opening or closing statements, provide witness testimony or otherwise engage in conduct that is beyond the Advisor's role as set forth in the preceding Article.
 - **2.2.1 UNM Advisors:** Advisors who have been assigned to a Party by UNM shall not provide legal advice to Parties on any matter. UNM Advisors are not involved in the appeal process other than to assist the Party in locating the forms to submit an appeal.
 - **2.2.2 Private Advisors/Attorney Advisors:** Private Advisors and Advisors who are attorneys retained by a Party must follow the rules applicable to all Advisors. Parties seeking an Attorney Advisor must do so at their own expense. Nothing in this Article shall prohibit Attorney Advisors from providing other legal services to a Party.



2.3 Advisors, Removal/Recusal/Replacement. Advisors are required to conduct themselves in accordance with the rules of decorum included in this Procedure. The Hearing Officer reserves the right to deny an Advisor the opportunity to ask specific questions if such questions are deemed irrelevant or otherwise in violation of the limitations on evidence as provided in this Procedure. The Hearing Officer reserves sole discretion in determining whether to remove an Advisor from any part of any proceeding in which the Advisor violates the rules of decorum or refuses to cooperate with a Hearing Officer directive.

Non-UNM Advisors: Advisors may recuse themselves from participating at any time after notifying the Hearing Office. The Party advised by the recused Advisor may select another Advisor or request a UNM-assigned Advisor.

UNM Advisors: UNM assigned Advisors may make a good cause request to recuse themselves from working with a Party. The Party may select another Advisor or another UNM Advisor may be assigned.

A Party may change Advisors upon notice to the Hearing Coordinator; however, changing Advisors within five (5) days of the hearing is strongly discouraged. If a Party correctly asserts that an Advisor refuses to engage in Cross-Examination on the Party's behalf, the University will provide an Advisor who will conduct Cross-Examination and delay the hearing until such time as the new Advisor is prepared to assist the Party.

- **2.4 Hearing Officer, Generally.** The Hearing Officer's role is to conduct the hearing and ensure all participants adhere to the Rules of Decorum. The Hearing Officer may ask questions deemed relevant by the Hearing Officer The Hearing Officer also determines the relevance of each question posed by an Advisor or Party during a hearing. The Hearing Officer reviews the evidence and determines whether or not the Respondent violated a UNM policy and reduces that determination to writing for both Parties, and the Sanctioner when a policy violation has occurred.
- 2.5 Parties, Generally. The Party's role is to designate an Advisor, submit to the Hearing Coordinator information not previously considered by the investigator prior to the Pre-Hearing Conference, prepare Direct and/or Cross-Examination questions to ask of the other Party or Witnesses at the hearing, adhere to the proceeding timeline, ask questions of their Witness/es (other than Cross-Examination of the other Party), communicate with their Advisor, and otherwise fully participate in the process. A Party may testify on their own behalf and/ or be called as a Witness by the other Party.

Parties are responsible for communicating with the Hearing Coordinator to designate, or confirm designation, of their Advisor. Parties are responsible for responding to communications from the Hearing Coordinator regarding the Pre-Hearing Conference and the hearing. It is the responsibility of the Parties to notify the Hearing Coordinator of any change in the contact information for the Party (e.g., address, email address or phone number) or change in the Party's affiliation with the University. Failure to do so could result in a Party missing the opportunity for a hearing.



ARTICLE 3. PREHEARING MATTERS

- 3.1 Pre-Hearing Conference. After receipt of the information specified in Article 3.2, the Hearing Officer will meet with the Parties and/or their Advisors to consider clarifying or narrowing the issues to be heard by the Hearing Officer, to provide an overview of the hearing process, to answer procedural questions, to consider limiting the number of witnesses, or to consider any other matters which may aid the conduct of the hearing. The Hearing Officer will also consider the submission of previously undiscovered or undisclosed evidence submitted by the Parties, as described in Article 3.2. The Hearing Officer shall have sole discretion to determine whether to hold a single Pre-Hearing Conference for all Parties and/or their Advisors or separate Pre-Hearing Conferences for each Party and/or their Advisor.
 - **3.1.1 Accommodations for Disabilities.** This Procedure does not alter any institutional obligations under federal disability laws including the Americans with Disabilities Act of 1990 and Section 504 of the Rehabilitation Act of 1973. Parties may request reasonable accommodations for disclosed disabilities to the Hearing Coordinator at any point before or during the hearing process. The Hearing Coordinator shall work with University personnel including the Accessibility Resource Center (ARC), pursuant to University Administrative Policy 2310, or the ADA Coordinator or their designee to provide accommodation, as appropriate. Neither the ADA Coordinator nor ARC shall be required to provide the Hearing Coordinator, Hearing Officer, or other hearing participant the exact medical reason for the exception or accommodation.
- **3.2 Pre-Hearing Conference Requirements for Parties**. At least two (2) business days before the Pre-Hearing Conference scheduled for each Party, the Party shall provide the Hearing Coordinator with electronic copies of the following information, copies of which the Hearing Coordinator shall distribute to the other Party or Parties and to the Hearing Officer.

• Witness List

A list of witnesses the Party intends to call at the hearing. Witnesses may include: persons interviewed by the investigator; persons identified in the Investigative Report, but not interviewed by the investigator; or a previously undiscovered Witness who will testify about New Evidence. The Parties must inform the Hearing Coordinator in writing if they do not intend to call any witnesses. The Hearing Officer may grant an extension to submit the witness list for good cause in accordance with Article 1.2.1. A Party may request an extension of time to submit a Witness list by contacting the Hearing Coordinator. Witnesses who were not identified two days prior to the Party's Pre-Hearing Conference will not be permitted to testify at the hearing.

The Hearing Officer may place reasonable limitations on the number of witnesses, either before or after the list above is submitted, and will inform the Parties of such limitation no later than two (2) business days prior to the hearing. The Hearing Officer may exclude Witness testimony that is cumulative or irrelevant to the issues presented. No witnesses other than those on the Party's list may testify without the consent of the Hearing Officer.



• Name of Designated Advisor or Request for a UNM Advisor

Each party shall provide the Hearing Coordinator with the name of their Advisor and disclose whether the Advisor is an attorney representing the Party, whether or not such attorney is engaged in the practice of law in New Mexico, or request a UNM Designated Advisor.

• Electronic copies of any New Evidence

Any Party requesting that the Hearing Officer consider New Evidence at the Pre-Hearing Conference must submit electronic copies of that evidence to the Hearing Coordinator. In the case of new testimonial evidence, a written summary of the same must be submitted electronically to the Hearing Coordinator.

3.3. Preparation of Evidence.

All relevant evidence and Witness names should have been submitted by the Parties or gathered by the investigator during the investigation preceding the hearing. The investigative file that is submitted to the Hearing Coordinator at the conclusion of an investigation contains the same evidence and documents provided to the Parties during the investigation.

All members of the University community are encouraged to cooperate with the Parties' reasonable requests to provide evidence and to appear at the hearing as witnesses.

3.3.1 Provision for New Evidence. The Parties may present New Evidence for consideration at the hearing at least two (2) business days prior to the Pre-Hearing Conference, as described in Article 3.2.

The Hearing Officer has sole discretion to determine whether the New Evidence will be accepted as evidence. The Hearing Officer shall consider the relevance of the evidence, whether the Party could have obtained it earlier, the time remaining until the hearing, and the degree of prejudice to the other Party when determining whether the New Evidence will be accepted as evidence.

3.4 Administrative Hearing Notice. In accordance with Section VI(C)(3)(h) of the DGP, upon completion of the Investigative Report, CEEO will submit this report, the investigative file and other relevant documentation in its entirety to the Hearing Coordinator. Upon receipt of the file, the Hearing Coordinator will schedule the date of the Pre-Hearing Conference and the Hearing. The Hearing Coordinator will send the Parties a Notice of Administrative Hearing no less than ten (10) business days before the hearing date.



The Administrative Hearing Notice will include the following:

- A description of the alleged violation(s), a list of all policies allegedly violated, a copy or link to these Administrative Hearing Procedures, and the potential sanctions/responsive actions that could result if the Respondent is determined to have violated a policy.
- That a Preponderance of the Evidence standard will be applied by the Hearing Officer;
- The time and date of the hearing and whether the hearing will be in person or conducted via a virtual platform;
- Any technology that will be used to facilitate the hearing;
- A list of all those identified by the Parties who will attend the hearing, if known;
- Information regarding how the hearing will be recorded and how the Parties may access the recording after the hearing;
- A statement that if any Party or Witness does not appear at the scheduled hearing, the
 hearing may be held in their absence. No inference will be drawn from the absence of a
 Party or Witness;
- Notification that the Parties may have the assistance of an Advisor at the hearing and will be required to have one present to conduct Cross-Examination;
- Notification that if a Party does not secure an Advisor of their choice, the University will designate one free of charge;
- A copy of the Investigative Report created by CEEO.
- A copy of any report issued by another department, including but not limited to Human Resources, which addresses potential violations of policies other than UAP 2720, 2740 or 3110 by an employee Respondent whose alleged conduct arises out of the same facts and circumstances as set forth in the Investigative Report.
- An invitation to contact the Hearing Coordinator to arrange any disability accommodations, language assistance, and/or interpretation services that may be needed at the hearing, at least five (5) business days prior to the hearing.
- A statement that individuals not designated by the Hearing Officer or these procedures are prohibited from recording the hearing.



ARTICLE 4. HEARINGS

- **4.1 Hearings, Generally & Recordings.** All hearings shall be closed to the public, persons who are not participants, and the media. Unless designated by the Hearing Officer, hearings may not be recorded by any person or means. If any participant is found to be recording the hearing, they will be immediately removed from the hearing and prohibited from further participation. If it is later discovered that a participant recorded all or part of the hearing, the employee, student, or visitor alleged to have violated these procedures may be subject to disciplinary action under the UNM policies applicable to each participant.
 - **4.1.1 Consolidation.** There is a presumption that separate matters with the same Parties and/or witnesses shall be adjudicated as separate matters. The Hearing Officer may consolidate Formal Complaints against more than one Respondent, or by more than one Complainant against one or more Respondents, or by one Party against the other Party, where the allegations arise out of the same facts or circumstances.
 - **4.1.2 Delays.** A Party may request a delay in the hearing for good cause as described in Article 1.2.1. Requests for a delay must be sent to the Hearing Coordinator for consideration at least five (5) days prior to the hearing, unless the absence is the result of an emergency or unforeseen medical condition. The Hearing Officer has the sole discretion to allow an extension of time. The Hearing Officer shall consider the reason for absence, scheduling conflict or other reason for a delay, length of proposed delay, the time until the hearing date, and the prejudice to the other Party. The Hearing Officer shall include the rationale for granting or denying a request for a delay in the Administrative Hearing Determination.
 - **4.1.5 Advisor Designation.** Each Party must have an Advisor for the purpose of conducting Cross-Examination of the other Party and the other Party's witnesses. A Party may designate a person to serve as their Advisor or may request a University-designated Advisor at no cost by contacting the Hearing Coordinator. An Advisor shall not be a Witness in a proceeding.

A Party must identify their Advisor at least two business days before the Pre-Hearing Conference by contacting the Hearing Coordinator. A Party must also disclose whether their Advisor is an attorney. If a Party appears at the hearing without an Advisor, the hearing may not proceed until either the Party designates an Advisor or the University designates an Advisor for that Party. A Party cannot decline the UNM-designated Advisor without first selecting an Advisor of the Party's choice.

4.2 Opening/Closing Statements. Only Parties shall be allowed to make opening or closing statements, and such statements shall be permitted at the discretion of the Hearing Officer. If the Hearing Officer allows one Party this option, each Party will have the same option. Neither opening nor closing statements are considered evidence relied upon by the Hearing Officer.



4.3 Evidence. The Parties may testify, present testimony of other witnesses, and/or explain documents and other evidence at the hearing. The Hearing Officer may exclude cumulative, unfair, confidential or irrelevant evidence (see Article 4.5 below), but is not required to follow the rules of evidence applicable to a court proceeding.

The Hearing Officer <u>may</u> rely on any relevant statement of a Party or Witness in reaching a determination of whether a policy was violated regardless of whether a Party or Witness attended the hearing or was subjected to Cross-Examination at the hearing.

- **4.4 Determination of a Question as Relevant.** Before a Party or Witness responds to a question on Direct or Cross-Examination, the Hearing Officer must first determine if the question is relevant. The Hearing Officer must explain any decision to exclude a question on the basis that it is irrelevant.
- **4.5 Irrelevant/Inadmissible Evidence.** The Hearing Officer shall exclude any evidence that is deemed irrelevant or otherwise inadmissible, including but not limited to the following:
 - A Complainant's prior sexual history, unless offered to prove Complainant's consent with respect to Respondent, or to prove that someone other than Respondent committed the alleged conduct;
 - Information protected by a legally recognized privilege (i.e., attorney-client privilege);
 - Duplicative or cumulative evidence;
 - A Party's FERPA-protected education records unless the Party gives written consent;
 - A Party's HIPAA-protected treatment records unless the Party gives written consent;
 - Information that is not related to the matter which is the subject of the hearing.

The Hearing Officer shall not have the authority to overrule or supersede the confidentiality protections provided by law or under a legally-recognized privilege.



- **4.6 Order of Evidence.** Pursuant to Article 4.3, the Hearing Officer may determine the order of evidence to be presented at the hearing. Each Party, however, will have opportunity to do the following:
 - Testify on their own behalf and to have Witnesses testify on a Party's behalf;
 - Via their Advisor, conduct Cross-Examination of the other Party and other Party's Witnesses;
 - Provide follow-up testimony and conduct follow-up Direct Examination of their Witnesses once Cross-Examination is complete.

The Hearing Officer also may ask questions of the Parties and their Witnesses any time during the hearing.

4.7 Witnesses. The Hearing Coordinator is responsible for scheduling witnesses for the hearing; however, the Parties are responsible for ensuring that their witnesses appear to testify at a hearing. The Parties shall have the right, within reasonable limits set by the Hearing Officer, to question their own Witnesses. The Hearing Officer will require all witnesses to affirm the truth of the testimony they present. Witnesses are allowed in the hearing room only during their own testimony. Witnesses on a Witness list submitted by a Party and approved by the Hearing Officer shall be allowed to testify even if a Party does not appear at the hearing.

Providing testimony is voluntary and Witnesses cannot be compelled to testify. Witnesses shall notify the Hearing Coordinator during the scheduling process if they choose not to participate. A Witness may refuse to answer all or part of any question posed. The Hearing Officer cannot draw an inference about the Respondent's violation of a policy based solely on the absence of any Party or Witnesses from the live hearing or their refusal to submit to Direct examination or Cross-Examination.

A Party may eliminate a Witness from their list by notifying the Hearing Coordinator in writing. The Hearing Coordinator will notify the other Party or Parties and the Hearing Officer upon receiving such notice.

A Party may provide testimony on their own behalf or be called as a Witness by the opposing Party. When a Party testifies on his or her own behalf, the Hearing Officer may ask the Party questions. No Party may question another Party either on Direct or Cross-Examination. In the event one Party calls the other Party as a Witness, the Advisor of the Party calling the Witness shall ask the questions such that the two Parties are never questioning each other

Reasonable limits on one's right to question Witnesses may be imposed by the Hearing Officer and may include any modifications the Hearing Officer deems appropriate under the circumstances or the result of any accommodation approved by ARC or the ADA Coordinator. Requests for modifications to the method a Party's or Witness' testimony is elicited or presented must be included with the Witness list submitted by the Party seeking the modification.



4.8 Rules of Decorum. Hearings are not civil or criminal proceedings and are not designed to mimic formal trial proceedings. As such, the University has adopted rules of decorum that prohibit any Party, Witness, Advisor, or Hearing Officer from questioning Witnesses or Parties in an abusive, intimidating, or disrespectful manner. These rules may be enforced through the removal of any participant who refuses to comply with said rules. The rules and standards apply equally to all participants regardless of sex, gender, or other protected class, and regardless of whether they are in the role of Advisor, Complainant, Respondent, Witness, or other participant.

The following Rules of Decorum are to be observed during the hearing:

- 1. Questions must be conveyed in a neutral tone;
- 2. Parties, Advisors and Witnesses will refer to other all hearing participants using the name and gender used by the person and shall not intentionally misname, deadname, or misgender any person in communication or questioning;
- 3. No participant in a hearing may act abusively or disrespectfully during the hearing toward any other Party, Witness, Advisor, the Hearing Officer, or any other individual participating in the hearing;
- 4. Advisors may not yell, scream, badger, or physically "lean in" when questioning a Witness;
- 5. Participants may not use profanity unless the language is a direct quote from some source such as the Investigative Report or Witness. Advisors may not make personal attacks that harass or intimidate a Party or Witness. Questions are meant to be interrogative statements used to test knowledge or understand a fact; they may not include accusations within the text of the question;
- 6. Participants may take no action prior to or at the hearing that a reasonable person would see as intended to intimidate or coerce a Party, Witness, Hearing Officer, or any other participant to testify, refuse to testify, or decide the matter in a particular way.



4.8.1 Warning and Removal Process. The Hearing Officer shall have sole discretion to determine if the Rules of Decorum have been violated. The Hearing Officer will notify the offending person of any violation of the Rules before or during the hearing. The Hearing Office may immediately remove any person whose violation of Rules is so egregious as to warrant such removal.

Upon a second or further violation of the Rules, the Hearing Officer shall have discretion to either remove the offending person or allow them to continue participating in the hearing or other part of the process. Warnings to, decisions to, and/or reasons for the removal of a Party, Advisor, or Witness shall be included in the Administrative Hearing Determination. If the Hearing Officer removes a Party's Advisor, the Party may select a different Advisor of their choice, or accept an Advisor provided by the University. Reasonable delays, including the temporary adjournment of the hearing, may be anticipated when an Advisor is removed. A Party cannot serve as their own Advisor in this circumstance. The Hearing Officer shall make no inference with regard to the removal of an Advisor.

4.9 Closing the Hearing. No New Evidence may be submitted for the Hearing Officer's consideration after the hearing concludes. The hearing is deemed fully and finally closed for purposes of appeal pursuant to Article 6 herein upon the issuance of the Administrative Hearing Determination, unless the Hearing Officer expressly leaves the proceedings open pending some later action to be taken by the Hearing Officer, a Party, or another person or entity specifically identified in the Hearing Officer's express instruction that the hearing remain open pending the later action occurring.

ARTICLE 5. POST HEARING PROCEDURE

5.1 Record of Hearing. The Hearing Coordinator shall make a digital audio recording of the proceedings. The Parties may request a copy of the recording from the Hearing Coordinator after the hearing has been finally closed. Best efforts shall be made to provide the Party with a digital copy of the recording within two (2) days of a request.

The record of the hearing shall consist of the digital recording and an electronic copy of all documents introduced as evidence, including evidence deemed inadmissible by the Hearing Officer. The record shall be kept by the University for ten (10) years after all appeals have been concluded.

5.2 Written Argument/Impact Statements. After hearing the evidence, the Parties have the option of submitting to the Hearing Officer (a) written arguments of no more than five (5) pages; and/or (b) an Impact Statement of no more than five (5) pages, unless the Hearing Officer allows an extension of these page limits. Parties must submit their arguments or Impact Statements to the Hearing Coordinator no later than two (2) business days following the final closing of the hearing. The Hearing Officer has discretion to allow additional time for written arguments and/or Impact Statements to be submitted upon good cause shown by either Party as described in Article 1.2.1.



- **5.3 Administrative Hearing Determination.** In making a determination, the Hearing Officer considers all available evidence in the investigative file, contained in the Investigative Report, and any testimony presented at the hearing. The Administrative Hearing Determination shall include the following information as appropriate to the circumstances:
 - The policies implicated;
 - A brief statement indicating whether or not a policy violation was found;
 - A brief procedural history;
 - In the event an advisor was excused from the hearing, the reasons for excusal;
 - If a Party did not attend or refused to fully participate, a statement indicating that the Hearing Officer made no inference as to the Party's absence or refusal to answer questions;
 - If the hearing was delayed, the reason for the delay and rationale for rescheduling it;
 - A statement of the procedures applied to the hearing, including the burden of proof standard;
 - Whether there were any disruptions to the hearing resulting in the issuance of warnings by the Hearing Officer and, if a participant was removed from the hearing, the reasons for such removal and any resulting delays from such disruptions;
 - A list of witnesses who testified at the hearing;
 - An analysis of each alleged policy violation and the evidence relied upon by the Hearing Officer, including the weight given to a particular statement or piece of evidence, in determining whether the Respondent violated such policy;
 - A brief review of evidence excluded by the Hearing Officer, including written statements and testimony offered by a Party or Witness, and the rationale for doing so;
 - Sanctions, if any, determined by the sanctioning authority. The sanctioning of faculty Respondents for UAP 2720 and 3110 violations, however, will not be included in the Administrative Hearing Determination, but will be issued separately by the faculty Sanctioner pursuant to the UNM Faculty Handbook, or CBA, as appropriate. Determinations wherein a violation of UAP 2740 was found will include the sanction in the Administrative Hearing Determination.
 - Statement indicating how and when an appeal may be taken.



- **5.4 Sanctions.** If the Hearing Officer determines there was a policy violation by either Party, the Hearing Officer shall inform the appropriate sanctioning authority of the findings and provide materials requested by the sanctioning authority to determine the appropriate sanction, if any. The sanctioning authority will inform the Hearing Officer as to the sanctions to be imposed and the Hearing Officer will include those sanctions in the Administrative Hearing Determination. The sanctioning of faculty Respondents for UAP 2720 and 3110 violations, however, will not be included in the Administrative Hearing Determination, but will be issued separately by the faculty Sanctioner pursuant to the UNM Faculty Handbook, or CBA, as appropriate. Determinations wherein a violation of UAP 2740 was found will include the sanction in the Administrative Hearing Determination. The Sanctioner may attend the hearing or review the hearing record for the purpose of issuing the proposed discipline.
- **5.5 Remedies.** Upon a finding that the Respondent is responsible for a policy violation, the CEEO or the Title IX Coordinator may provide Remedies to Complainant in adjudications involving UAP 2740.

ARTICLE 6. APPEALS

6.1 Appeal. No appeal of the Hearing Officer's decision may be taken until the Administrative Hearing Determination is issued to the Parties above. The timing and process for any appeal will be pursuant to the instructions in the Administrative Hearing Determination and the policy, procedure, or order authorizing the appeal.

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